
EE3230 Lecture 3: MOS Transistor Theory

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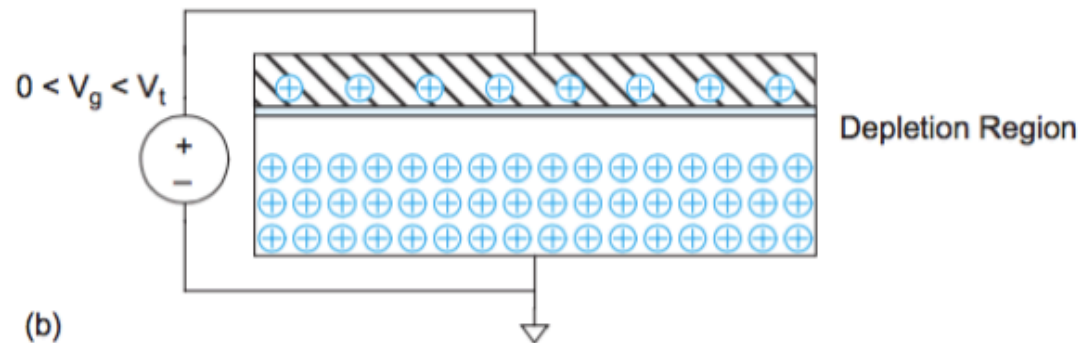
Outline

- **Introduction**
- Ideal I-V characteristics
- Nonideal Effects
- C-V characteristics
- DC transfer characteristics
- Switch-level RC delay models

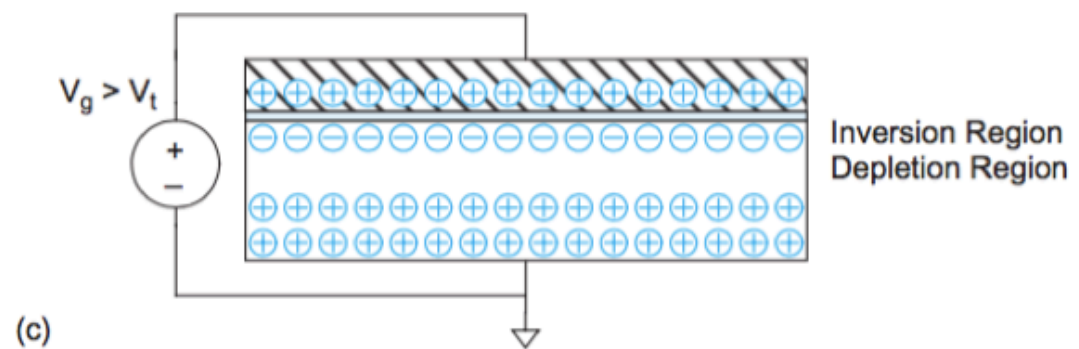
MOS Transistor Symbols

MOS Structure

- Depletion mode

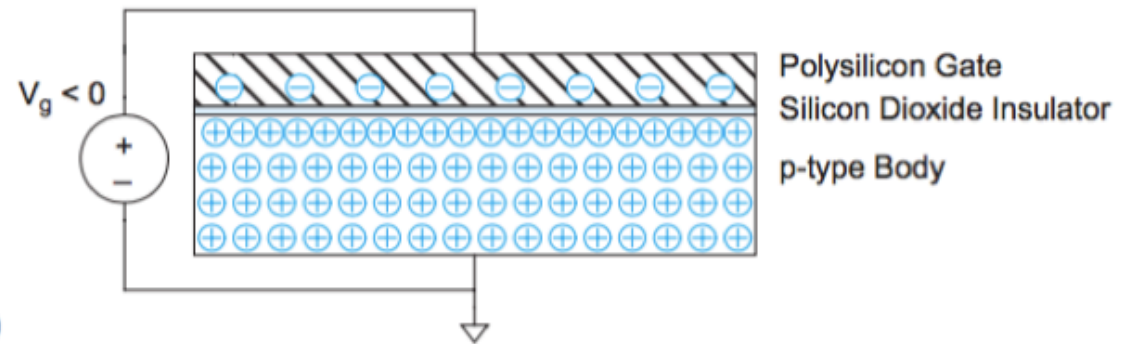


- Inversion mode

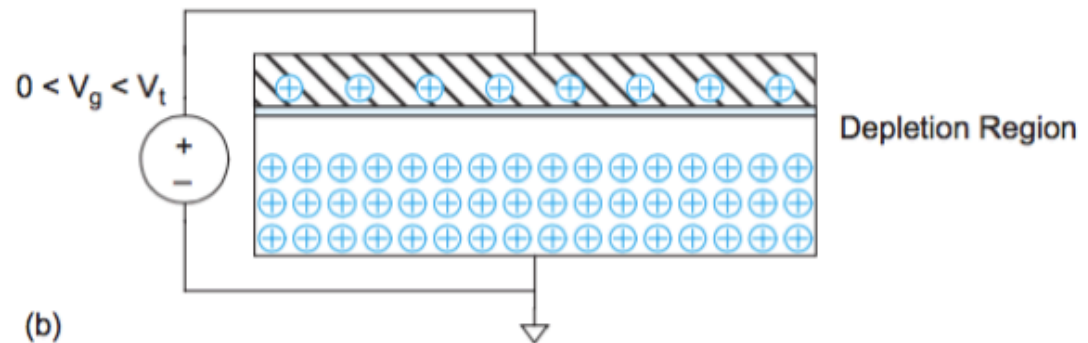


MOS Structure

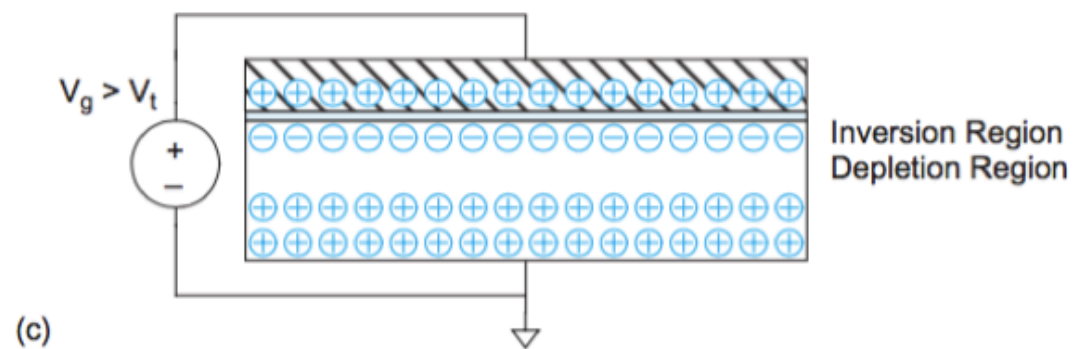
- Accumulation mode



- Depletion mode

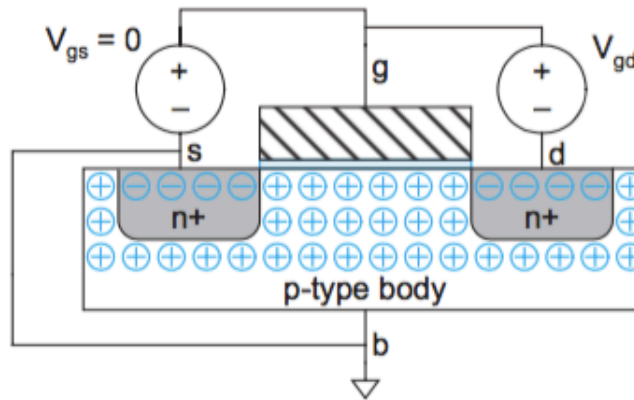


- Inversion mode



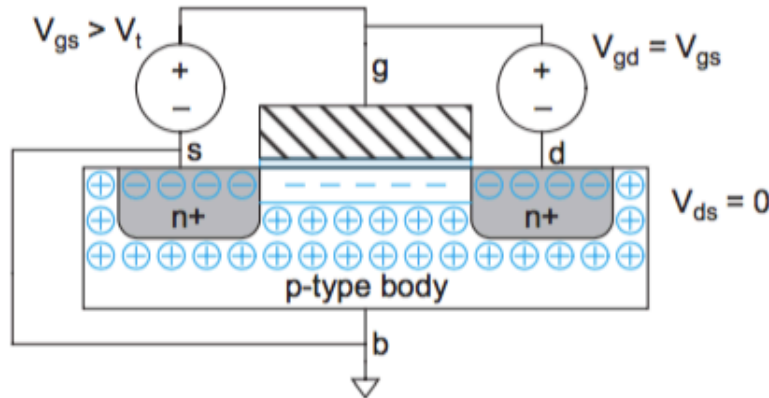
NMOS Operating Regions (I, II)

