



Chap12

Multirate Signal Processing

Chao-Tsung Huang

National Tsing Hua University
Department of Electrical Engineering



Chap 12 Multirate signal processing

- 12.1 Sampling rate conversion
- 12.2 Implementation of multirate systems
- 12.3 Filter design for multirate systems
- 12.4 Two-channel filter banks



Sampling rate conversion (Resampling)

Conceptual flow

$x[n]$ Discrete signal with period T



Reconstruction, e.g. filtering, polynomial approx.

$x_c(t)$ Continuous signal

$$\sum_{k=-\infty}^{\infty} x[k] \frac{\sin[\pi(t - nT)/T]}{\pi(t - nT)/T}$$

Ideal signal



Sampling

$x_0[n] \triangleq x_c(nT_0)$ Resampled discrete signal with period T_0

Discrete rate conversion
(D, I : integer)

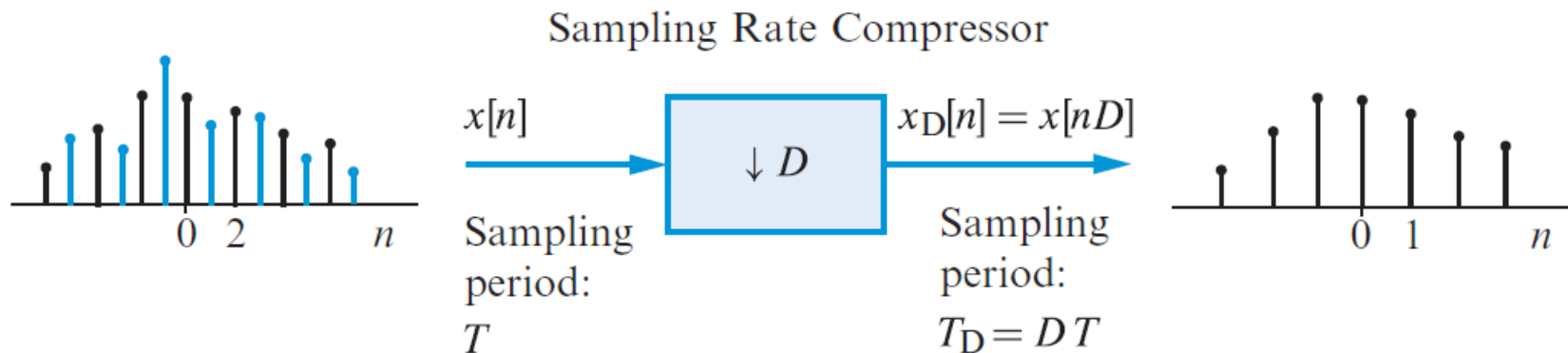
(a) $T_0 = DT$, (b) $T_0 = T/I$, and (c) $T_0 = T(D/I)$

Downsample

Upsample



Downsampler: sampling rate compressor



CTFT

$$X_D(e^{j\Omega T_D}) = \frac{1}{DT} \sum_{\ell=-\infty}^{\infty} X_c \left(j \left(\Omega - \ell \frac{2\pi}{DT} \right) \right)$$

$$X_D(e^{j\Omega T_D}) = \frac{1}{D} \sum_{m=0}^{D-1} X \left(e^{j(\Omega - m\Omega_D)T} \right)$$

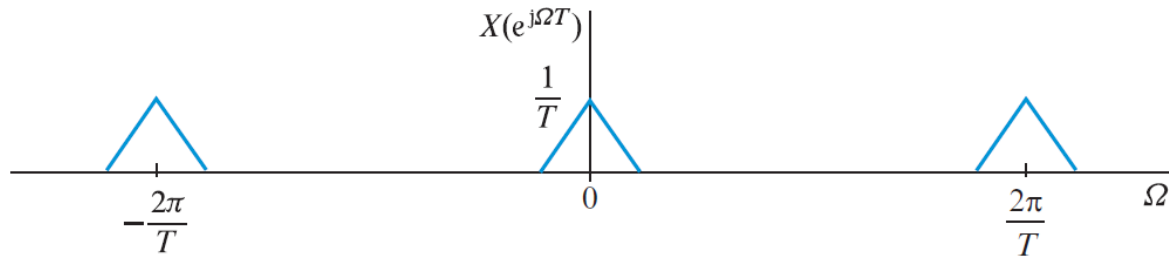
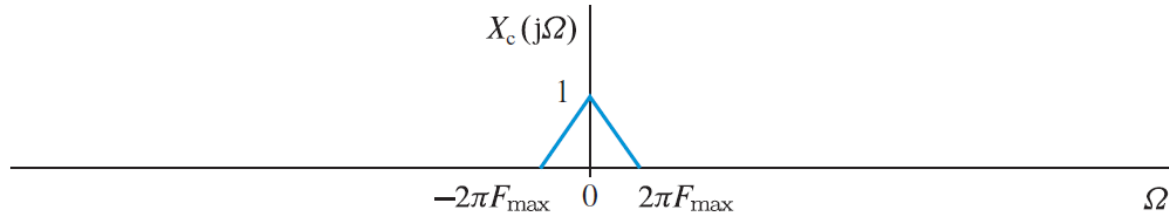
DTFT

$$X_D(e^{j\omega}) = \frac{1}{D} \sum_{m=0}^{D-1} X \left(e^{j(\omega - 2\pi m)/D} \right) \quad \omega \triangleq \Omega T_D$$

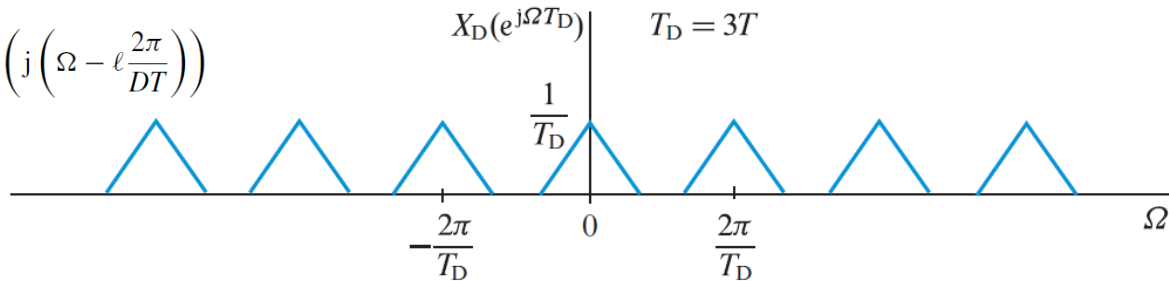


Downsampler: CTFT example

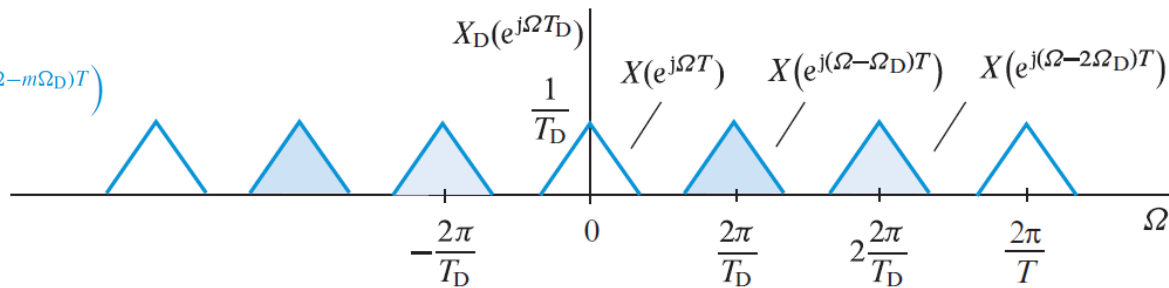
D=3



$$X_D(e^{j\Omega T_D}) = \frac{1}{DT} \sum_{\ell=-\infty}^{\infty} X_c\left(j\left(\Omega - \ell \frac{2\pi}{DT}\right)\right)$$



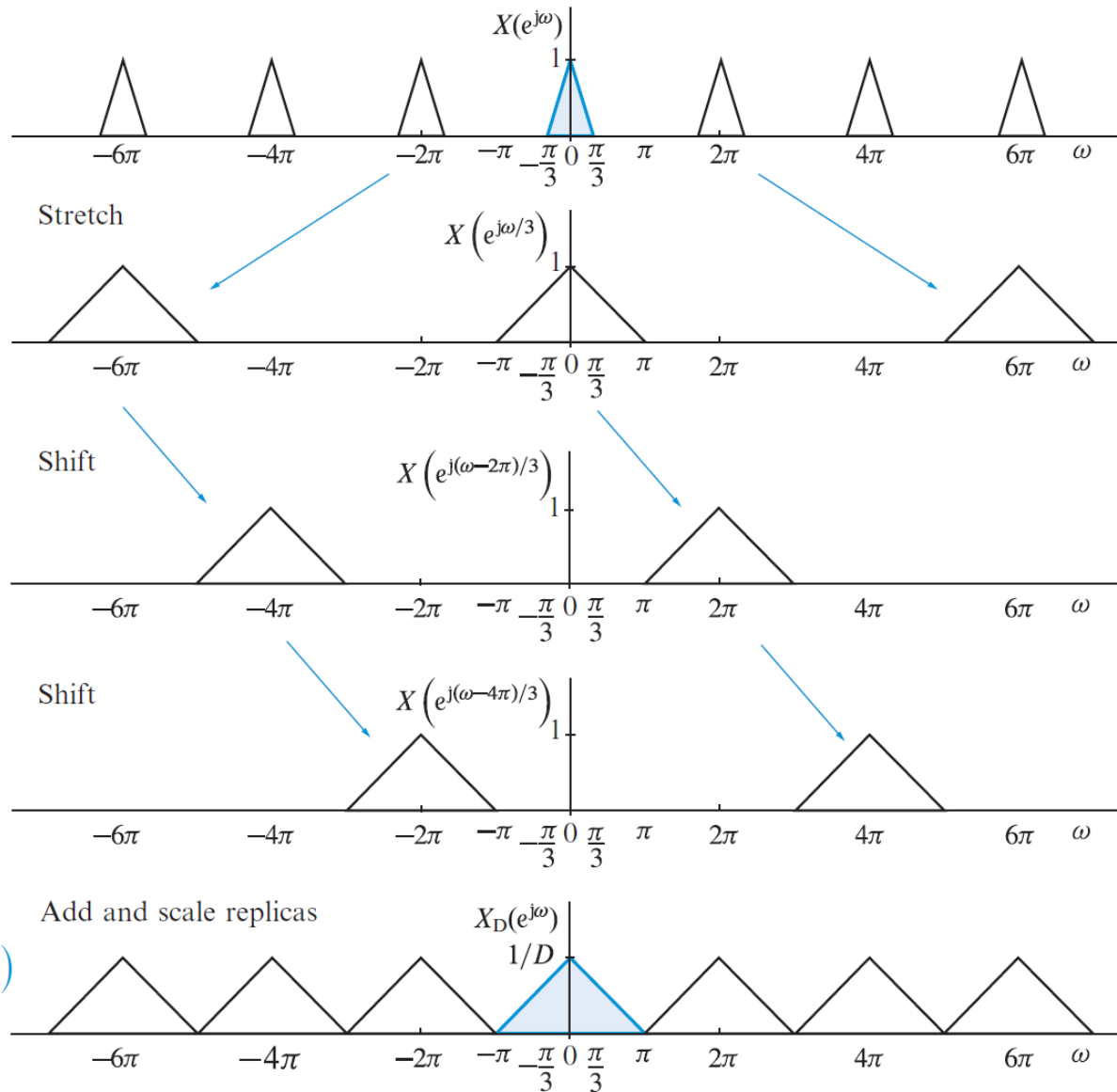
$$X_D(e^{j\Omega T_D}) = \frac{1}{D} \sum_{m=0}^{D-1} X(e^{j(\Omega - m\Omega_D)T})$$





Downsampler: DTFT example

D=3



$$X_D(e^{j\omega}) = \frac{1}{D} \sum_{m=0}^{D-1} X(e^{j(\omega-2\pi m)/D})$$



Downsampler: D=2 example

General case

$$x[n] = \{\dots, x[-2], x[-1], x[0], x[1], x[2], \dots\}$$

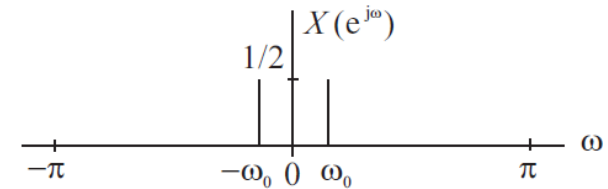
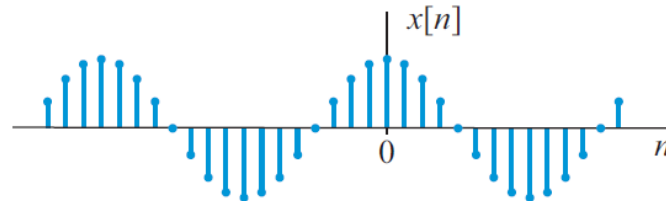
$$\mathcal{D}_2\{x[n]\} = \{\dots, x[-4], x[-2], x[0], x[2], x[4], \dots\} = x_D[n]$$

$$\mathcal{D}_2\{x[n+1]\} = \{\dots, x[-3], x[-1], x[1], x[3], x[5], \dots\}$$

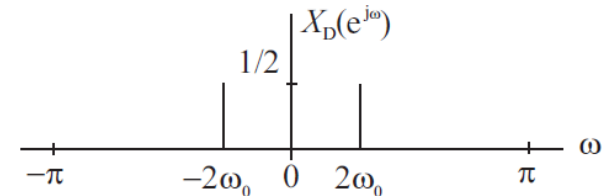
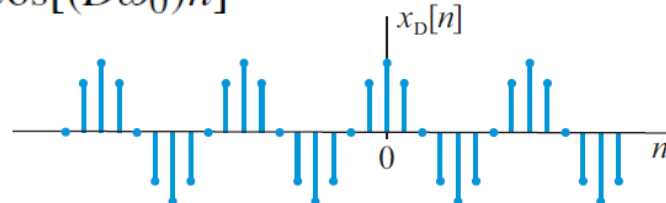
$$X_D(e^{j\omega}) = \frac{1}{2}X(e^{j\omega/2}) + \frac{1}{2}X(e^{j(\omega/2-\pi)})$$