- Cutoff

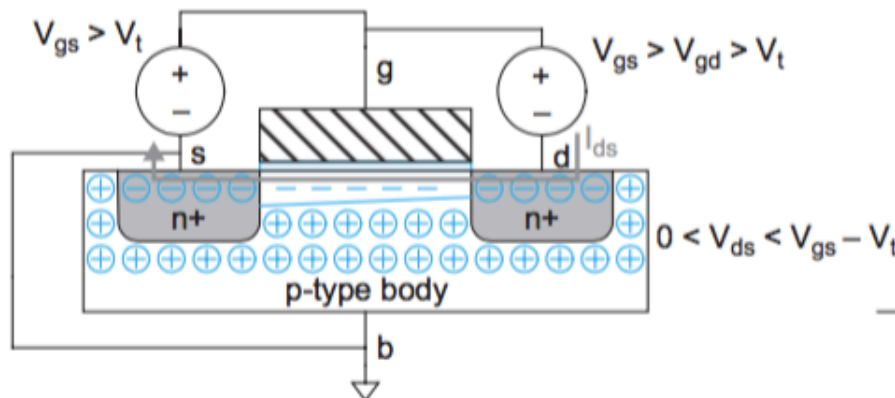


Cutoff:
No Channel
 $I_{ds} = 0$

- Linear

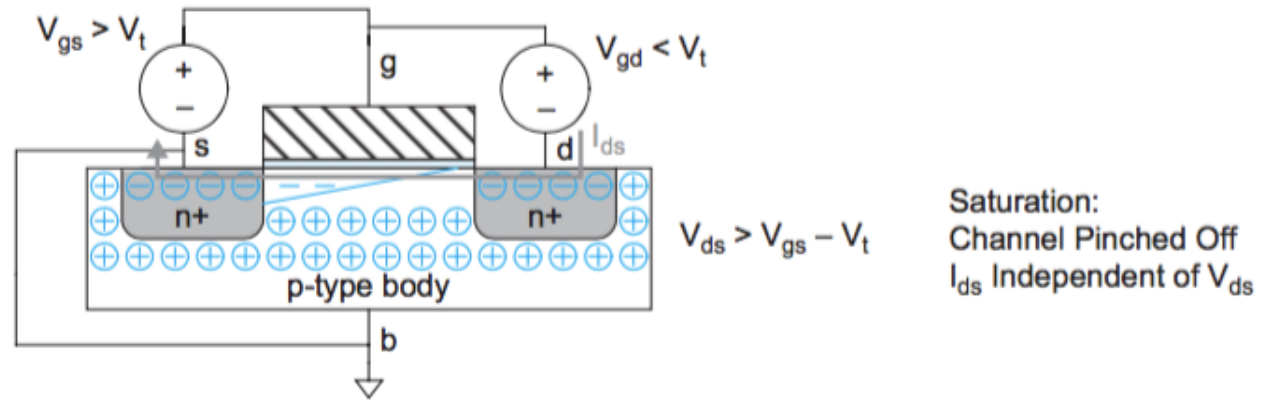


Linear:
Channel Formed
 I_{ds} Increases with V_{ds}



NMOS Operating Regions (III)

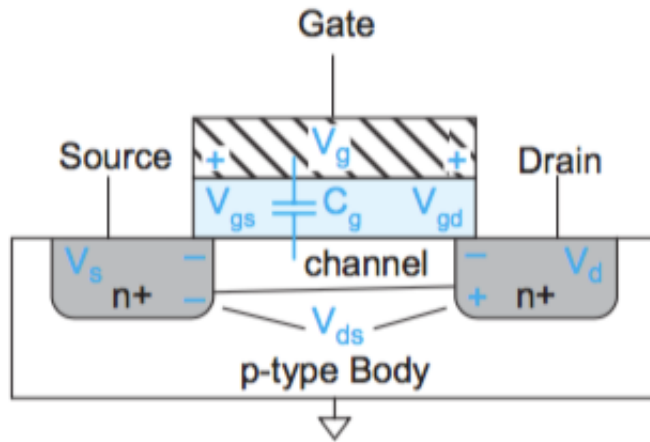
- Saturation



Outline

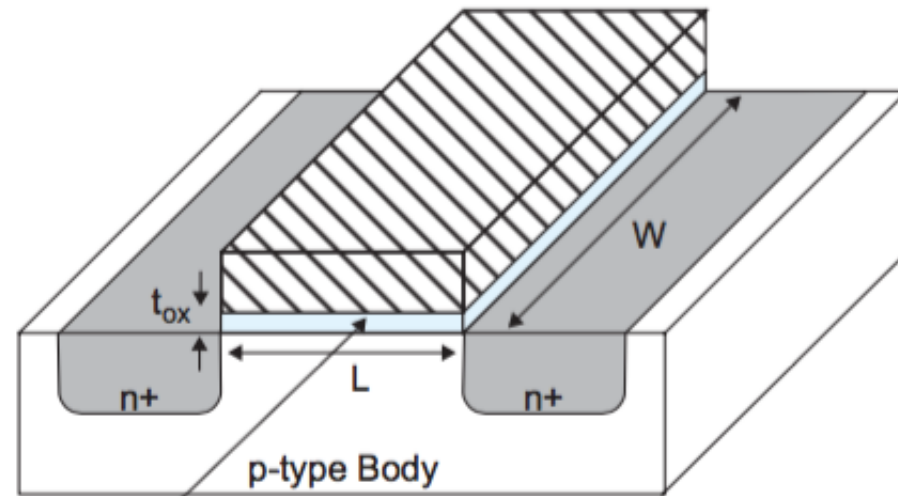
- Introduction
- **Ideal I-V characteristics**
- Nonideal Effects
- C-V characteristics
- DC transfer characteristics
- Switch-level RC delay models

MOS Channel Charge



Average gate to channel potential:

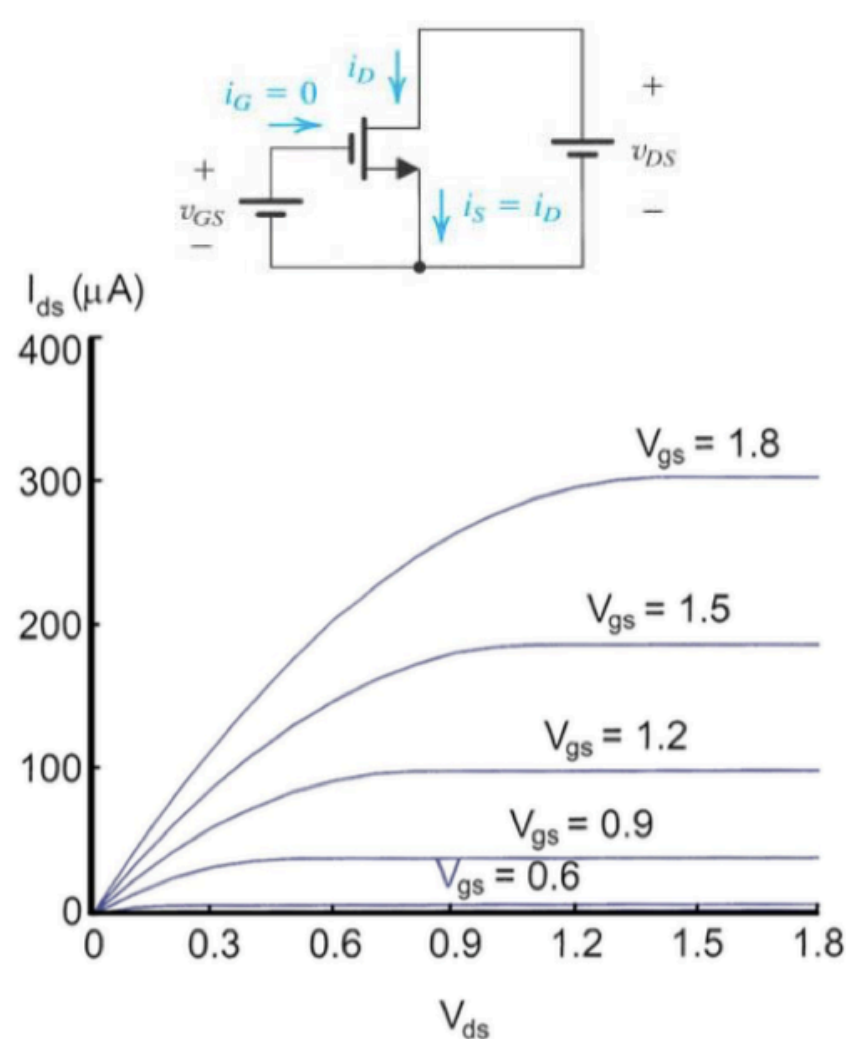
$$V_{gc} = (V_{gs} + V_{gd})/2 = V_{gs} - V_{ds}/2$$



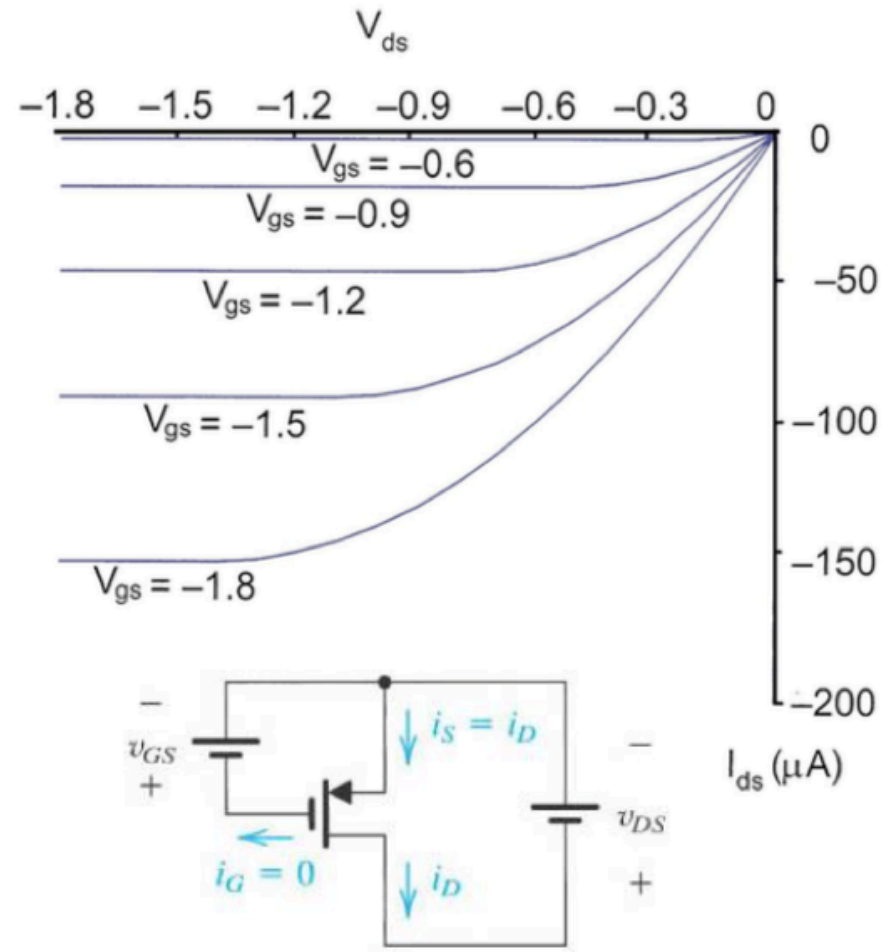
SiO₂ Gate Oxide
(insulator, $\epsilon_{ox} = 3.9\epsilon_0$)