Spectrum expansion

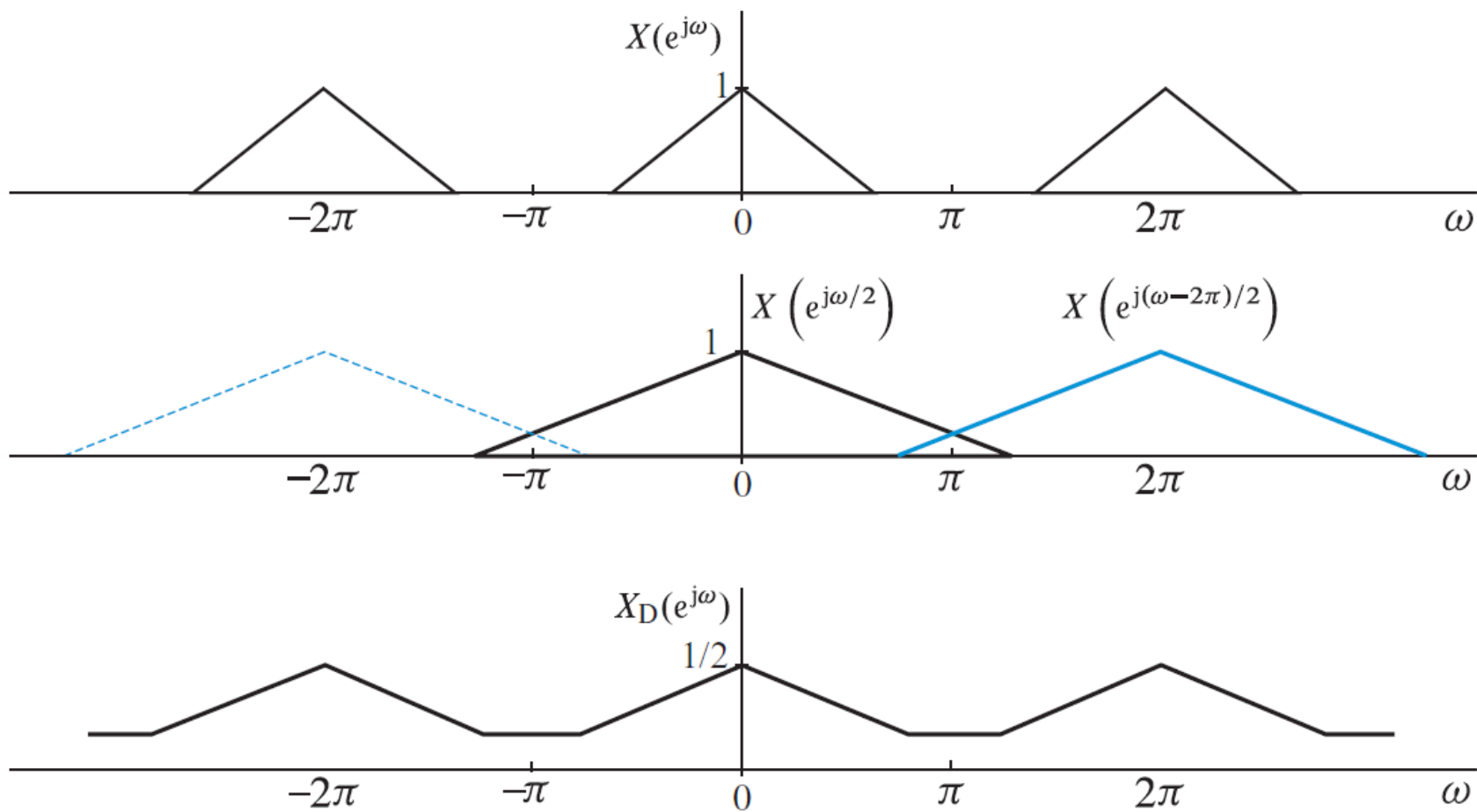
$$x[n] = \cos(\omega_0 n)$$



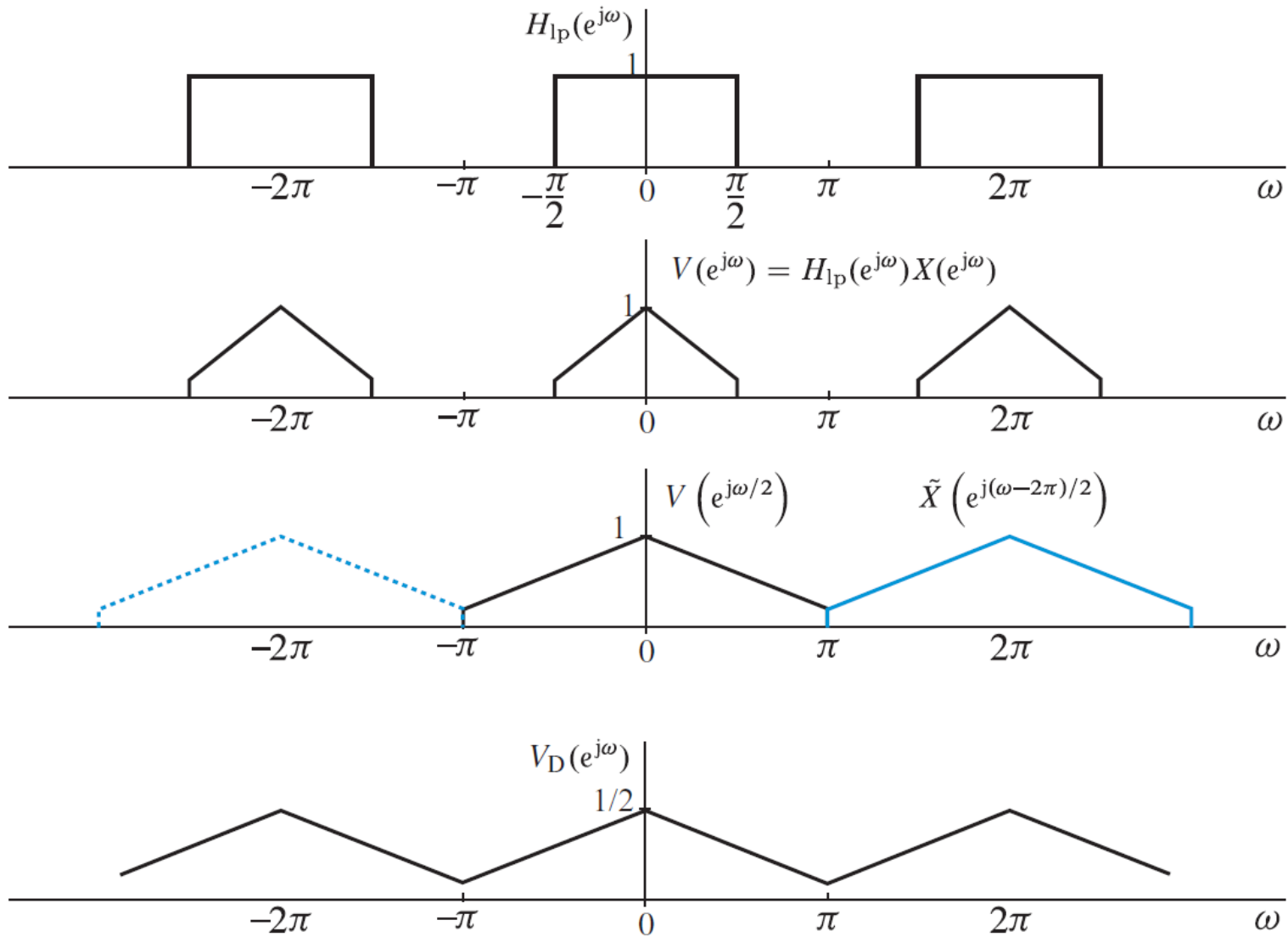
$$x_D[n] = \cos[\omega_0(nD)] = \cos[(D\omega_0)n]$$



Downsampling with aliasing (D=2)

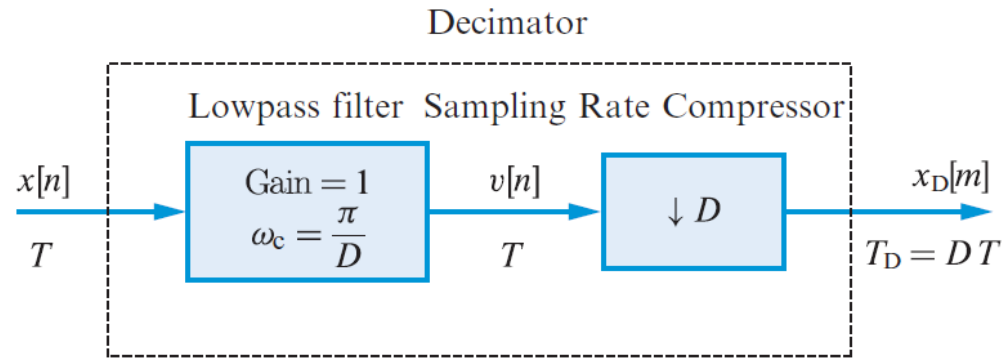


Downsampling with lowpass prefiltering (Decimation)





Decimator



For FIR filter

$$x_D[m] = v[mD] = \sum_{k=0}^M h[k]x[mD - k]$$

Overall computation can be reduced to 1/D

To avoid aliasing

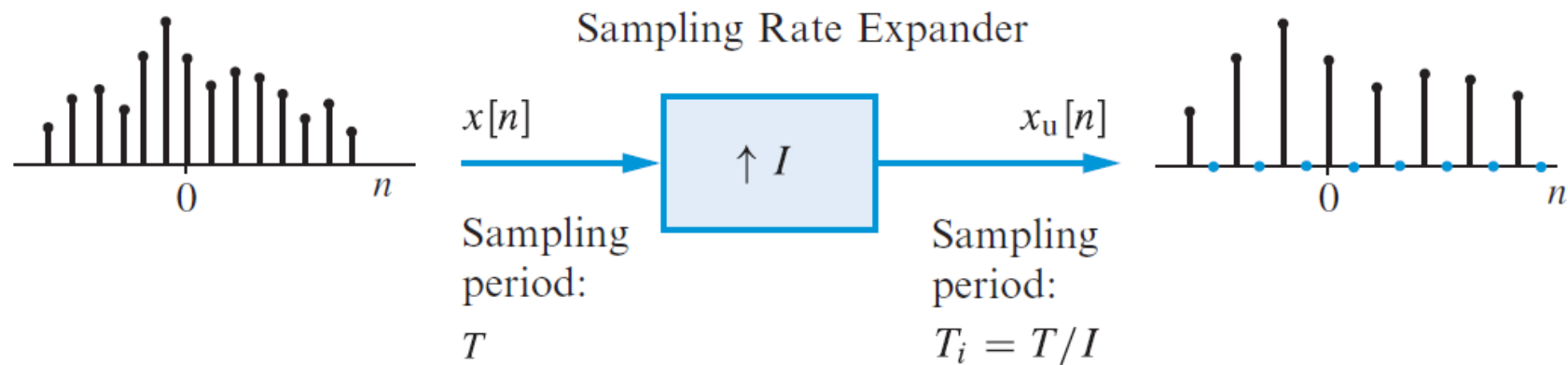
If $X(e^{j\omega}) = 0$, $\omega_H \leq |\omega| \leq \pi$ then $\omega_s = \frac{2\pi}{D} \geq 2\omega_H$

Safe choice

$$\omega_c = \pi/D$$



Upsampler: sampling rate expander



Upsample

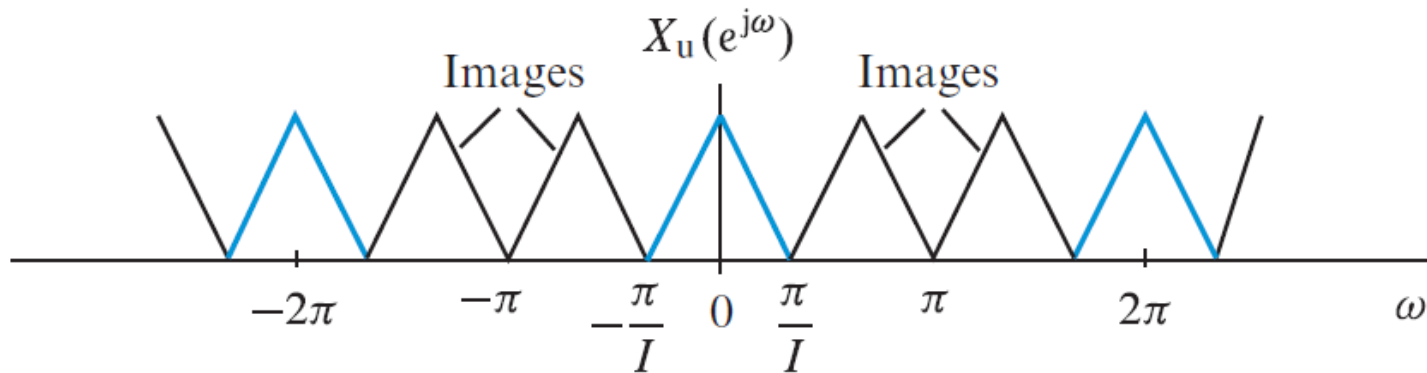
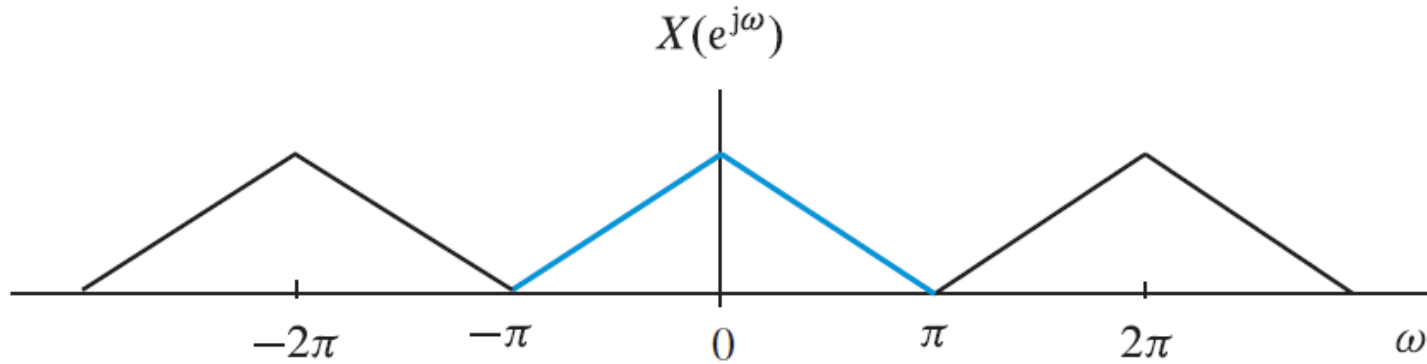
$$x_u[n] \triangleq \mathcal{U}_I\{x[n]\} \triangleq x_{\uparrow I}x[n] \triangleq \begin{cases} x[n/I], & n \text{ is a multiple of } I \\ 0, & \text{otherwise} \end{cases}$$