Ideal I-V Equations

Ideal I-V Characteristics



• nMOS I-V



• pMOS I-V

Outline

- Introduction
- Ideal I-V characteristics
- **Nonideal Effects**
- C-V characteristics
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Nonideal I-V Effects

- **Velocity saturation** at high V_{ds} \uparrow , the carrier velocity is no longer proportional to lateral field. I_{ds} decrease \downarrow .
- **Mobility degradation** at high V_{gs} \uparrow , the carrier scatter more and mobility decreases. I_{ds} decrease \downarrow .
- **Channel length modulation** at high V_{ds} \uparrow , depletion of S/D \uparrow , effective L \downarrow , I_{ds} increase \uparrow .
- **Body effect** threshold voltage V_{th} influenced by v_{bs} (body-to-source voltage).
- **Subthreshold conduction** $V_{gs} < V_{th}$, I_{ds} is exponentially dropoff instead of abruptly becoming zero
- **Drain/source leakage** reverse diode junction leakage
- **Non-zero gate current I_g** carriers tunneling effect

Velocity Saturation

- **Carrier velocity** nonlinearly proportional to lateral electrical field before velocity saturation

$$v = \mu E_{lat} / (1 + E_{lat} / E_{sat})$$

v : carrier velocity

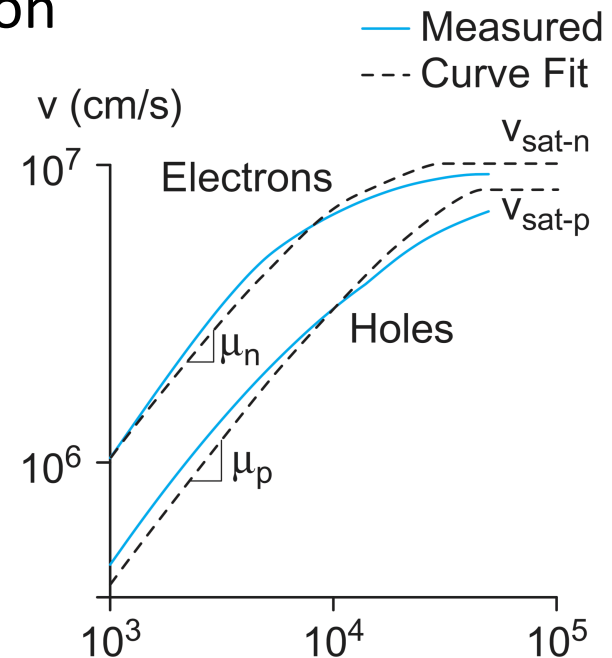
μ : mobility

$E_{lat} = V_{ds} / L$: lateral electrical field

$E_{sat} = v_{sat} / \mu$

- I_{ds} will saturate to velocity saturation, depending on channel length L and applied V_{ds}

$$I_{ds} = \frac{Q_{channel}}{t_{channel}} = \frac{Q_{channel}}{L / v_{sat}} = C_{ox} W (V_{gs} - V_t) v_{sat}$$



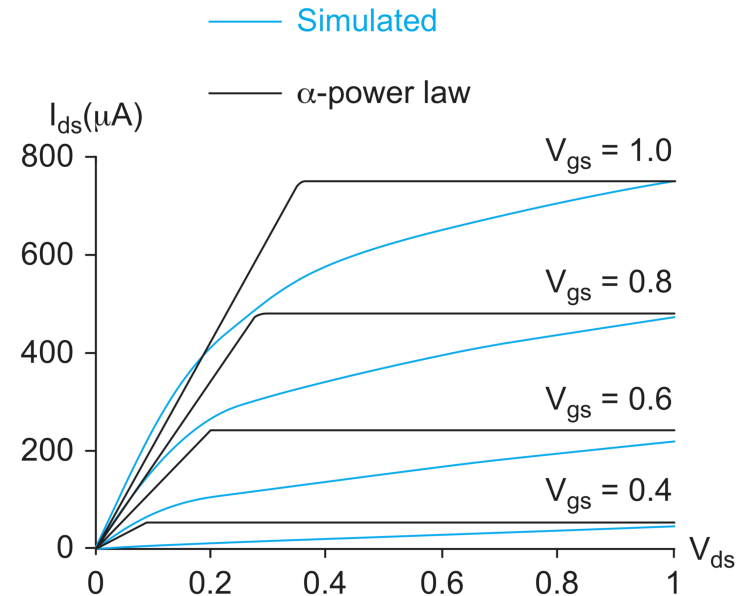
α -power Law Model

- Piecewise linear model to illustrate MOSFET's I-V characteristic with velocity saturation

$$I_{ds} = \begin{cases} 0 & V_{gs} < V_t & \text{cutoff} \\ I_{dsat} \frac{V_{ds}}{V_{dsat}} & V_{ds} < V_{dsat} & \text{linear} \\ I_{dsat} & V_{ds} > V_{dsat} & \text{saturation} \end{cases}$$

$$I_{dsat} = P_c \frac{\beta}{2} (V_{gs} - V_t)^\alpha, \quad V_{dsat} = P_v (V_{gs} - V_t)^{\alpha/2}$$

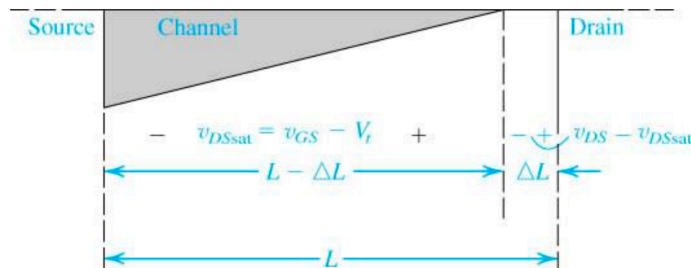
Empirical parameters: P_c, P_v, α



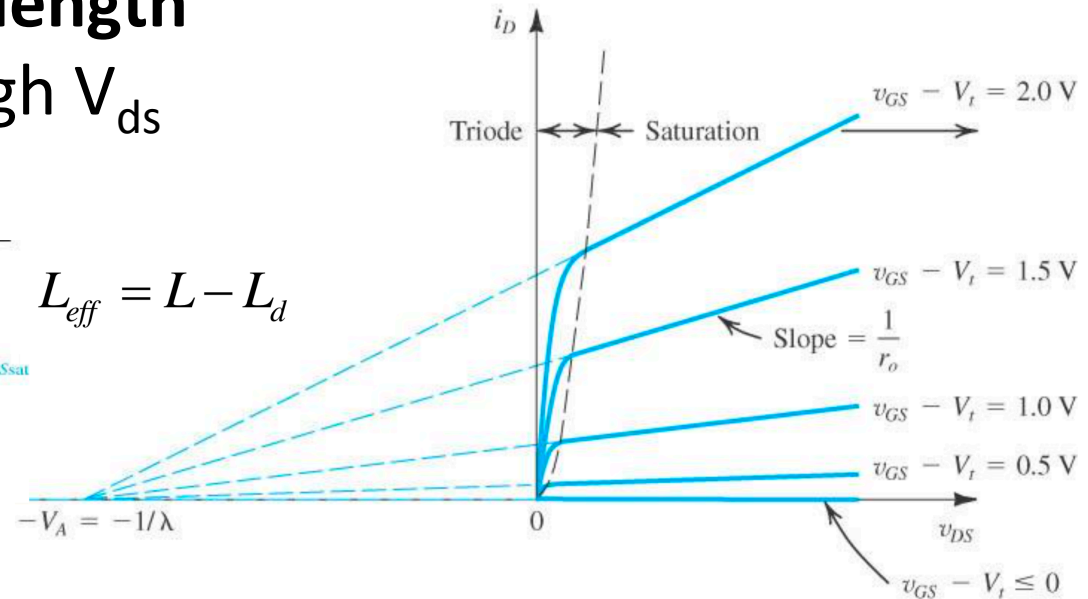
- Because $\mu_p < \mu_n$** , PMOS experiences less velocity saturation than NMOS $\rightarrow \alpha_p > \alpha_n$
- Mobility degradation** is modeled by a $\mu_{\text{eff}} < \mu$, and it can be included in to the parameter α

Channel Length Modulation

- **Effective channel length** reduced due to high V_{ds}



$$L_{eff} = L - L_d$$



- I-V equation at saturation region:
 - λ' empirical parameter

$$I_{ds} = \beta \frac{(V_{gs} - V_t)^2}{2} (1 + \lambda V_{ds}), \lambda = \lambda' / L$$

- With shorter $L \downarrow$, $\lambda \uparrow$, resulting in output resistance \downarrow , MOSFET intrinsic gain \downarrow

Body Effect

- Threshold voltage V_{th} increased with positive V_{sb}
- $V_{sb} < 0 \rightarrow V_{th} \downarrow$, OFF leakage \uparrow (design trade-off)

$$V_t = V_{t0} + \gamma [\sqrt{2\phi_s + V_{sb}} - \sqrt{2\phi_s}], \quad \phi_s = 2v_T \ln \frac{N_A}{n_i}$$

V_{t0} : threshold voltage for $V_{sb} = 0$
 γ : process-dependent parameter

$$\gamma = \frac{t_{ox}}{\epsilon_{ox}} \sqrt{2qN_A \epsilon_{si}} = \frac{\sqrt{2qN_A \epsilon_{si}}}{C_{ox}}$$

γ : body-effect coefficient (process-dependent)
 N_A : doping concentration of p-substrate
 ϵ_{si} : permittivity of silicon = $11.7 \epsilon_0$