DTFT

$$X_u(e^{j\omega}) = X(e^{j\omega I})$$

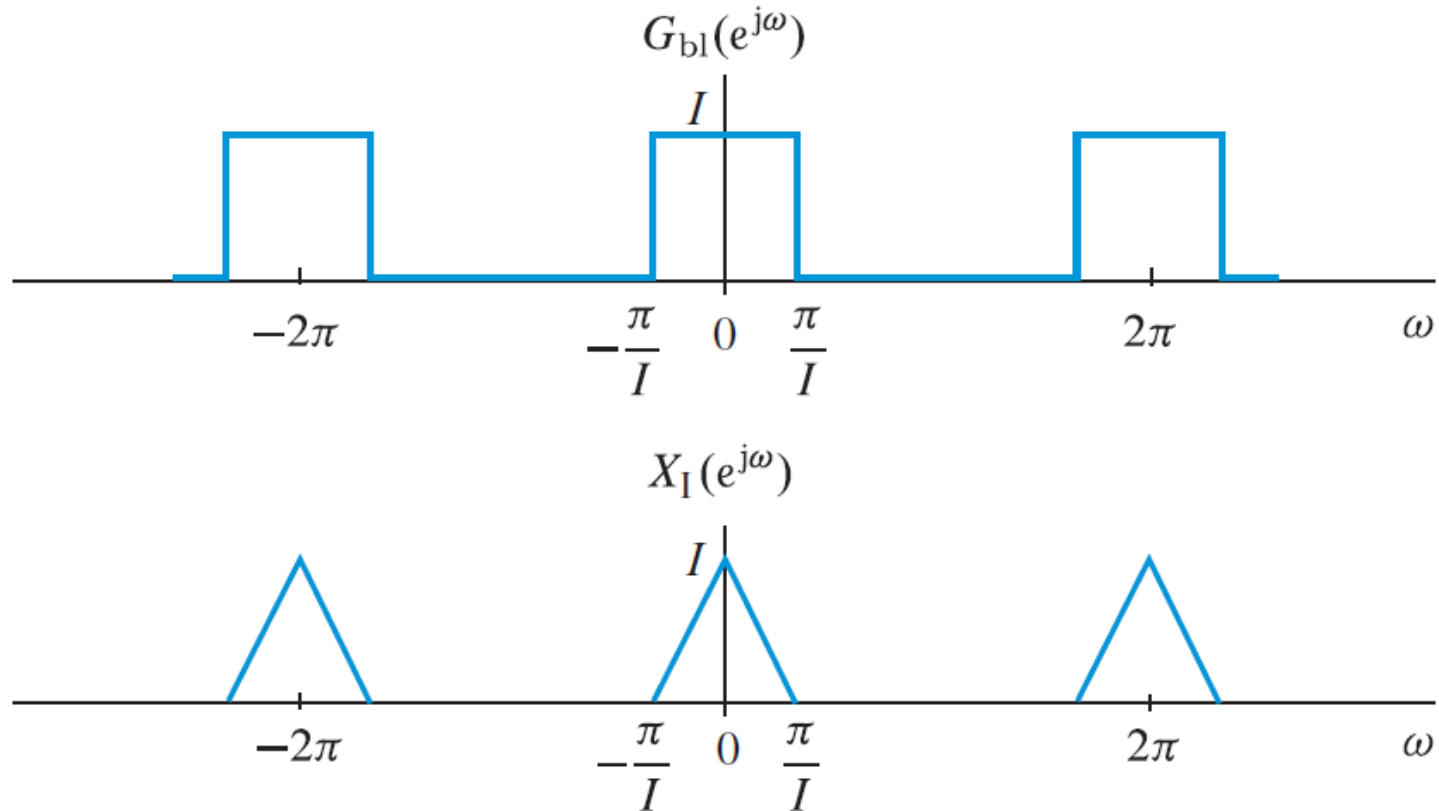


Upsampling with images (I=3)



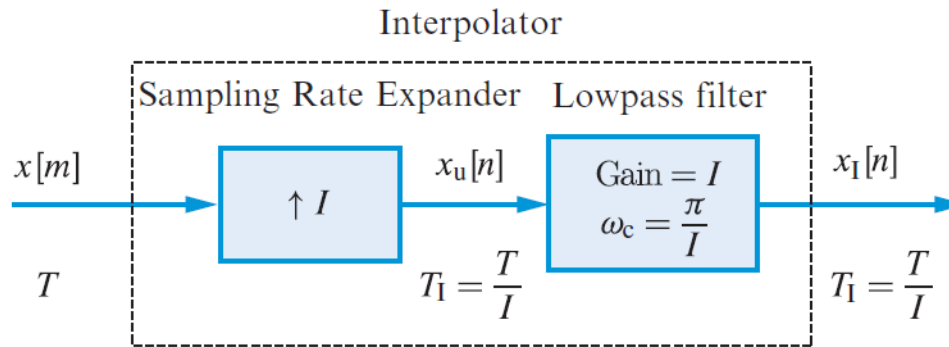


Upsampling with lowpass postfiltering (Interpolation)





Interpolator



Post-filtering

$$X_u(e^{j\omega}) = X(e^{j\omega I})$$

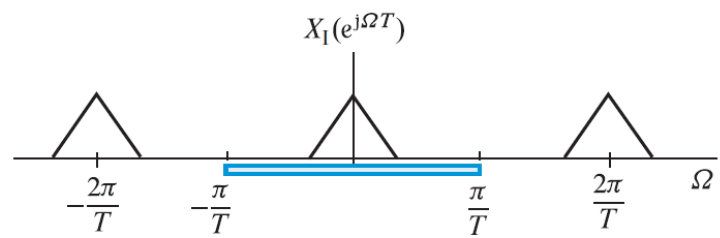
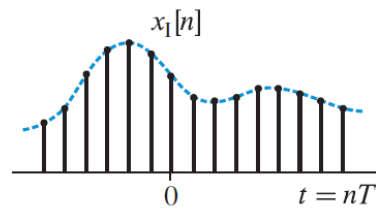
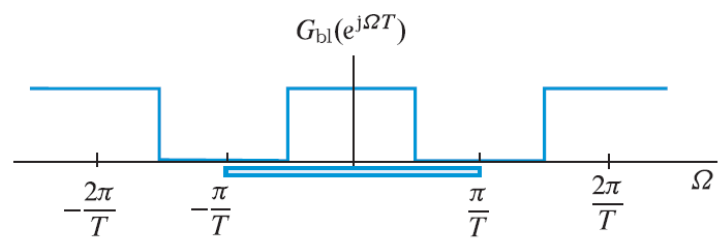
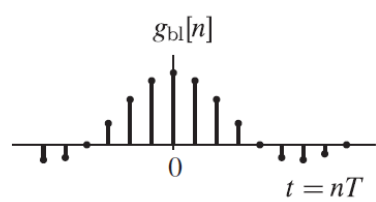
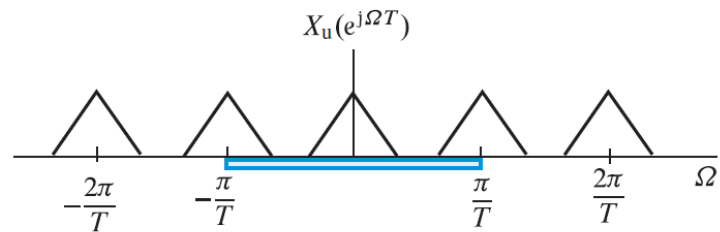
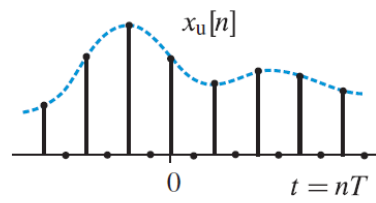
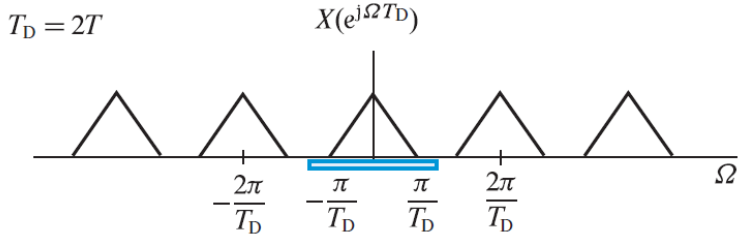
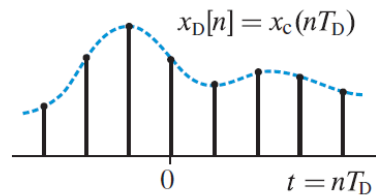
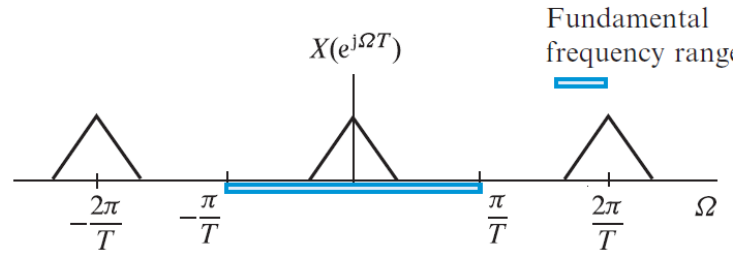
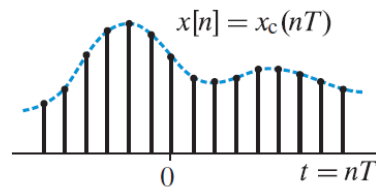
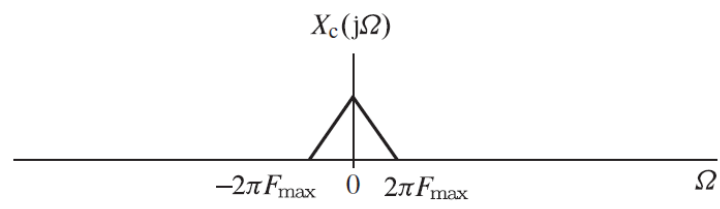
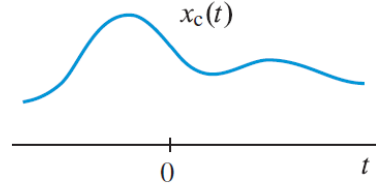
$$X_I(e^{j\omega}) = G_r(e^{j\omega})X_u(e^{j\omega})$$

$$x_I[n] = \sum_{k=-\infty}^{\infty} x_u[k]g_r[n-k]$$

Overall computation can be reduced to $1/I$ for FIR filters

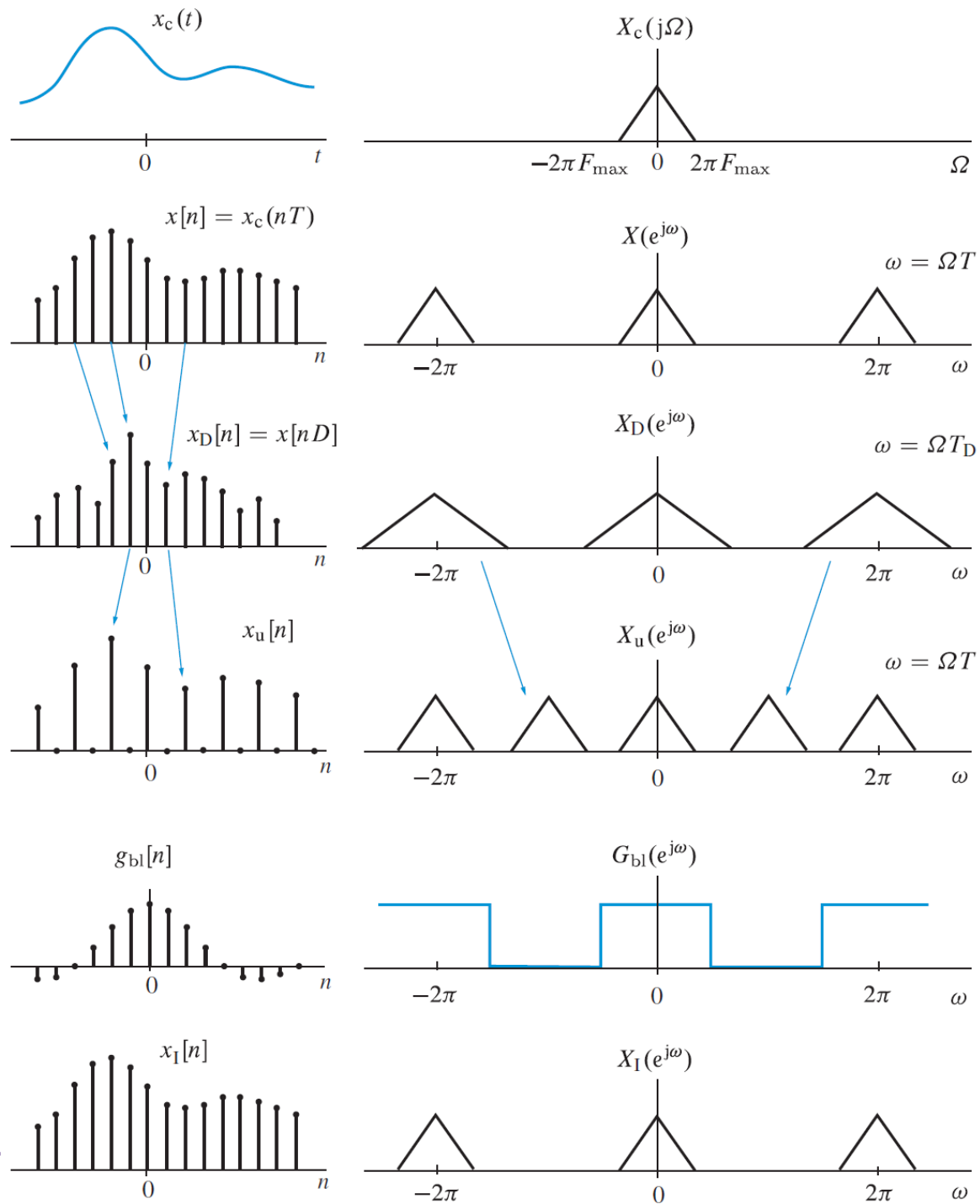


Decimation and interpolation in CTFT



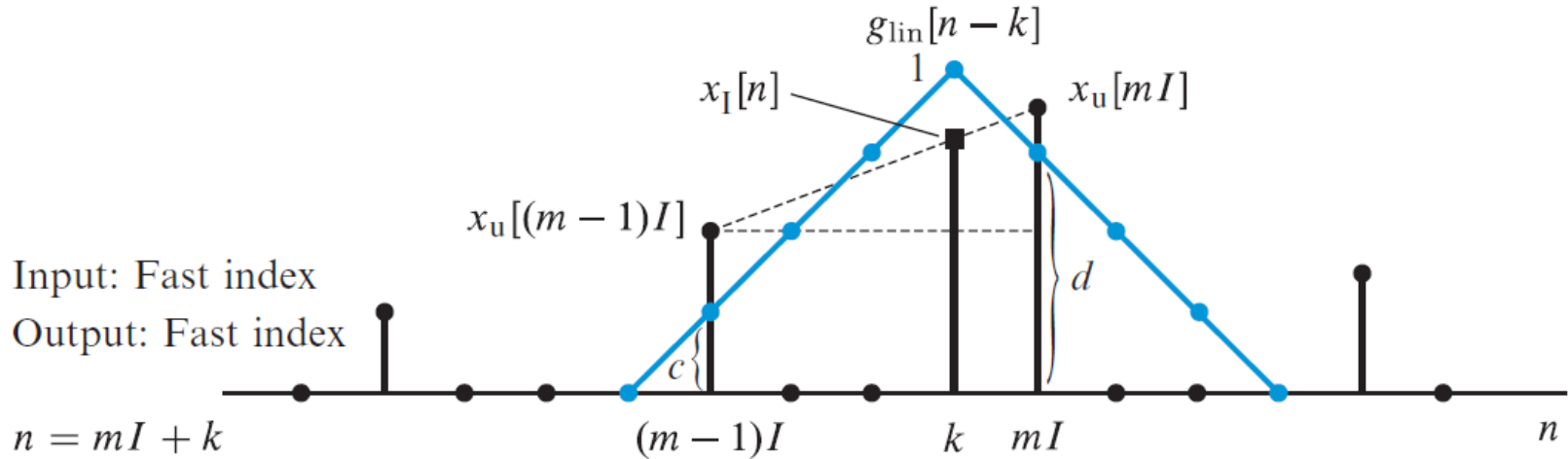


Decimation and interpolation in DTFT



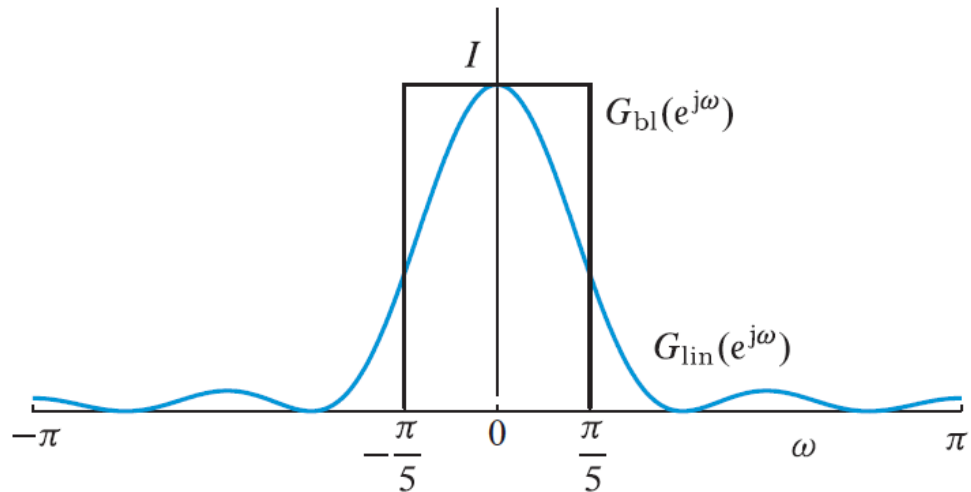


Linear interpolation



$$g_{\text{lin}}[n] \triangleq \begin{cases} 1 - \frac{|n|}{I}, & -I < n < I \\ 0, & \text{otherwise} \end{cases}$$

$$G_{\text{lin}}(e^{j\omega}) = \frac{1}{I} \left[\frac{\sin(\omega I/2)}{\sin(\omega/2)} \right]^2$$





Fractional delay

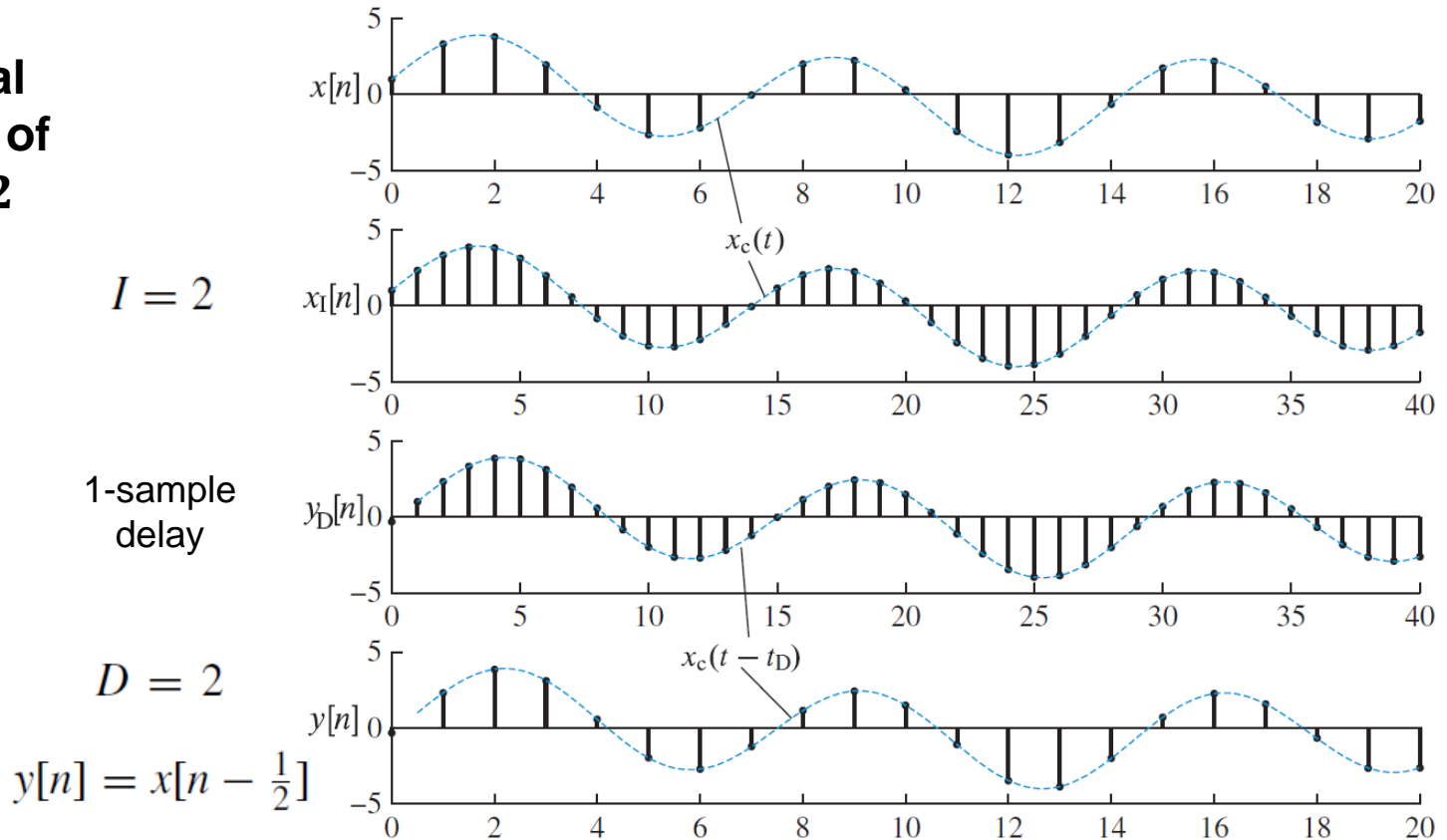
**Ideal
(non-practical)**

$$y[n] = y_c(nT) = x_c(nT - t_D) = x[n - \Delta] \quad \Delta \triangleq t_D/T$$

$$H_{fd}(e^{j\omega}) = e^{-j\omega\Delta}$$

$$h[n] = \mathcal{F}^{-1}[e^{-j\Delta\omega}] = \frac{\sin[\pi(n - \Delta)]}{\pi(n - \Delta)}$$

**Practical
example of
 $\Delta = 1/2$**



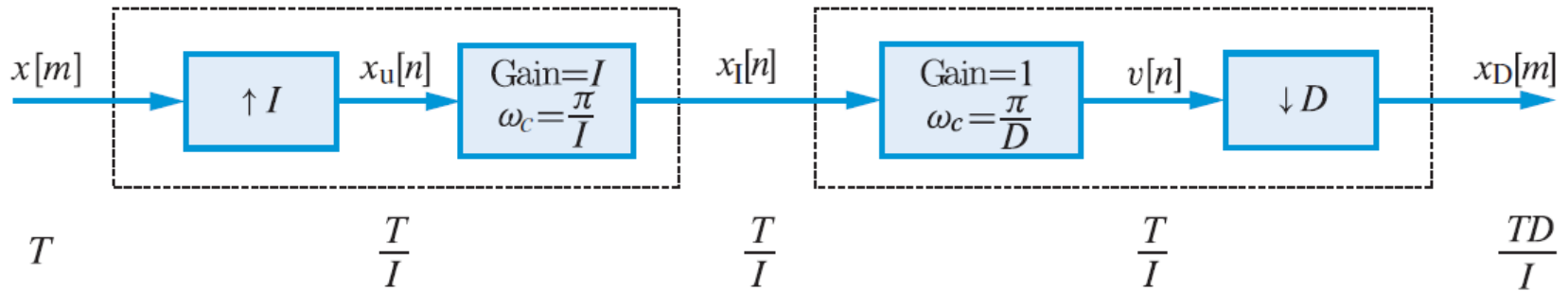


Non-integer sample rate conversion

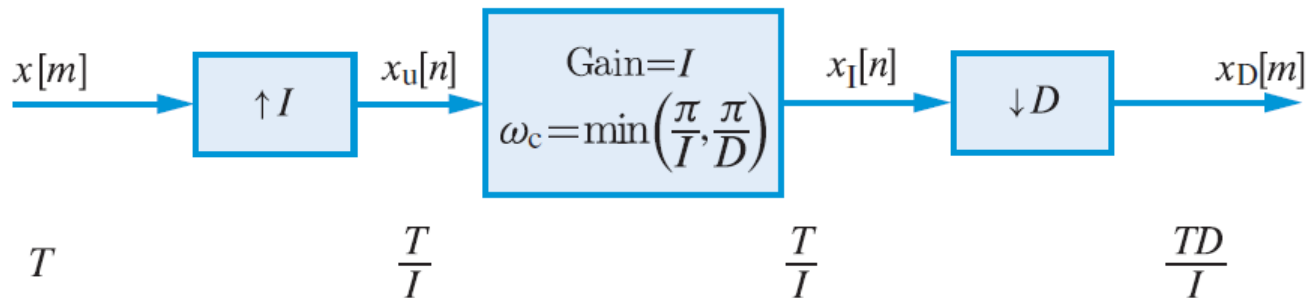
Information-preserved interpolation first

Interpolator

Decimator



$$H(e^{j\omega}) = \begin{cases} I, & 0 \leq |\omega| \leq \min\left(\frac{\pi}{I}, \frac{\pi}{D}\right) \\ 0, & \min\left(\frac{\pi}{I}, \frac{\pi}{D}\right) \leq |\omega| \leq \pi \end{cases}$$



This approach is only practical when I and D are small integers.



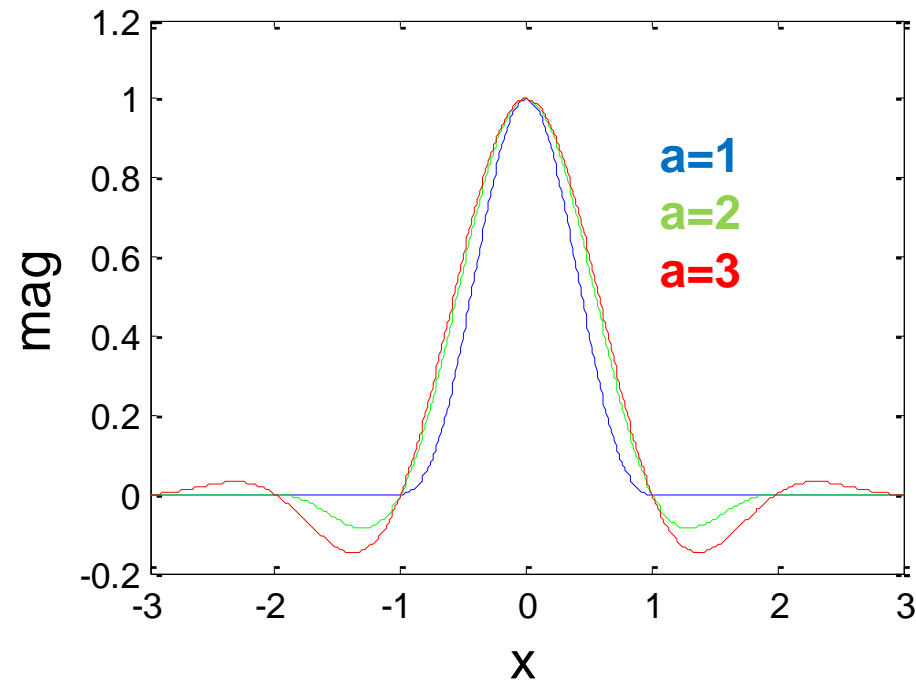
Lanczos resampling

- Ideal sinc function masked by a sinc window
 - Good approximation for sinc function
 - Useful for interpolation, scale-up, scale-down
 - Indexed by parameter **a**

$$L(x) = \begin{cases} \text{sinc}(x)\text{sinc}\left(\frac{x}{a}\right) & \text{if } -a < x < a \\ 0 & \text{otherwise} \end{cases}$$

- For interpolation at α , filter coefficients:

$$h[n] = L(n - \alpha)$$





Multirate identity for downsampling

z transform of downsampling

$$X_D(e^{j\omega}) = \frac{1}{D} \sum_{m=0}^{D-1} X(e^{j(\omega-2\pi m)/D})$$

$$y[n] = x[nD] \xleftrightarrow{\mathcal{Z}} Y(z) = \frac{1}{D} \sum_{k=0}^{D-1} X(W_D^k z^{1/D})$$

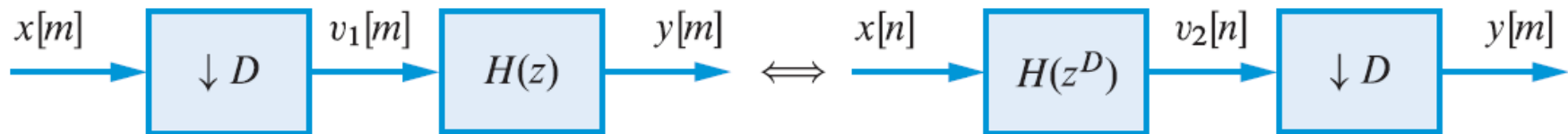
Interchange of filtering with downsampling

$$Y(z) = H(z)V_1(z) = H(z) \frac{1}{D} \sum_{k=0}^{D-1} X(z^{1/D} W_D^k)$$



$$Y(z) = \frac{1}{D} \sum_{k=0}^{D-1} V_2(z^{1/D} W_D^k) = H(z) \frac{1}{D} \sum_{k=0}^{D-1} X(z^{1/D} W_D^k)$$

$$W_D^{kD} = 1$$





Multirate identity for upsampling

z transform of upsampling

$$X_u(e^{j\omega}) = X(e^{j\omega I})$$

$$y[n] = \begin{cases} x[n/I], & n = 0, \pm I, \pm 2I, \dots \\ 0, & \text{otherwise} \end{cases} \iff Y(z) = X(z^I)$$

Interchange of filtering with upsampling

$$Y(z) = V_1(z^I) = H(z^I)X(z^I)$$



$$Y(z) = H(z^I)V_2(z) = H(z^I)X(z^I)$$





Polyphase filter structure

Example

$$\begin{aligned}
 H(z) &= h[0] + h[1]z^{-1} + h[2]z^{-2} + h[3]z^{-3} + h[4]z^{-4} + h[5]z^{-5} \\
 &= \left(h[0] + h[2]z^{-2} + h[4]z^{-4} \right) + z^{-1} \left(h[1] + h[3]z^{-2} + h[5]z^{-4} \right)
 \end{aligned}$$