Subthreshold Conduction

- Leakage current at subthreshold region

- $V_{gs} < V_{th}$: weak inversion

$$I_{ds} = I_{ds0} e^{\frac{V_{gs} - V_t}{nV_T}} \left(1 - e^{-\frac{V_{ds}}{V_T}} \right)$$

$$I_{ds0} = \beta v_T^2 e^{1.8}$$

- $I_d = 0$ when $V_{ds} = 0$

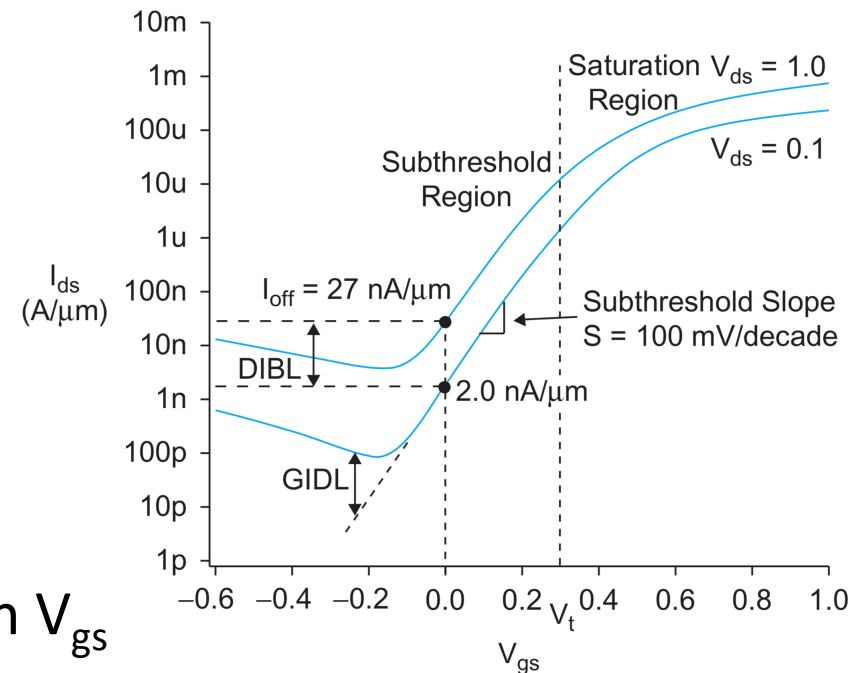
- Increase exponentially with V_{gs}

- Drain-induced barrier lowering (DIBL)**

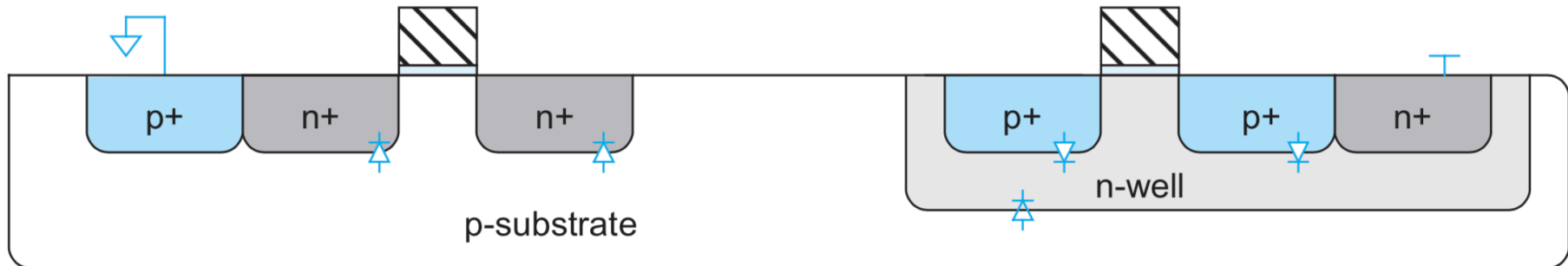
- V_{th} will reduce with positive V_{ds}

- Worsens leakage at subthreshold

- Like channel-length modulation at active mode



Junction Leakage

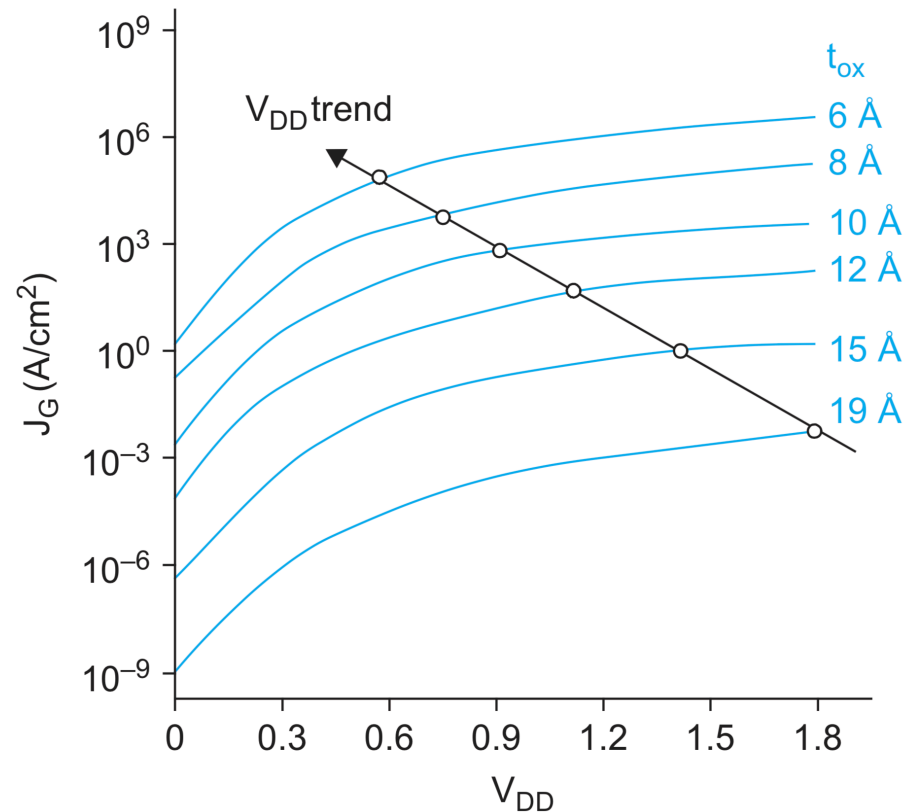


- S/D junction leakage from a reverse-biased diode

$$I_D = I_S \left(e^{\frac{V_D}{V_T}} - 1 \right)$$

- Junction leakage used to be the limitation for storage time. In modern processes, subthreshold leakage becomes dominant

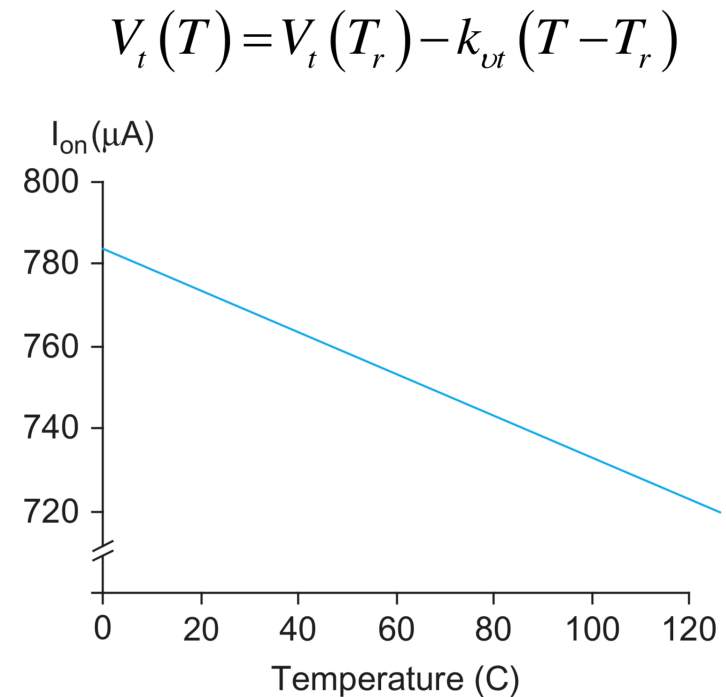
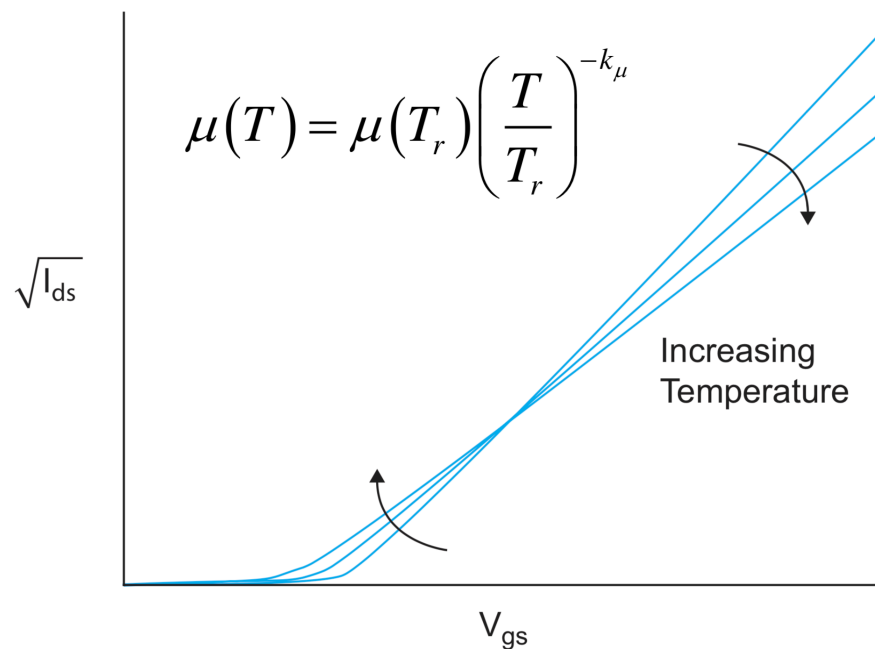
Tunneling Effect



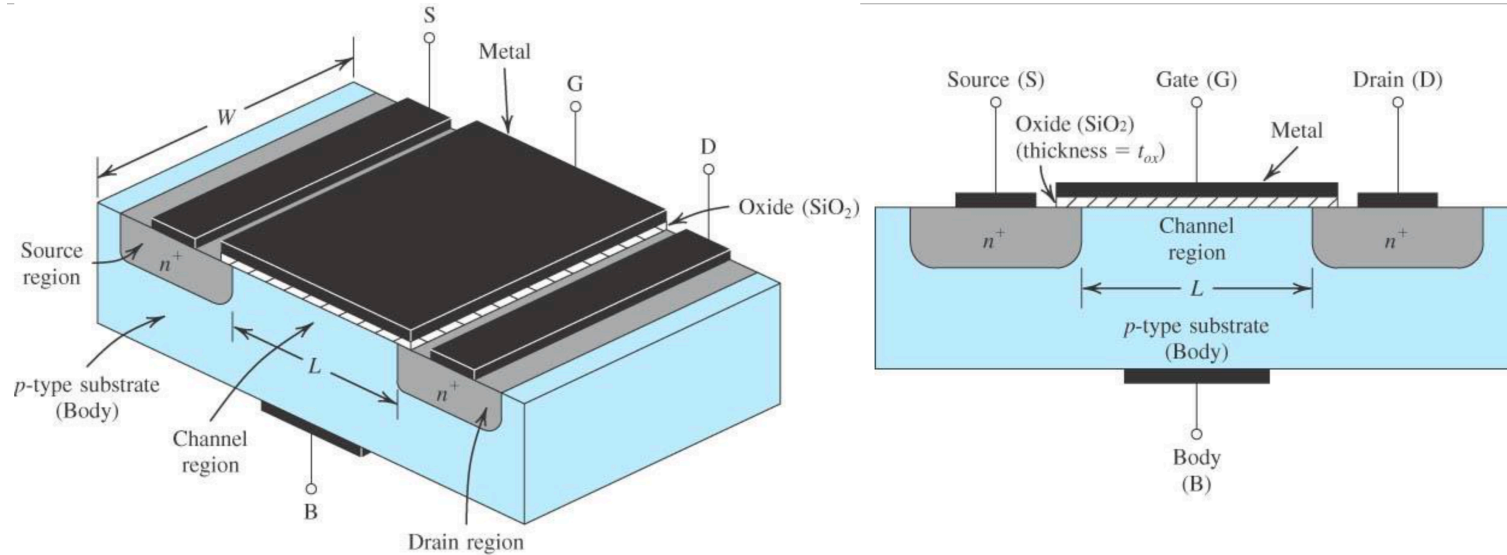
- Gate leakage: from carriers' tunneling through gate oxide. Exponentially inversely proportional to gate oxide thickness.
- High- k (dielectric constant) gate insulator used

Temperature Dependence

- $T \uparrow$ $I_{OFF} \uparrow$ $I_{ON} \downarrow$
- Circuit performance improve with $T \downarrow$: subthreshold leakage \downarrow , saturation velocity \uparrow , mobility \uparrow , junction capacitance \downarrow , but breakdown voltage \downarrow .



Geometry Dependence



- Effective channel length and width

$$L_{eff} = L_{drawn} + X_L - 2L_D \quad X_L, X_W : \text{Poly over-etch}$$

$$W_{eff} = W_{drawn} + X_W - 2W_D \quad L_D, W_D : \text{Source-drain lateral diffusion}$$

- Use identical and same orientation for MOSFETs for good matching – **EX**: differential pair, current mirror

Outline

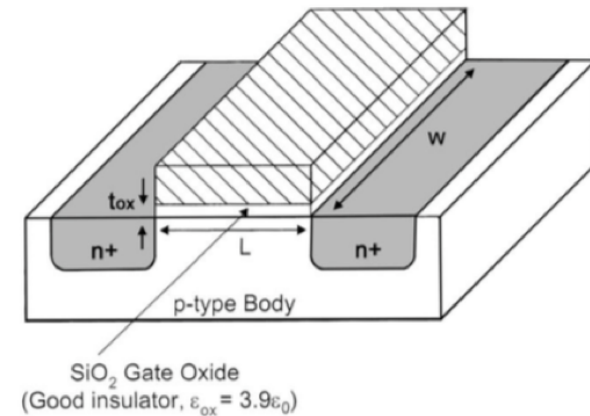
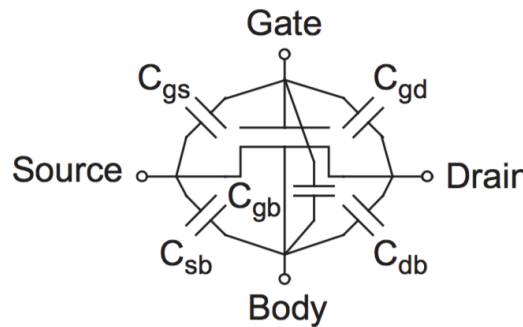
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C-V Characteristics

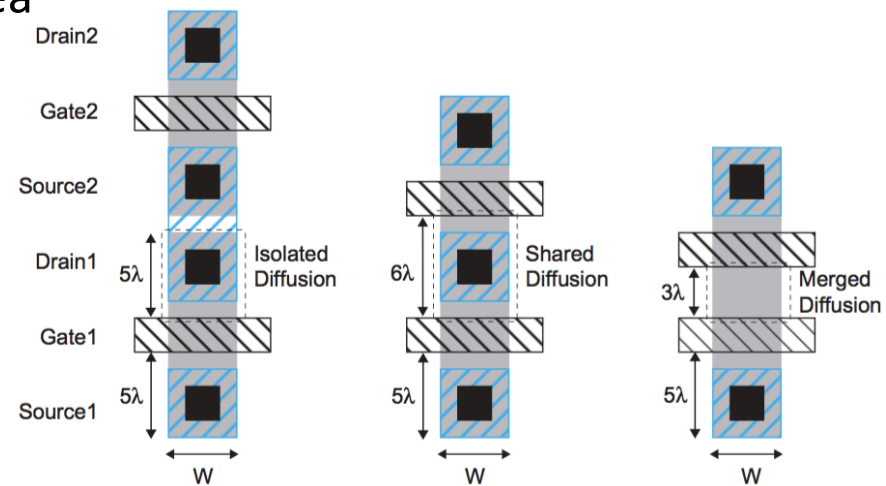
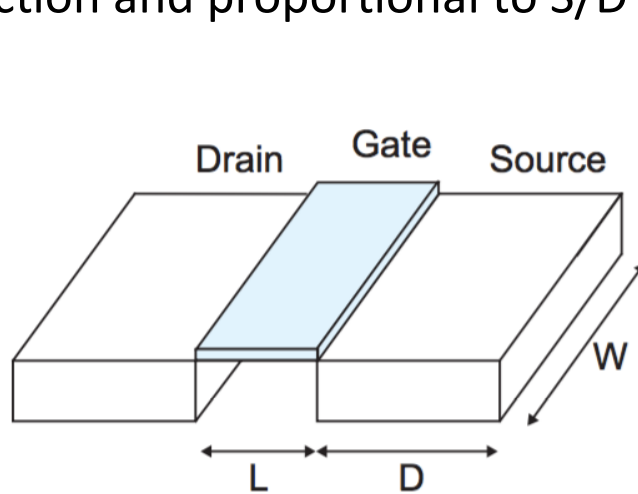
- **Gate capacitance:** with advanced technology, $t_{ox} \downarrow$, $L \downarrow$, $C_{permicron}$ keeps constant

$$C_g = C_{ox}WL = C_{permicron}W$$

$$C_{permicron} = C_{ox}L = \frac{\epsilon_{ox}}{t_{ox}}L$$



- **Parasitic capacitance:** C_{db} and C_{sb} from reverse-biased p-n junction and proportional to S/D area



MOS Gate Capacitance Model

- **Gate capacitance:** vary with channel behavior at different operation regions

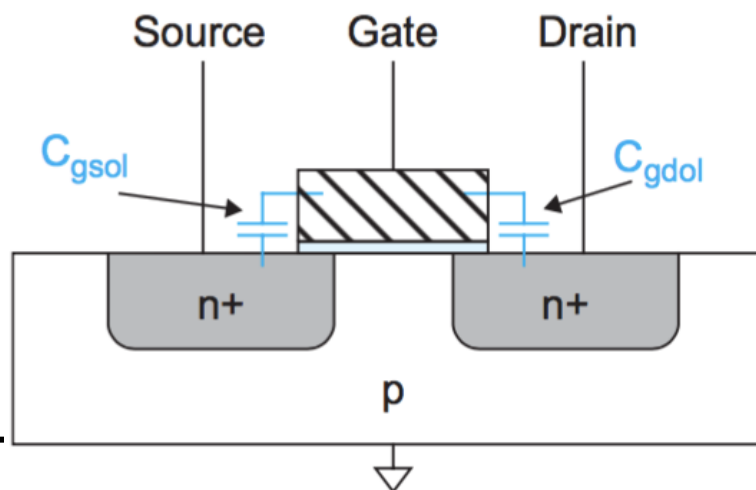
$$C_0 = C_{ox}WL$$

Parameter	Cutoff	Linear	Saturation
C_{gb}	$\leq C_0$	0	0
C_{gs}	0	$C_0/2$	$2/3 C_0$
C_{gd}	0	$C_0/2$	0
$C_g = C_{gs} + C_{gd} + C_{gb}$	C_0	C_0	$2/3 C_0$

- **S/D overlap capacitance:** $C_{gs(overlap)}$ and $C_{gd(overlap)}$ from S/D lateral diffusion