(M=2)

$$H(z) = P_0(z^2) + z^{-1}P_1(z^2)$$

$$P_0(z) \triangleq h[0] + h[2]z^{-1} + h[4]z^{-2}$$

$$P_1(z) \triangleq h[1] + h[3]z^{-1} + h[5]z^{-2}$$

(M=3)

$$H(z) = P_0(z^3) + z^{-1}P_1(z^3) + z^{-2}P_2(z^3)$$

$$P_0(z) \triangleq h[0] + h[3]z^{-1}$$

$$P_1(z) \triangleq h[1] + h[4]z^{-1}$$

$$P_2(z) \triangleq h[2] + h[5]z^{-1}$$

Filter

$$Y(z) = H(z)X(z)$$

$$= P_0(z^3)X(z) + z^{-1}P_1(z^3)X(z) + z^{-2}P_2(z^3)X(z),$$

$$= P_0(z^3)X(z) + z^{-1}\{P_1(z^3)X(z) + z^{-1}[P_2(z^3)X(z)]\}.$$



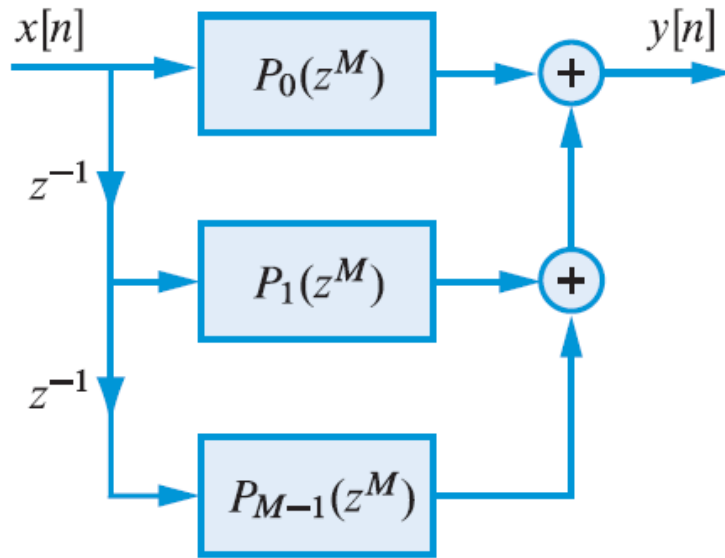
Polyphase filter structure

General case

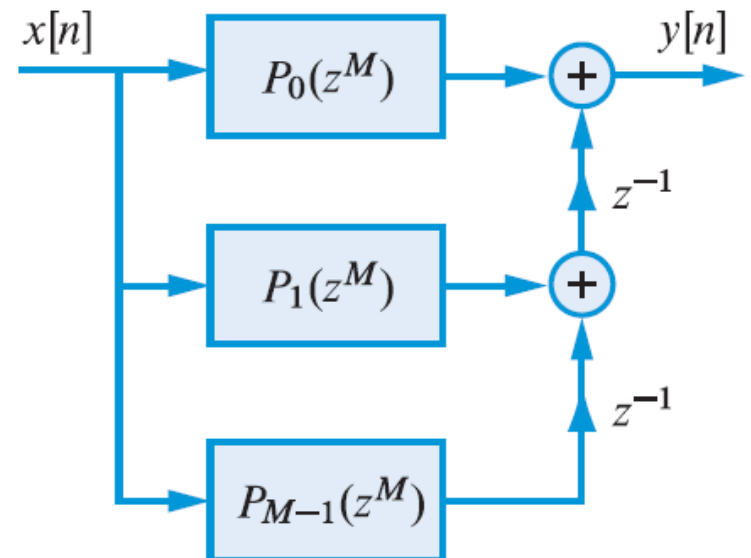
$$H(z) = \sum_{k=0}^{M-1} z^{-k} P_k(z^M)$$

$$p_k[n] \triangleq h[nM + k], \quad k = 0, 1, \dots, M - 1.$$

Realization



Direct form

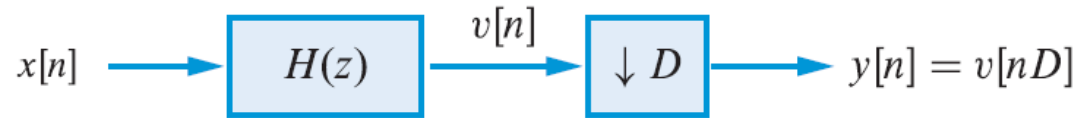


Transposed form



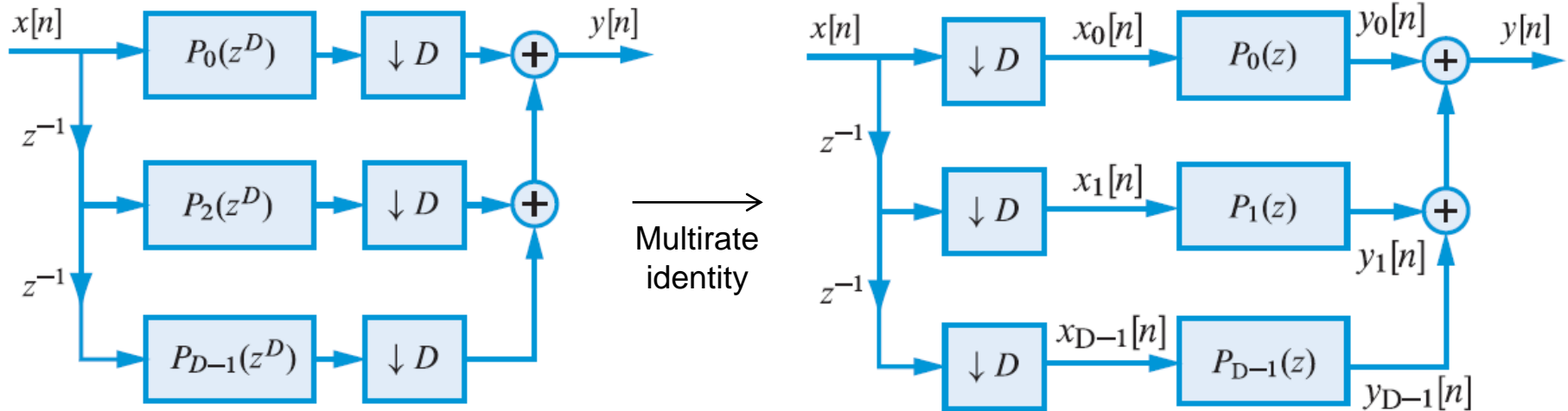
Polyphase decimator

Decimation system



$$H(z) = \sum_{k=0}^{N-1} h[k]z^{-k} = \sum_{k=0}^{D-1} P_k(z^D)z^{-k}$$

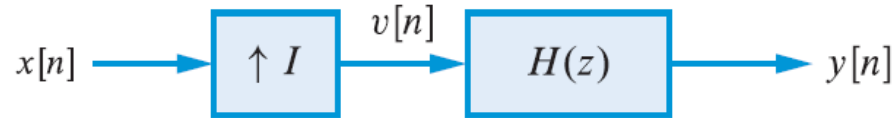
Polyphase implementation





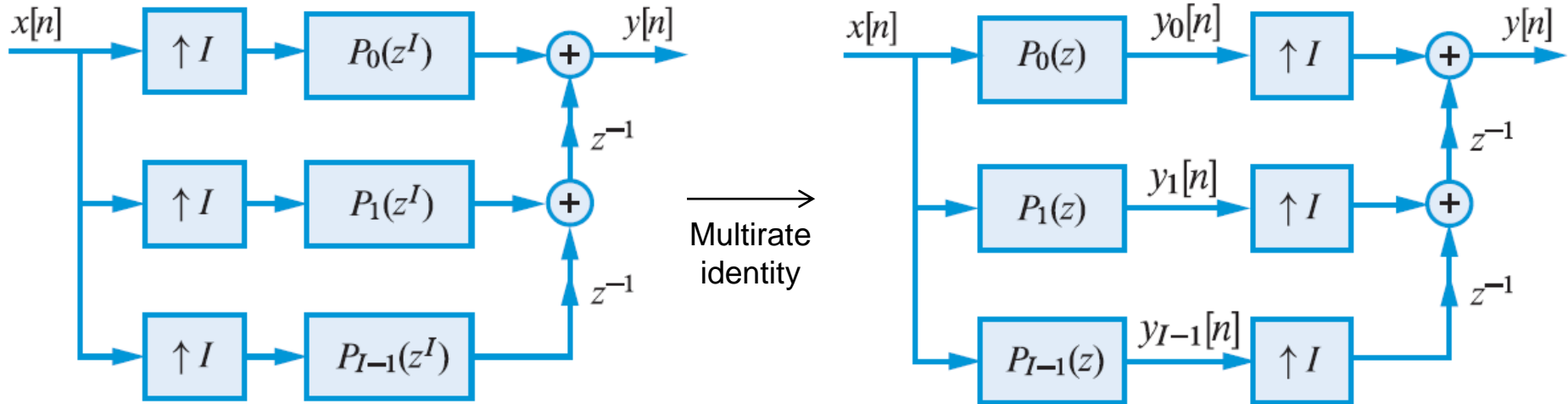
Polyphase interpolator

Interpolation system



$$H(z) = \sum_{k=0}^{N-1} h[k]z^{-k} = \sum_{k=0}^{I-1} P_k(z^I)z^{-k}$$

Polyphase implementation





Half-band filters

Ideal half-band filter

(noncausal zero-phase)

$$h[n] = \frac{\omega_c}{\pi} \frac{\sin \omega_c(n - \alpha)}{\omega_c(n - \alpha)} \Big|_{\omega_c=\pi/2} = \begin{cases} 1/2, & n = \alpha \\ 0, & n - \alpha = \pm 2, \pm 4, \dots \end{cases}$$

General half-band filter

(noncausal zero-phase)

$$h[0] = 1/2, \quad h[2n] = 0. \quad n = \pm 1, \pm 2, \dots$$

$$h[-n] = h[n] \text{ or } H(e^{-j\omega}) = H(e^{j\omega})$$

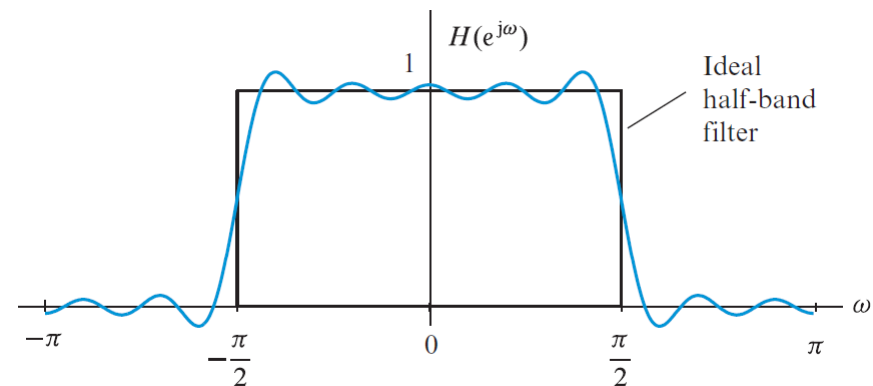
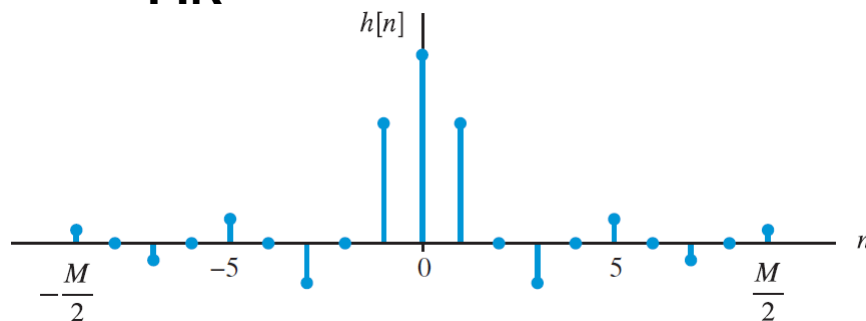
$$H(z) = P_0(z^2) + z^{-1}P_1(z^2) = \frac{1}{2} + z^{-1}P_1(z^2)$$

Property

$$H(z) + H(-z) = 1$$

$$H(e^{j\omega}) + H(e^{j(\omega-\pi)}) = 1$$

FIR





Half-band FIR design

1. Given the specifications ω_s , A_p , and A_s of the half-band filter, obtain the parameters δ_p , δ_s , and ω_s so that they satisfy the design requirements and the constraints of the half-band filter. That is,

$$\delta \triangleq \min(\delta_p, \delta_s), \quad \omega_p = \pi - \omega_s. \quad (12.92)$$

2. Design a single band Type II FIR filter $G(z)$ of order $M/2 = 2p - 1$ (odd) with $\tilde{\omega}_p = 2\omega_p$, $\tilde{\omega}_s = \pi$, and $\tilde{\delta} = 2\delta$ using the Parks–McClellan algorithm. Since $G(z)$ is Type II, the frequency response $G(e^{j\omega})$ is equal to zero at $\omega = \pi$.

can be replaced by any filter design method, e.g. windowing

3. Scale the impulse response $g[n]$ by one-half, upsample the result by a factor of two, and set the middle coefficient to $1/2$. The result is an impulse response $h[n]$ with system function $H(z)$ given by

$$H(z) = \frac{1}{2} \left[z^{-M/2} + G(z^2) \right], \quad (12.93)$$

which is a half-band filter with passband cutoff frequency ω_p .



Design example of a half-band filter

**Specification
(fixed window)**

$$\omega_s = 0.55\pi$$
$$\downarrow \omega_p = 0.45\pi$$

$$A_s = 50 \text{ dB}$$

$$\tilde{A}_s = 44 \text{ dB}$$

$$\tilde{\omega}_p = 0.9\pi$$

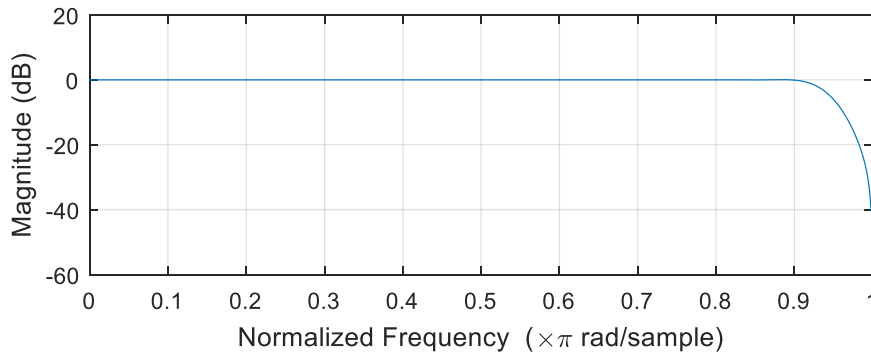
$$\tilde{\omega}_c = 0.95\pi$$

$$\Delta\tilde{\omega} = 0.1\pi$$

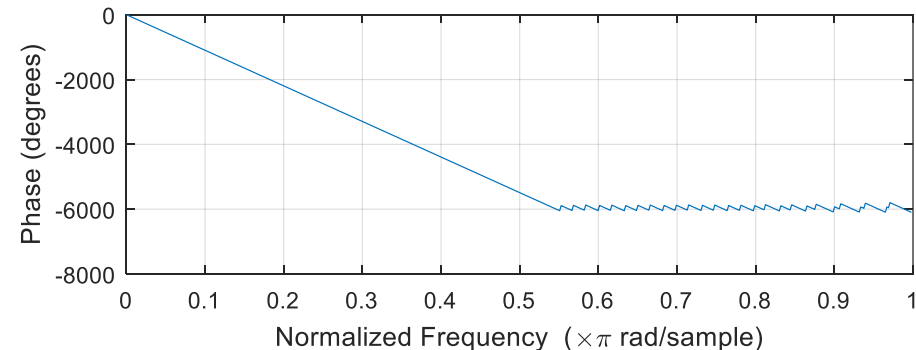
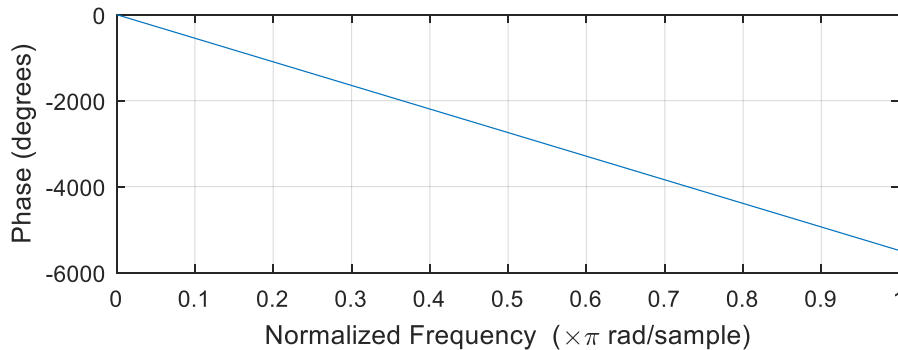
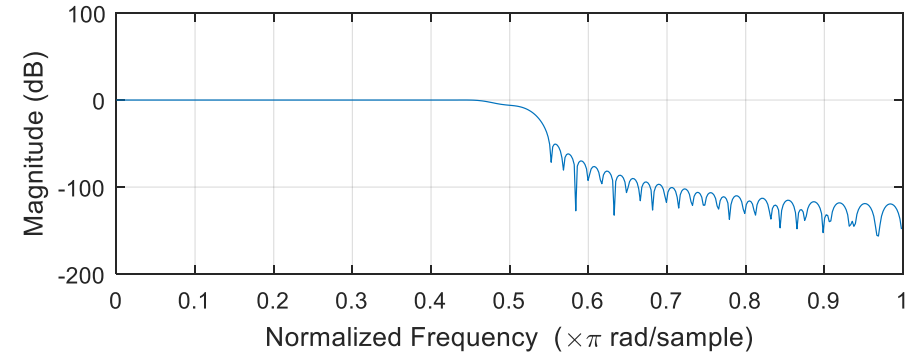
$$L = 62$$

Hann window

$G(z)$



$H(z)$





Kth-band or Nyquist filters

General Kth-band filter

(noncausal zero-phase)

$$h[n] = \begin{cases} 1/K, & n = 0 \\ 0, & n = \pm K, \pm 2K, \dots \end{cases}$$

$$H(z) = \frac{1}{K} + \sum_{k=1}^{K-1} z^{-k} P_k(z^K) \quad \omega_c = \pi/K$$

$$h[-n] = h[n] \text{ or } H(e^{-j\omega}) = H(e^{j\omega})$$

Property

$$\sum_{k=0}^{K-1} H(zW_K^k) = 1$$

$$\sum_{k=0}^{K-1} H(e^{j(\omega-2\pi k/K)}) = 1$$



Multistage decimation and interpolation

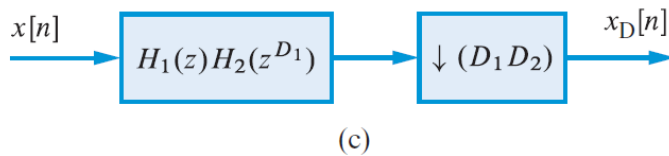
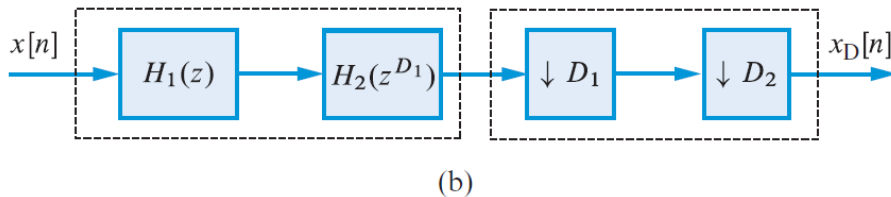
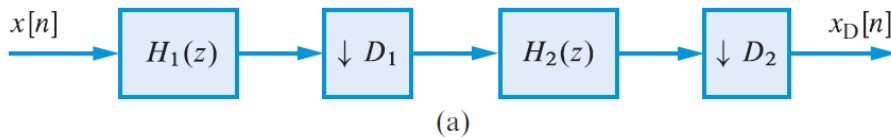
Issue of single-stage systems

Large decimation factors D (or interpolation factors I) require narrow passbands and thus demand smaller transition bands, which results in long FIR filters.

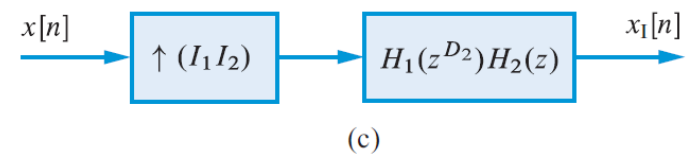
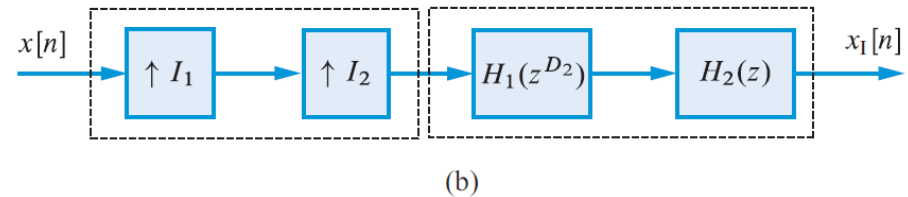
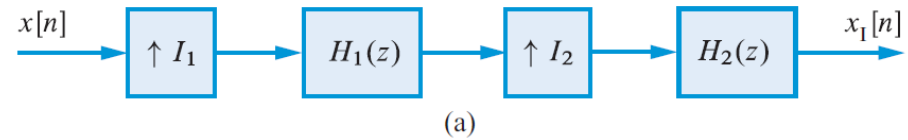
Two-stage systems

Provide shorter filters and require less computation.

Decimation



Interpolation



$$H(z) = H_1(z)H_2(z^{D_1})$$



Example of large decimation factor

Single-stage decimation

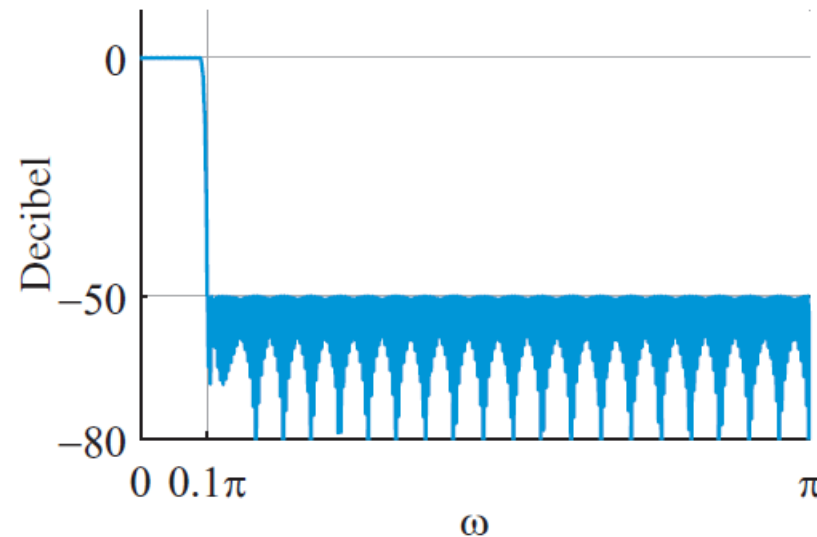
High original sampling rate $F_H = 100$ Hz

Reduced sampling rate $F_L = 10$ Hz



$$D = 10 \quad \omega_c = \pi/D = 0.1\pi$$

(a) Single-stage Decimation Filter $H(z)$



$$M = 489$$

Computation complexity $C_D = (M + 1)F_H/D = 4900$ mults/s



Example of large decimation factor

Two-stage decimation

$$D_1 = 5$$

$$M_1 = 49$$

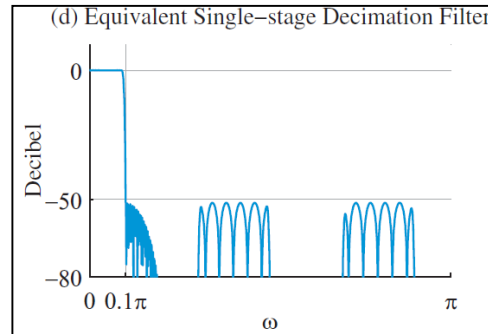
$$C_1 = (M_1 + 1)F_H/D_1 = 1000$$

$$D_2 = 2$$

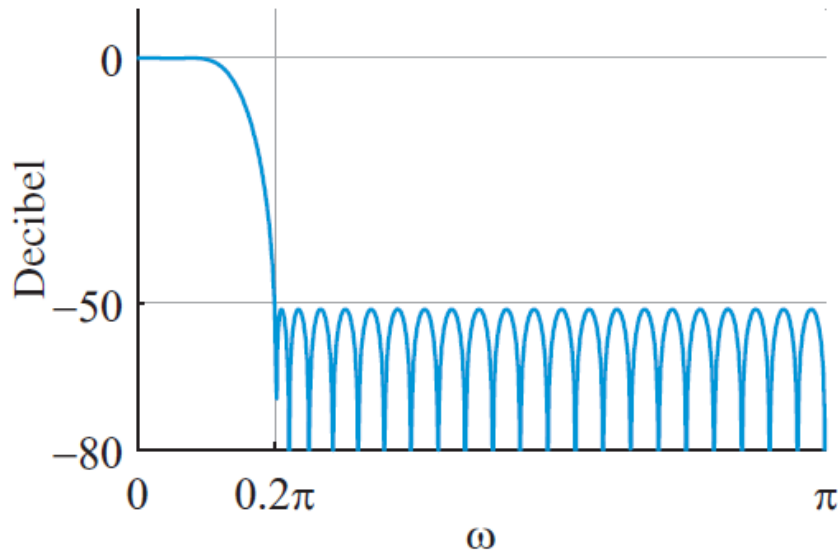
$$M_2 = 107$$

$$C_2 = (M_2 + 1)F_1/D_2 = 1080$$

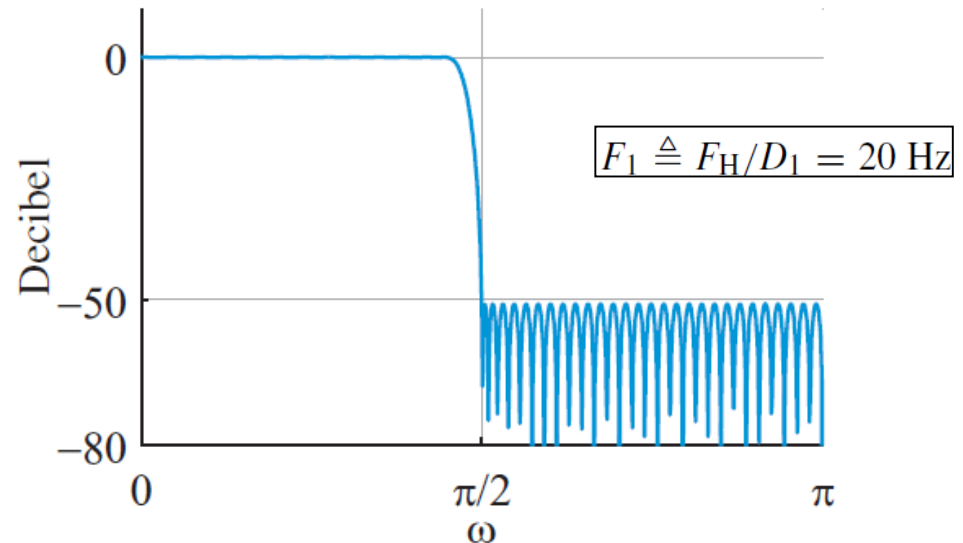
$$C_1 + C_2 = 2080 \text{ mults/s}$$



(b) Two-stage Decimation Filter $H_1(z)$



(c) Two-stage Decimation Filter $H_2(z)$



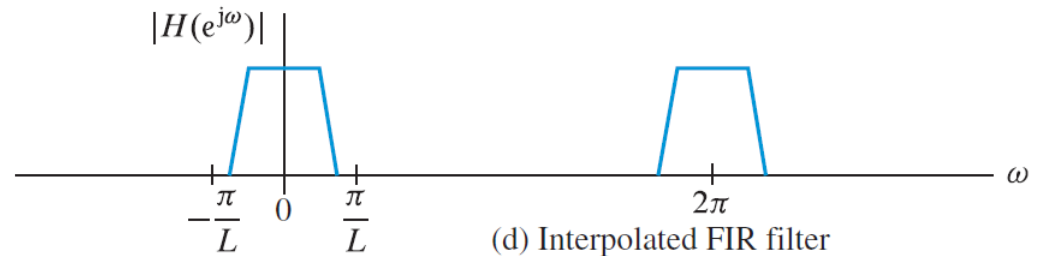
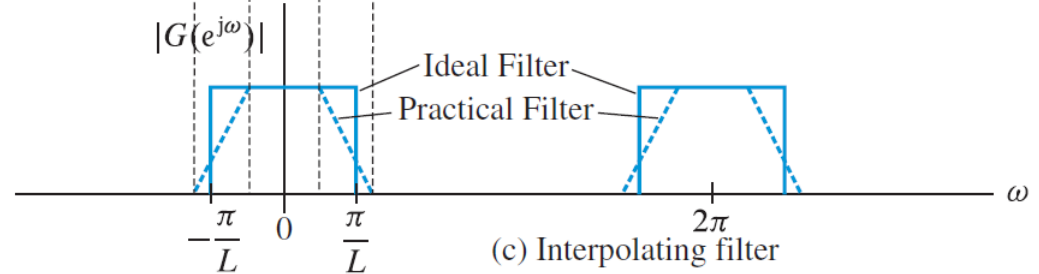
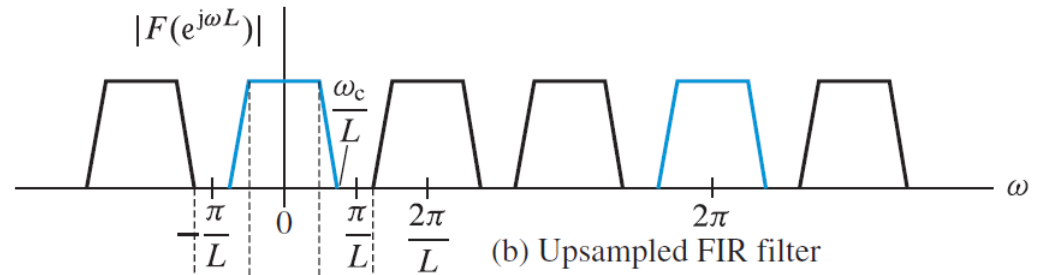
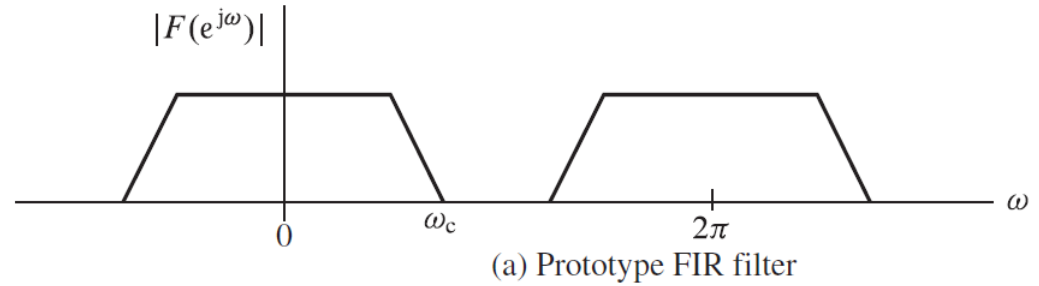