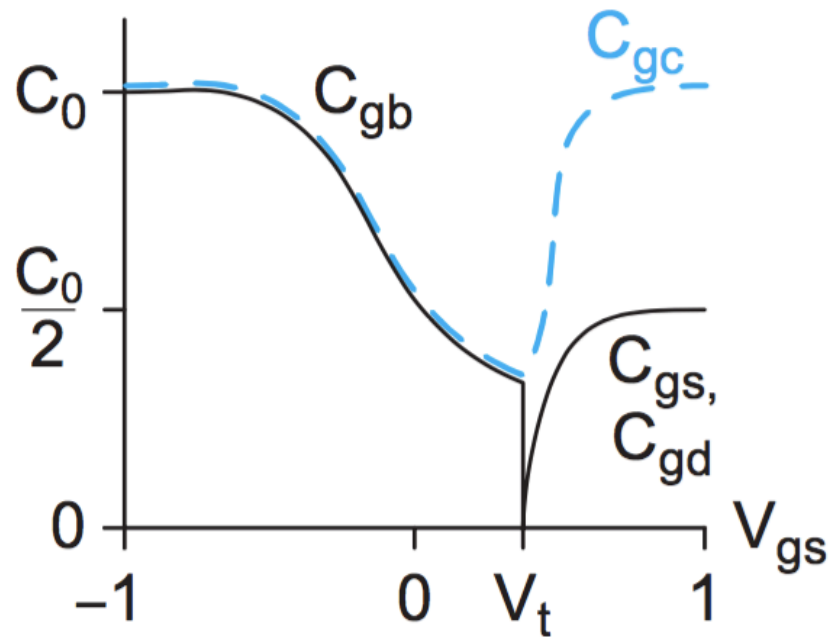
$$C_{gs(overlap)} = C_{gsol}W$$

$$C_{gd(overlap)} = C_{gdol}W$$

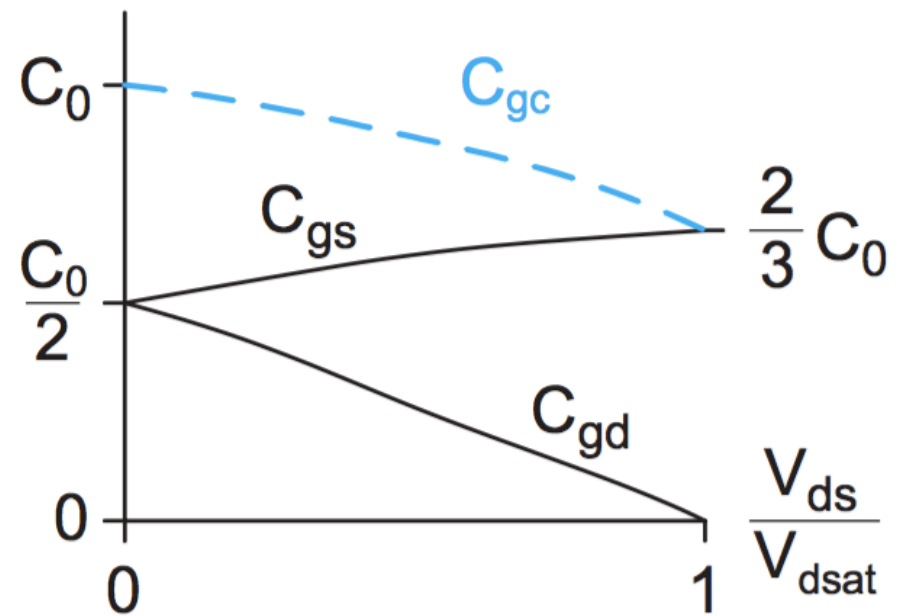


C_{gc} vs. V_{gs} and V_{ds}

- C_{gc} vs. V_{gs}

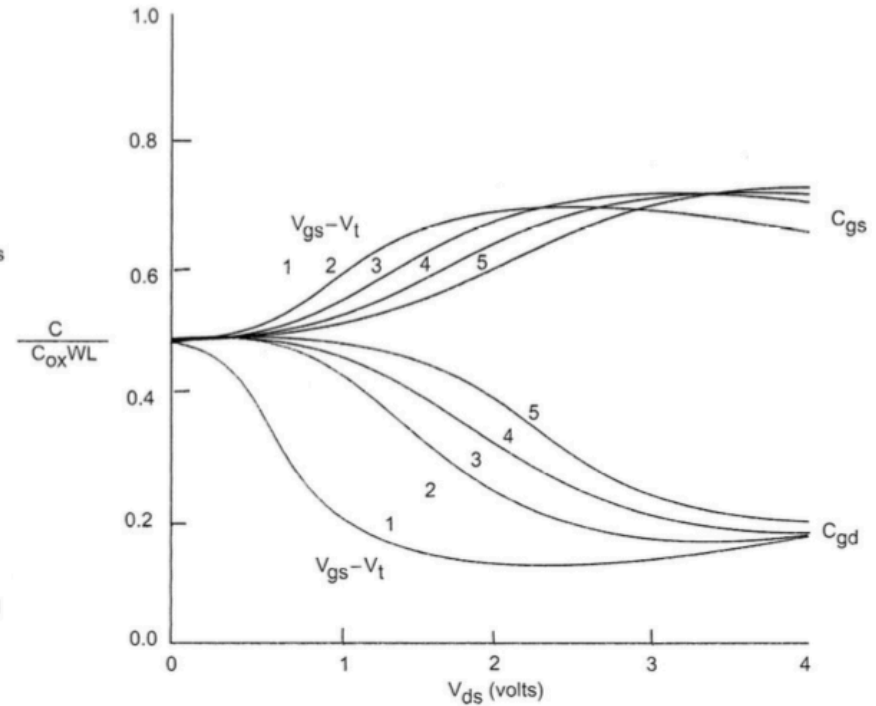
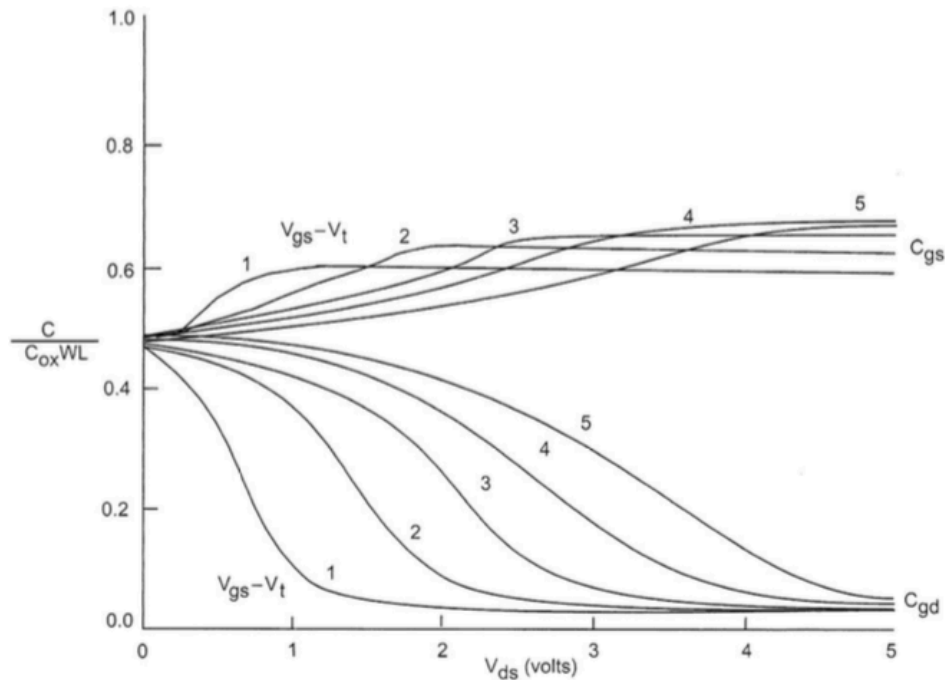


- C_{gc} vs. V_{ds}

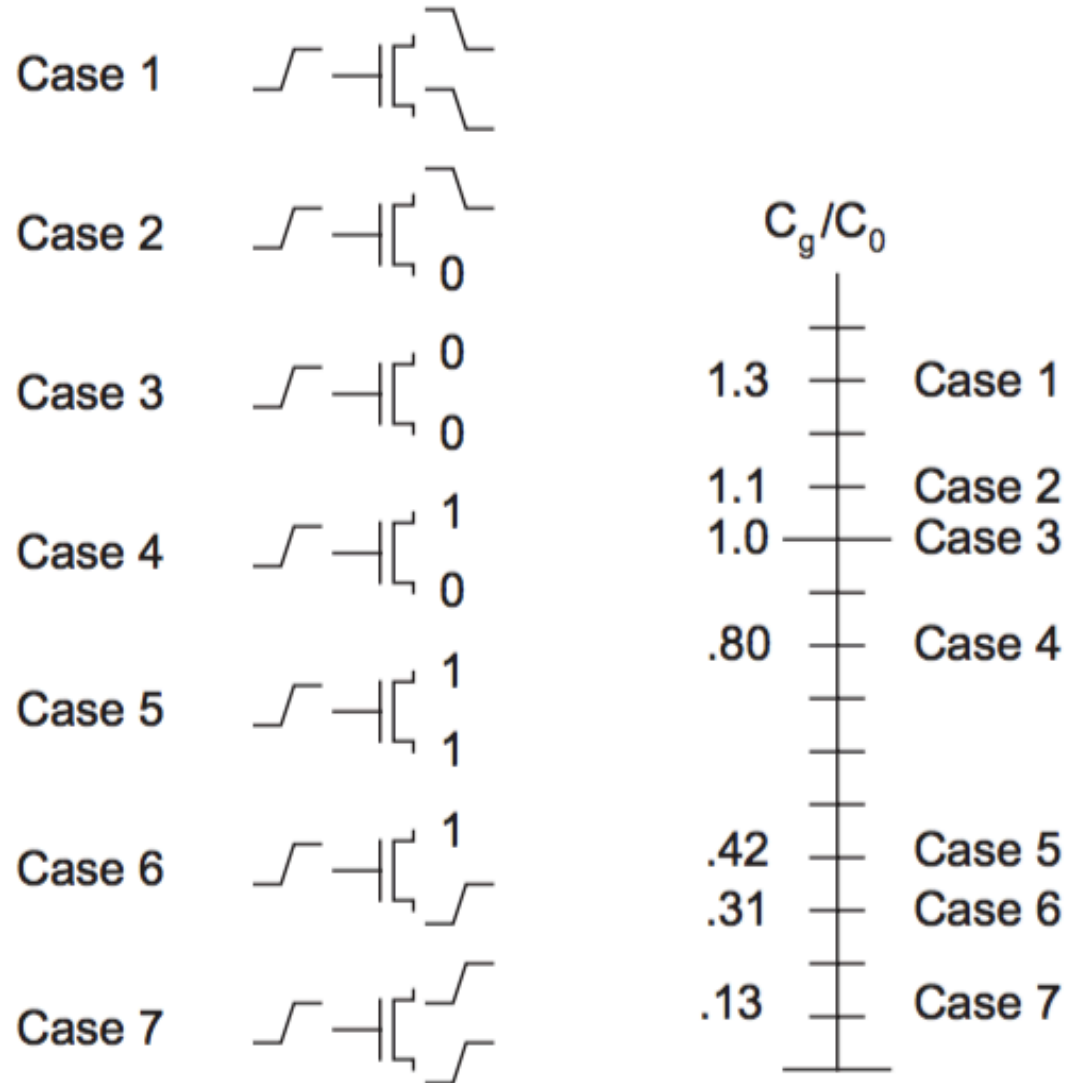


Gate Capacitance vs V_{ds}

- **Long-channel device:**
 C_{gd} becomes ~ 0 at saturation
- **Short-channel device:**
more $C_{gd(\text{overlap})}$ and $C_{gs(\text{overlap})}$ factor