Interpolated FIR (IFIR) filters

$$f_L[n] = \begin{cases} f[n], & n = 0, \pm L, \pm 2L, \dots \\ 0, & \text{otherwise} \end{cases}$$

$$F_L(e^{j\omega}) = F(e^{j\omega L})$$

$$H(z) = F(z^L)G(z)$$





Example of large decimation factor

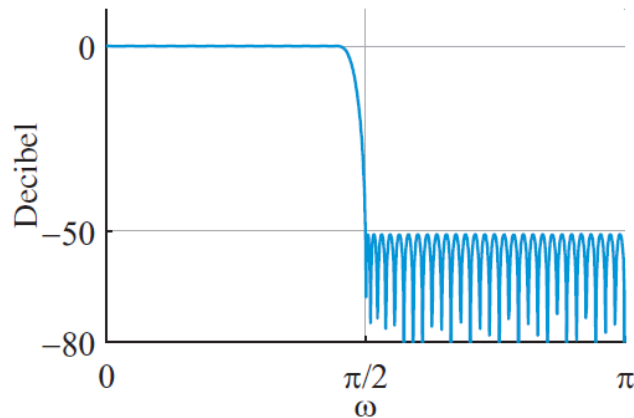
Interpolated FIR

$$L = 5$$

$$\omega_{pF} = \omega_p L = 0.45\pi$$

$$L_F = 108$$

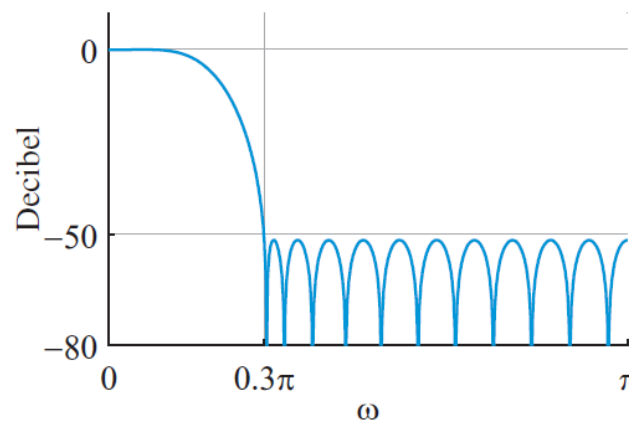
(c) Filter $F(z)$ Response



$$\omega_p = 0.09\pi, \omega_{sG} = 0.3\pi$$

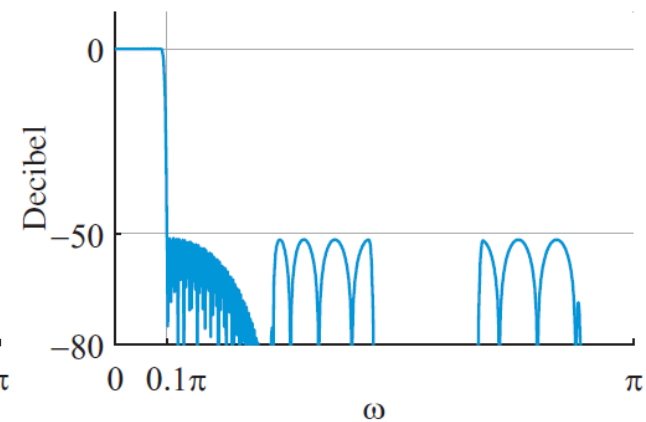
$$L_G = 27$$

(b) Filter $G(z)$ Response



$$L_G + L_F = 135$$

(d) IFIR Filter Response

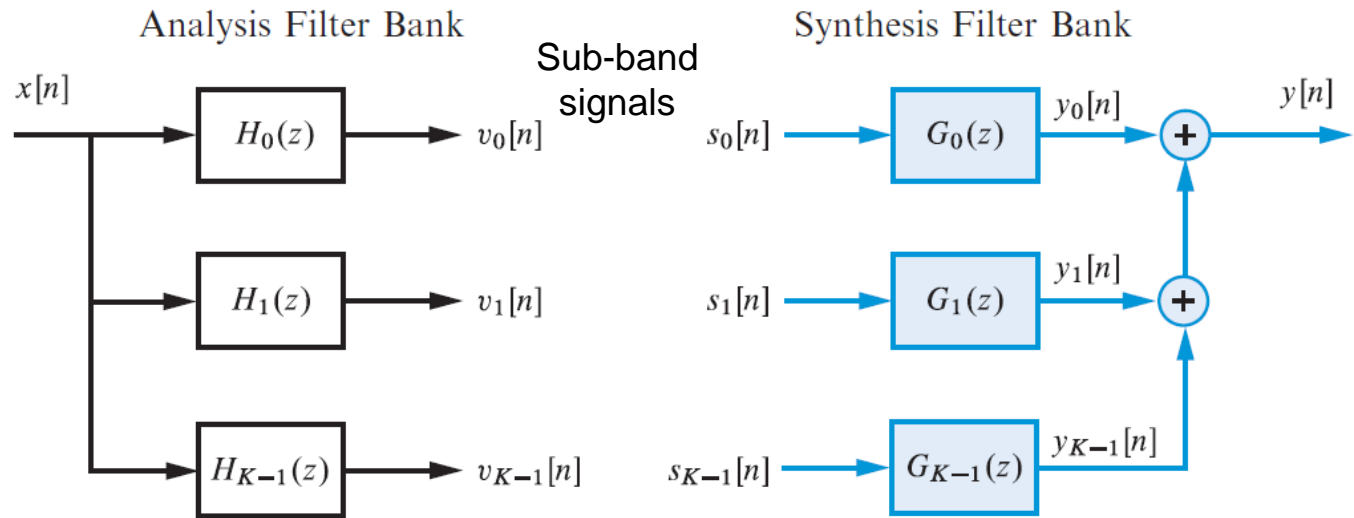


$$C = 1350 \text{ mult/s}$$



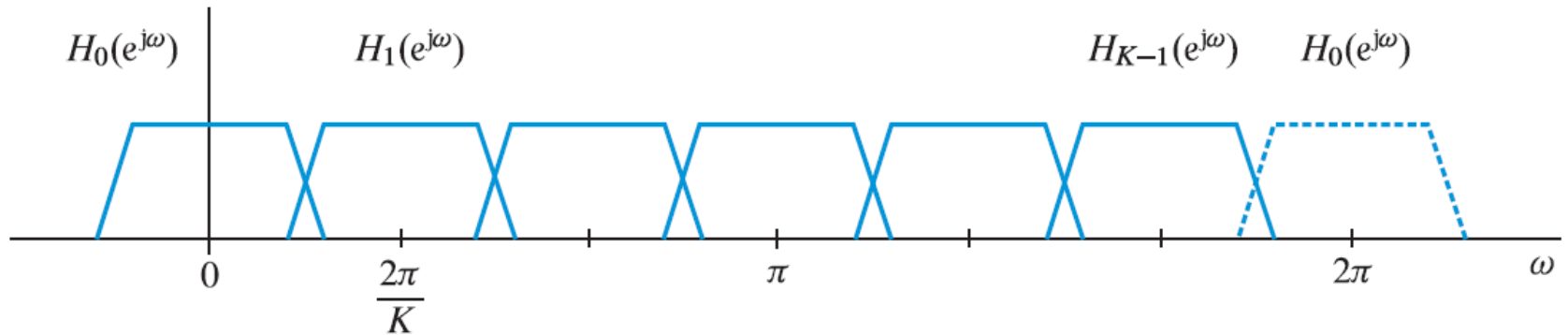
Filter bank

Filter banks



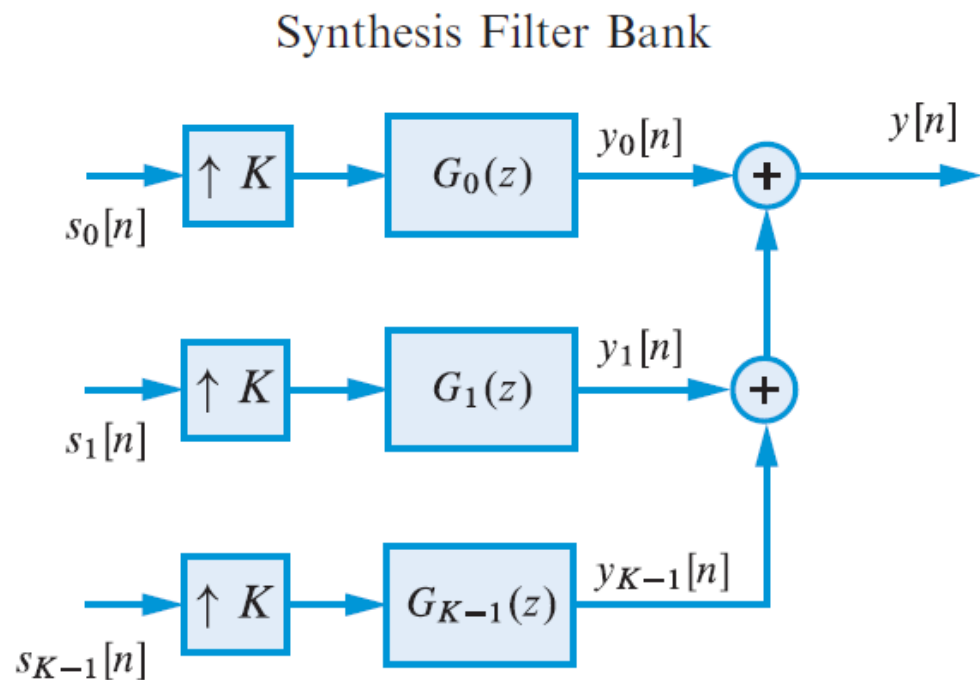
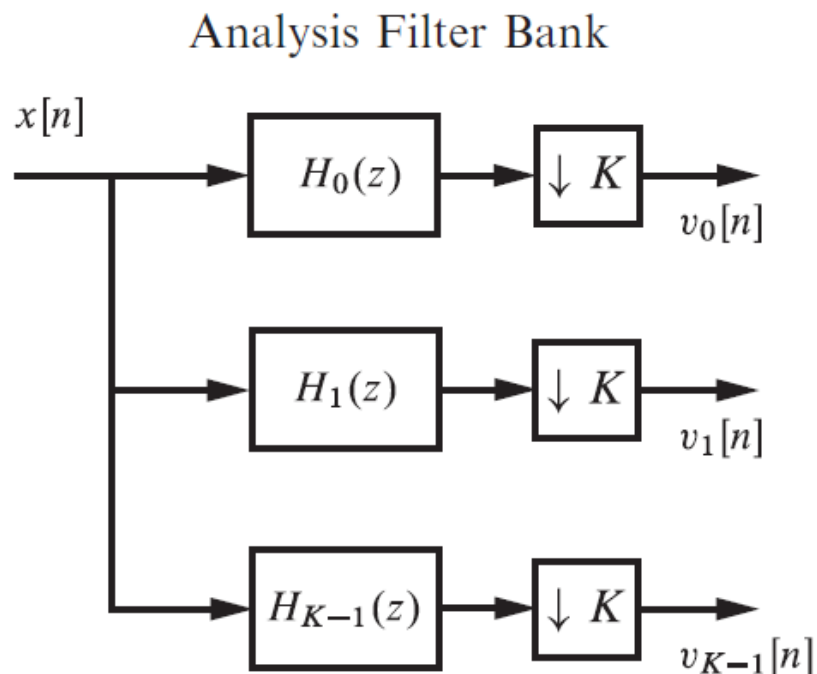
Uniform DFT Filter bank

$$H_k(z) = \sum_{n=0}^{\infty} h_k[n]z^{-n} = \sum_{n=0}^{\infty} h[n](zW_K^k)^{-n} = H(zW_K^k)$$



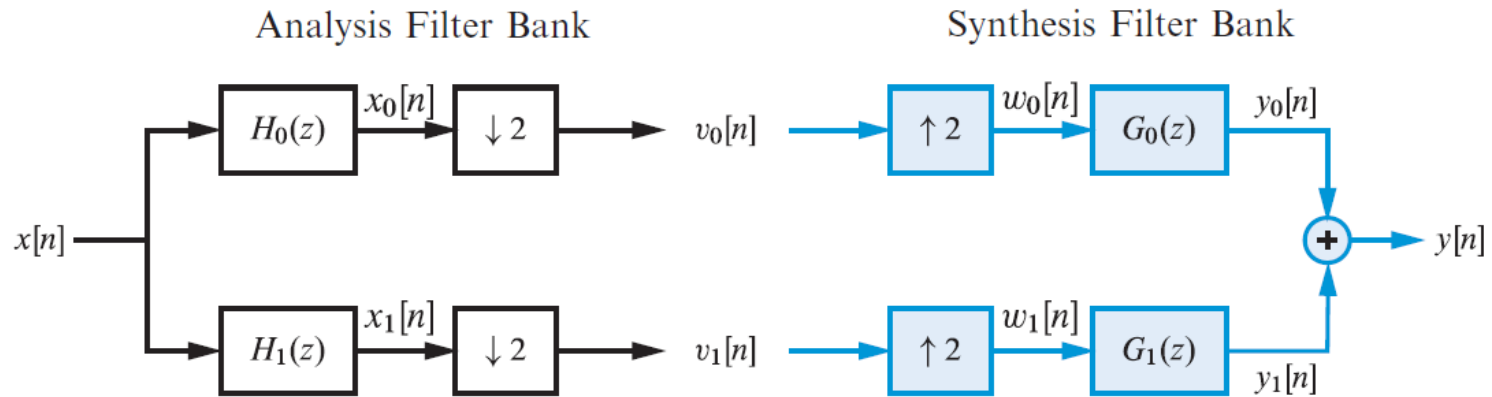


Maximally decimated multirate filter bank





Two-channel filter bank



$$V_0(z) = \frac{1}{2}H_0(z^{1/2})X(z^{1/2}) + \frac{1}{2}H_0(-z^{1/2})X(-z^{1/2}),$$

$$Y_0(z) = V_0(z^2)G_0(z),$$

$$Y_0(z) = \frac{1}{2}[H_0(z)X(z) + H_0(-z)X(-z)]G_0(z).$$

$$Y_1(z) = \frac{1}{2}[H_1(z)X(z) + H_1(-z)X(-z)]G_1(z)$$

Transfer term	Aliasing term
$Y(z) = \frac{1}{2}[\underline{T(z)X(z)} + \underline{A(z)X(-z)}]$	
$T(z) \triangleq H_0(z)G_0(z) + H_1(z)G_1(z),$	
$A(z) \triangleq H_0(-z)G_0(z) + H_1(-z)G_1(z).$	



Perfect reconstruction

Condition

$$A(z) = H_0(-z)G_0(z) + H_1(-z)G_1(z) = 0,$$

$$T(z) = H_0(z)G_0(z) + H_1(z)G_1(z) = Gz^{-nD},$$

$$\begin{bmatrix} H_0(z) & H_1(z) \\ H_0(-z) & H_1(-z) \end{bmatrix} \begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix} = \begin{bmatrix} Gz^{-nD} \\ 0 \end{bmatrix}$$

Solution

$$G_0(z) = \frac{2z^{-nD}}{\Delta_m(z)} H_1(-z), \quad G_1(z) = -\frac{2z^{-nD}}{\Delta_m(z)} H_0(-z),$$

$$\Delta_m(z) = H_0(z)H_1(-z) - H_0(-z)H_1(z) \quad \Delta_m(z) \neq 0$$

Product filter

$$R(z) \triangleq z^{nD}H_0(z)G_0(z) = \frac{2}{\Delta_m(z)} H_0(z)H_1(-z)$$

$$R(-z) = \frac{-2}{\Delta_m(z)} H_0(-z)H_1(z) = z^{nD}H_1(z)G_1(z) \quad \Delta_m(-z) = -\Delta_m(z)$$

$$R(z) + R(-z) = 2 \quad (\text{Gain } G = 2)$$

Two-channel perfect reconstruction filter bank



Necessary condition

$$R(z) + R(-z) = 2$$



$$R(z) = 1 + z^{-1}R_1(z^2)$$

$R(z)$ must be a **half-band** filter

$$R(z) = R_0(z^2) + z^{-1}R_1(z^2)$$

Proof

$$R_0(z^2) + z^{-1}R_1(z^2) + R_0(z^2) - z^{-1}R_1(z^2) = 2$$

Additional conditions

Orthogonal

$$R(z) = H(z)H(z^{-1})$$

$R(z)$ is an autocorrelation sequence

Bi-orthogonal

$$R(z) = H_0(z)G_0(z)$$

$R(z)$ is a correlation sequence

Perfect reconstruction FIR filter bank: Conjugate quadrature filter (CQF)