Data-Dependent Gate Capacitance



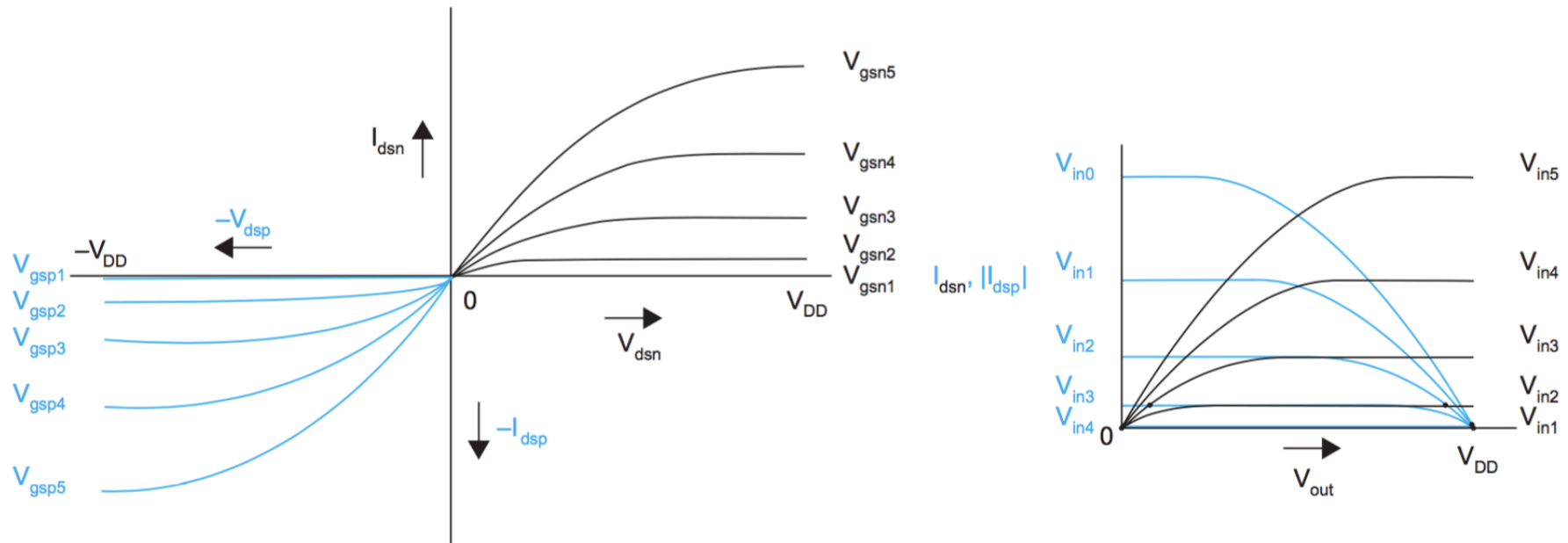
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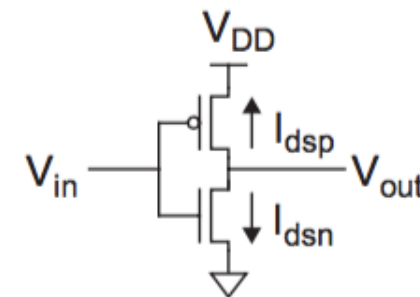
CMOS Inverter DC Characteristics (I)

	Cutoff	Linear	Saturated
nMOS	$V_{gsn} < V_{tn}$	$V_{gsn} > V_{tn}$	$V_{gsn} > V_{tn}$
	$V_{in} < V_{tn}$	$V_{in} > V_{tn}$	$V_{in} > V_{tn}$
		$V_{dsn} < V_{gsn} - V_{tn}$	$V_{dsn} > V_{gsn} - V_{tn}$
		$V_{out} < V_{in} - V_{tn}$	$V_{out} > V_{in} - V_{tn}$
pMOS	$V_{gsp} > V_{tp}$	$V_{gsp} < V_{tp}$	$V_{gsp} < V_{tp}$
	$V_{in} > V_{tp} + V_{DD}$	$V_{in} < V_{tp} + V_{DD}$	$V_{in} < V_{tp} + V_{DD}$
		$V_{dsp} > V_{gsp} - V_{tp}$	$V_{dsp} < V_{gsp} - V_{tp}$
		$V_{out} > V_{in} - V_{tp}$	$V_{out} < V_{in} - V_{tp}$

CMOS Inverter DC Characteristics (II)

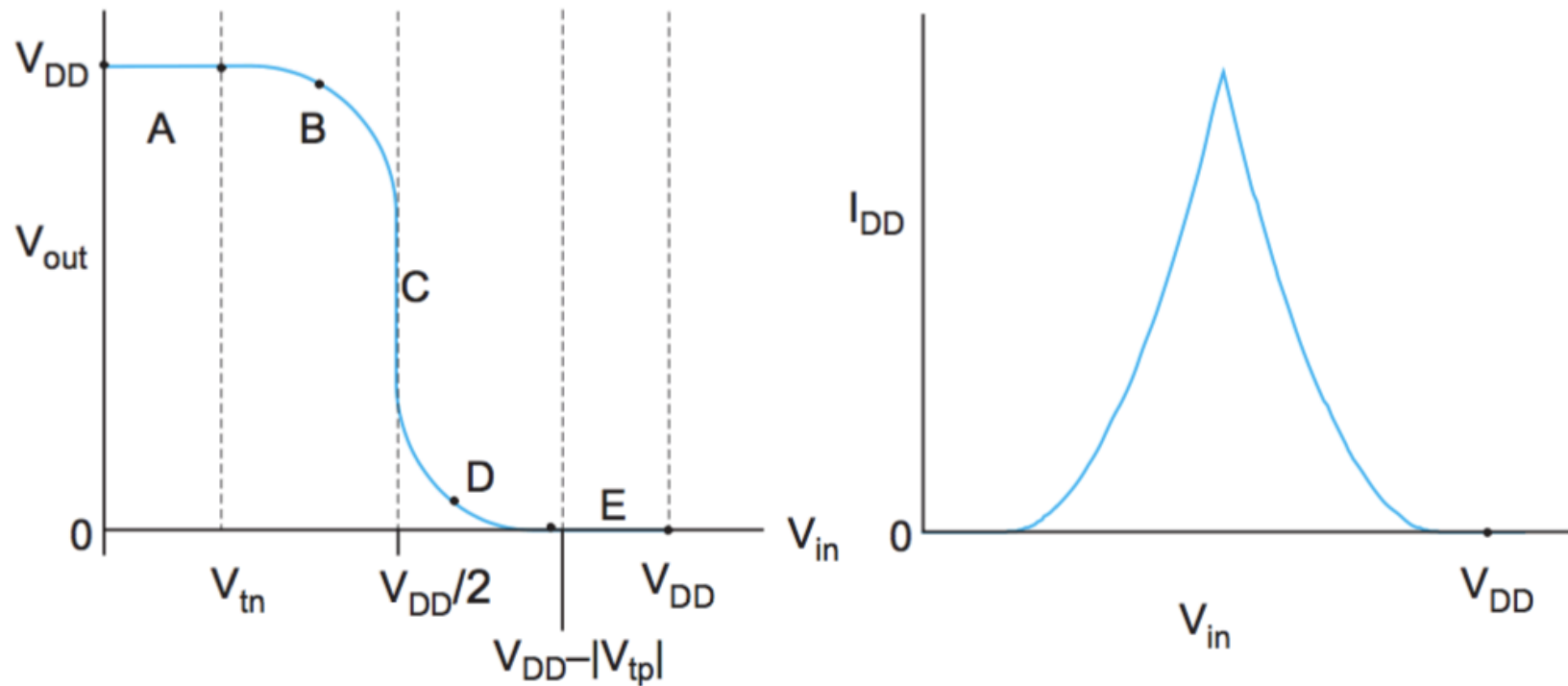


- I_{ds} vs. V_{ds} for NMOS and PMOS
- For PMOS, $I_d, V_{gs}, V_{ds}, V_{th} < 0$
- PMOS I-V as load-line for NMOS



CMOS Inverter DC Characteristics (III)

- V_{in} - V_{out} DC transfer curve
- Rail-to-rail operation
- V_{in} - I_{DD} DC transfer curve
- Dynamic power dissipation

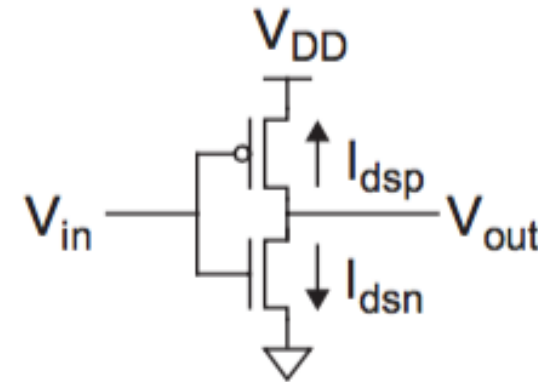


DC Response

- DC response: V_{out} vs. V_{in} for a gate

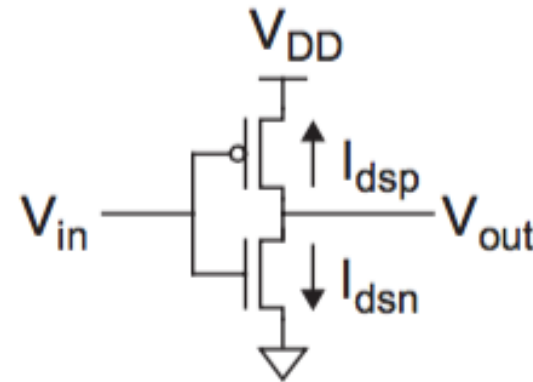
- **Ex: inverter**

- $V_{in} = 0 \rightarrow V_{out} = V_{DD}$
- $V_{in} = V_{DD} \rightarrow V_{out} = 0$
- In between, V_{out} depends on transistor sizes and currents
- By KCL must settle such that $I_{dsn} = |I_{dsp}|$
- We could solve equations
- Graphical solution gives more insight