CQF

$$H_0(z) = H(z),$$

$$H_1(z) = -z^{-M}H(-z^{-1}).$$

Conjugate:

$$H(e^{-j\omega}) = H^*(e^{j\omega}) \text{ for real-valued } h$$

Quadrature:

ω shifted by $\pi \Rightarrow$ mirrored around $\pi/2$

FIR condition
(M is odd)

$$\begin{aligned} \Delta_m(z) &= H_0(z)H_1(-z) - H_0(-z)H_1(z) \\ &= z^{-M} \left[H(z)H(z^{-1}) + H(-z)H(-z^{-1}) \right] \end{aligned}$$

$$\Rightarrow H(z)H(z^{-1}) + H(-z)H(-z^{-1}) = 1$$

$$|H(e^{j\omega})|^2 + |H(e^{j(\omega-\pi)})|^2 = 1$$

Power complementary

$$|H_0(e^{j\omega})|^2 + |H_1(e^{j\omega})|^2 = 1$$

Synthesis filters

$$G_0(z) = 2H_1(-z) = -2z^{-M}H(z^{-1}),$$

$$G_1(z) = -2H_0(-z) = -2H(-z).$$

\Rightarrow

$$R(z) = z^{n_D} H_0(z)G_0(z)$$

$$= 2H(z)H(z^{-1})$$

$$R(z) + R(-z) = 2$$



Properties of CQF

R(z) as autocorrelation sequence

$$r_h[n] = h[n] * h[-n] \xleftrightarrow{\mathcal{Z}} R_h(z) = H(z)H(z^{-1})$$

$$R_h(e^{j\omega}) = |H(e^{j\omega})|^2 \geq 0$$

Orthogonal filter

$$\sum_{k=0}^M h[k]h[k + 2n] = 0, \quad n \neq 0 \quad \because \text{Half-band } R(z)$$

CQFs

$$h_0[n] = h[n] \xleftrightarrow{\text{DTFT}} H_0(e^{j\omega}) = H(e^{j\omega}),$$

$$h_1[n] = (-1)^n h[M - n] \xleftrightarrow{\text{DTFT}} H_1(e^{j\omega}) = -H(e^{-j(\omega-\pi)})e^{-j\omega M},$$

$$g_0[n] = h[M - n] \xleftrightarrow{\text{DTFT}} G_0(e^{j\omega}) = 2H(e^{-j\omega})e^{-j\omega M},$$

$$g_1[n] = -(-1)^n h[n] \xleftrightarrow{\text{DTFT}} G_1(e^{j\omega}) = -2H(e^{-j(\omega-\pi)}).$$

Design procedure of a CQF bank



1. Design a lowpass zero-phase half-band FIR filter $R_0(z)$ of order $2M$, where the number M must be an *odd* integer (see [Section 12.3.1](#)).
2. If the minimum value δ_{\min} of the real and even function $R_0(e^{j\omega})$ is negative, form a nonnegative function as

$$R_+(e^{j\omega}) = R_0(e^{j\omega}) + |\delta_{\min}| \geq 0. \quad (12.130)$$

This is equivalent to adding the value $|\delta_{\min}|$ to the sample $r_0[0]$, that is,

$$r_+[n] = r_0[n] + |\delta_{\min}| \delta[n]. \quad (12.131)$$

3. Scale $R_+(z)$ so that the frequency response is equal to $1/2$ at $\omega = \pi/2$,

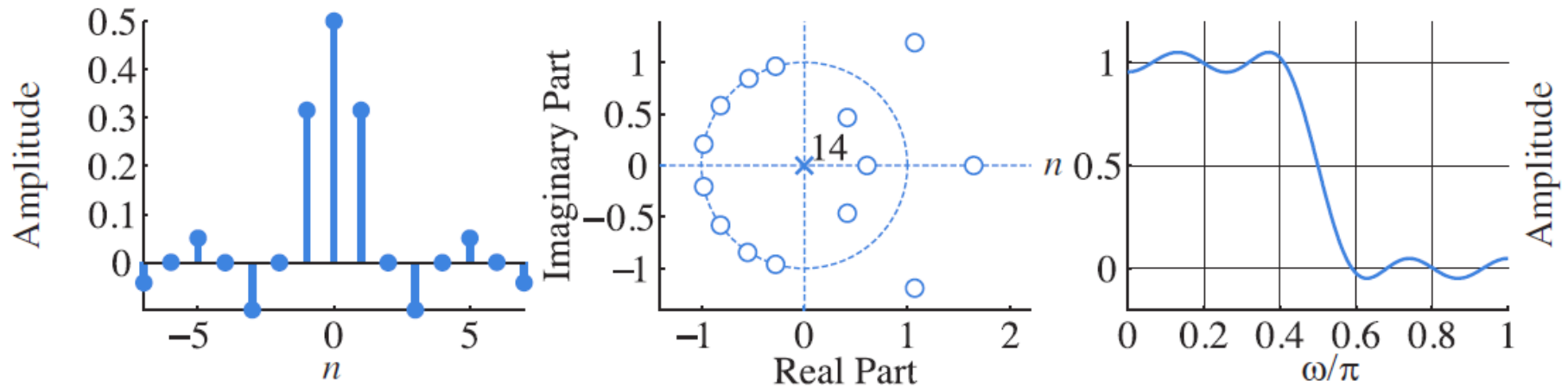
$$R(z) = \frac{1/2}{1/2 + |\delta_{\min}|} R_+(z). \quad (12.132)$$

4. Determine the minimum-phase filter $H(z)$ by solving the spectral factorization problem $R(z) = H(z)H(z^{-1})$ (see [Section 5.8](#)).
5. Specify the remaining filters of the bank using [\(12.115b\)](#) and [\(12.120\)](#).

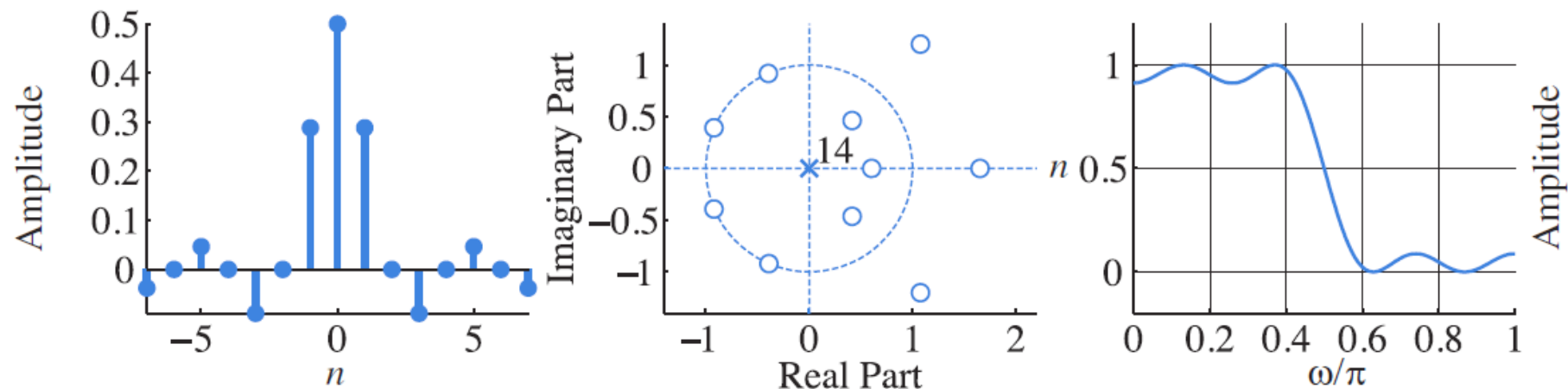


Example of a CQF bank (1/2)

half-band FIR filter $R_0(z)$ $M = 7$ Parks–McClellan algorithm



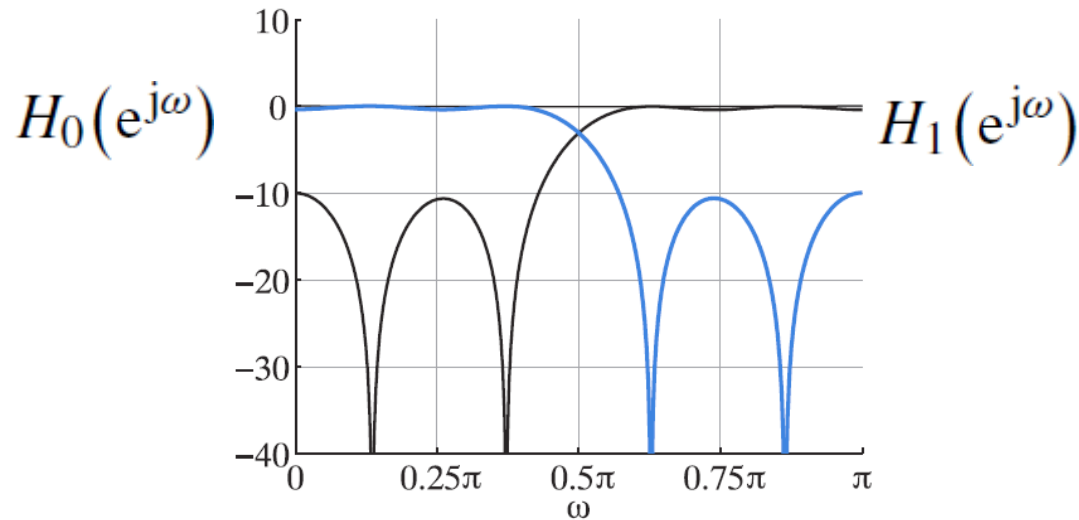
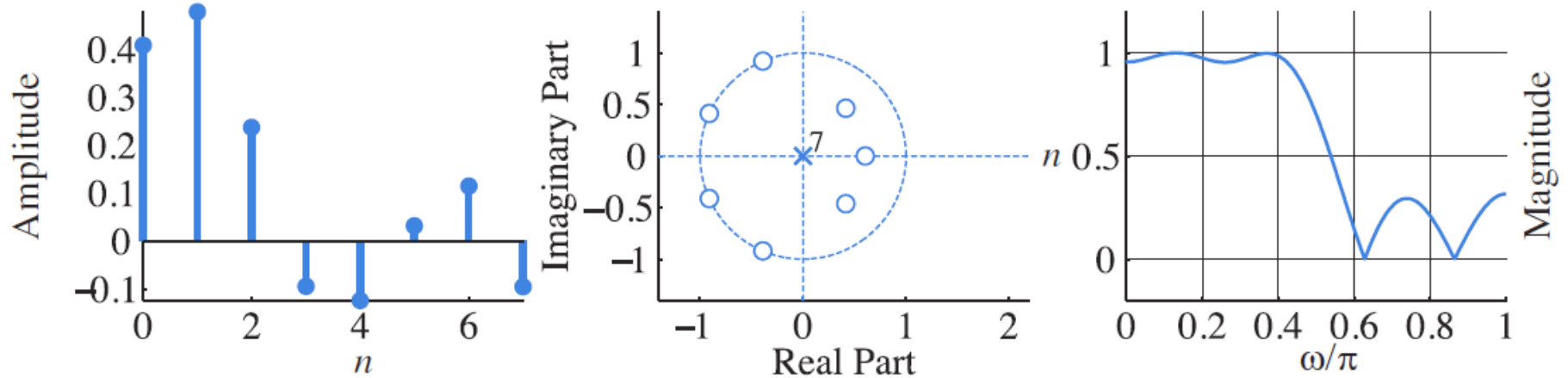
$R_+(z)$





Example of a CQF bank (2/2)

$H(z)$ Minimum-phase (**not linear-phase**)





Perfect reconstruction FIR filter bank: Quadrature mirror filter (QMF)

QMF

$$H_0(z) = H(z), \quad H_1(z) = H(-z),$$

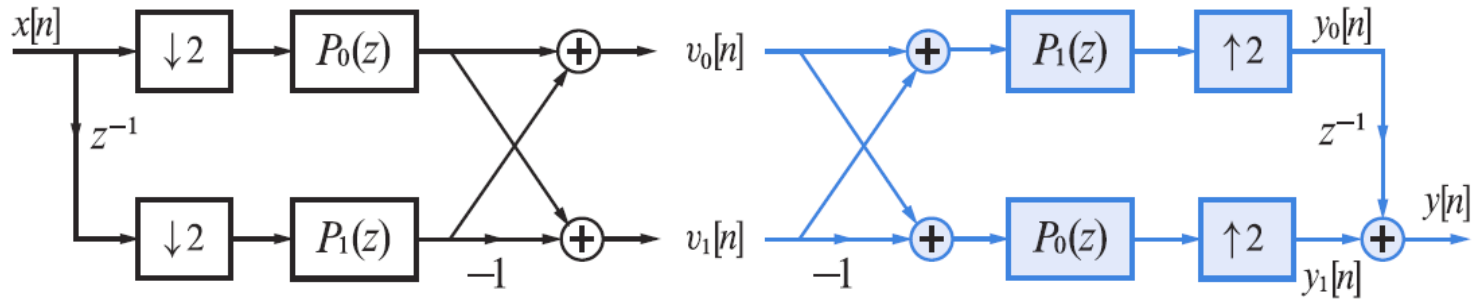
$$G_0(z) = H(z), \quad G_1(z) = -H(-z).$$

Quadrature:

ω shifted by $\pi \Rightarrow$ mirrored around $\pi/2$

**Poly-phase
implementation**

$$H(z) = P_0(z^2) + z^{-1}P_1(z^2)$$



Aliasing-free

$$A(z) = H_0(-z)G_0(z) + H_1(-z)G_1(z)$$

$$= H(-z)H(z) - H(z)H(-z) = 0.$$



Design $H(z)$ directly for QMF

Transfer function

$$\begin{aligned} T(z) &= H_0(z)G_0(z) + H_1(z)G_1(z) \\ &= H^2(z) - H^2(-z). \end{aligned}$$

$$T(z) = \Delta_m(z) = 2z^{-1}P_0(z^2)P_1(z^2)$$

PR is not practical

$$\begin{aligned} P_0(z) &= b_0z^{-n_0} & \Rightarrow & H(z) = b_0z^{-2n_0} + b_1z^{-(2n_1+1)} \\ P_1(z) &= b_1z^{-n_1} \end{aligned}$$

**Linear-phase $H(z)$
(M is odd)**

$$\begin{aligned} H(e^{j\omega}) &= A(e^{j\omega})e^{-j\omega M/2} \\ \Rightarrow T(e^{j\omega}) &= e^{-j\omega M} \left[|H(e^{j\omega})|^2 + |H(e^{j(\omega-\pi)})|^2 \right] \end{aligned}$$

Design criterion by Johnston

$$J = \alpha \int_{\omega_s}^{\pi} |H(e^{j\omega})|^2 d\omega + (1 - \alpha) \int_0^{\pi} \left(1 - |T(e^{j\omega})|^2 \right) d\omega$$



Example of a QMF bank