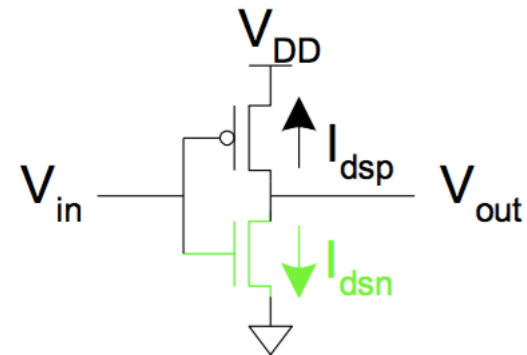
Transistor Operating Regions

- Transistor current depends on operating regions
- For what V_{in} and V_{out} are NMOS and PMOS in
 - Cutoff?
 - Linear?
 - Saturation?



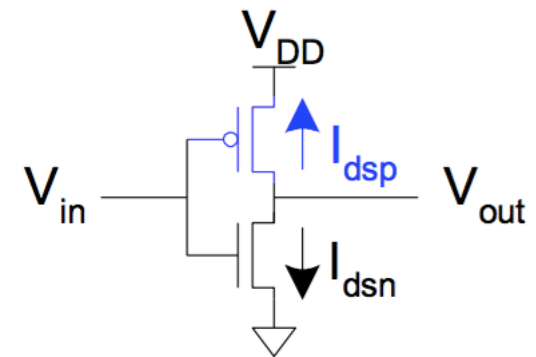
NMOS Operating Regions

Cutoff	Linear	Saturation



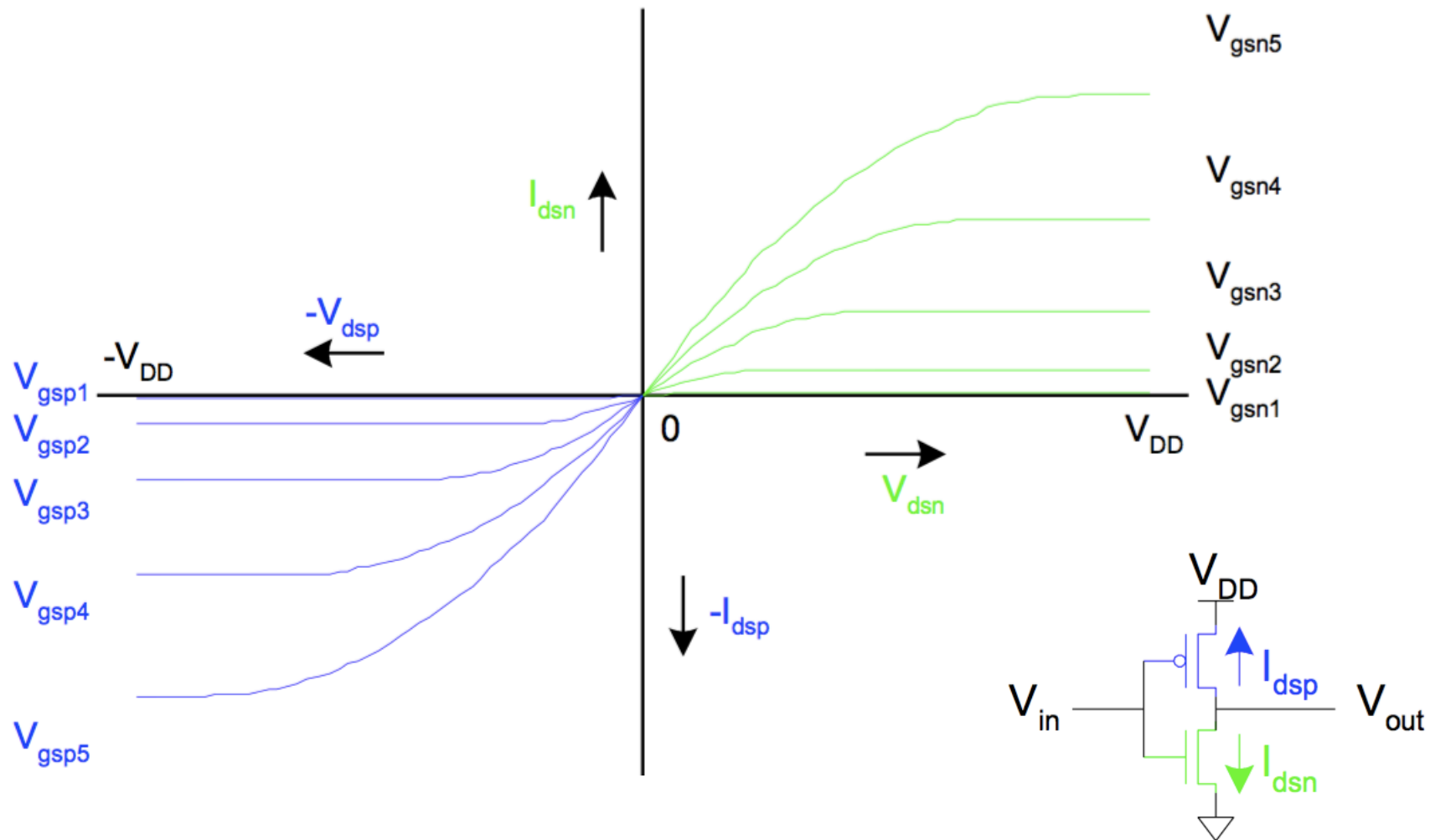
PMOS Operating Regions

Cutoff	Linear	Saturation

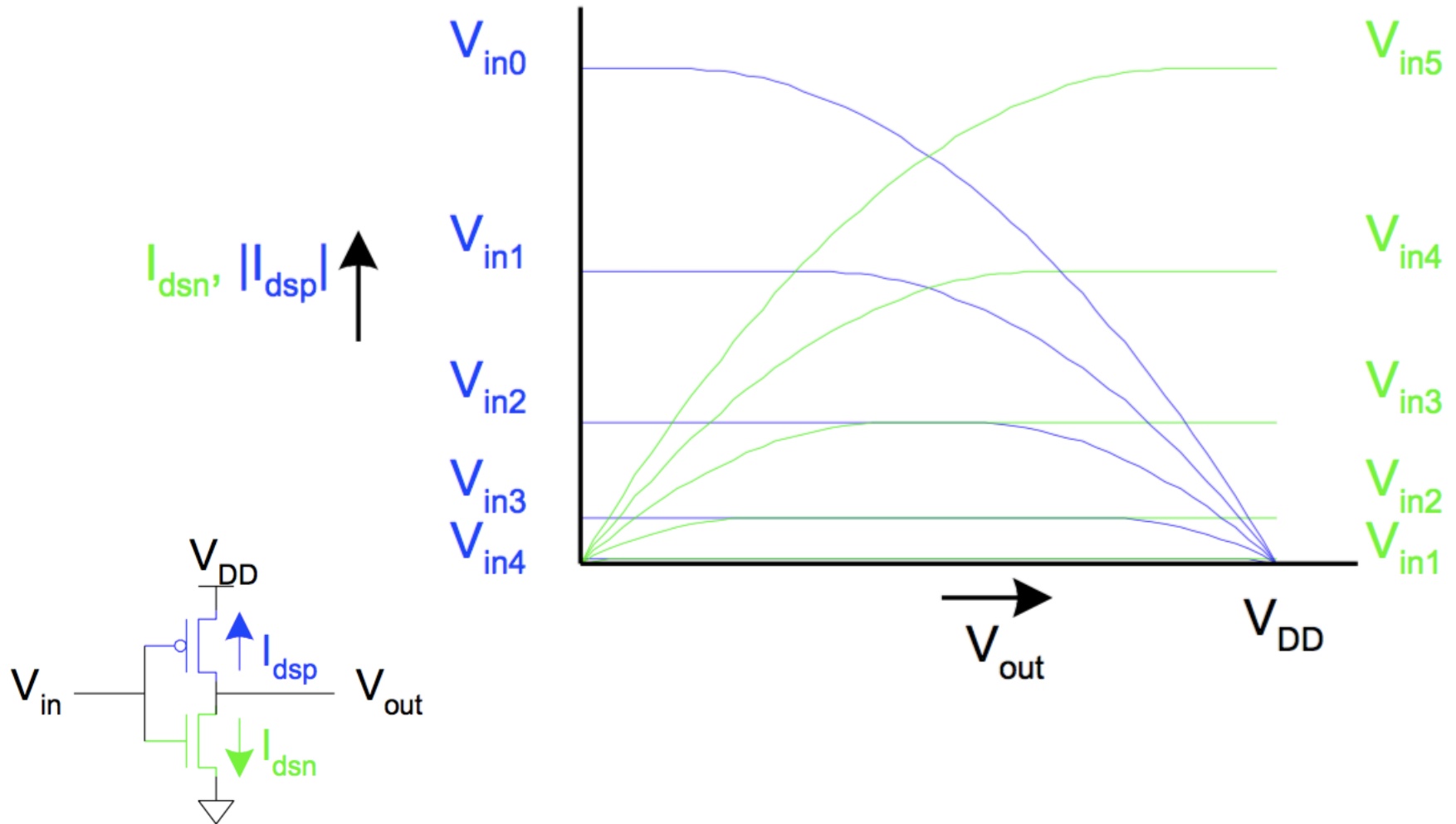


I-V Characteristics

- Make PMOS wider than NMOS so that $\beta_p = \beta_n$

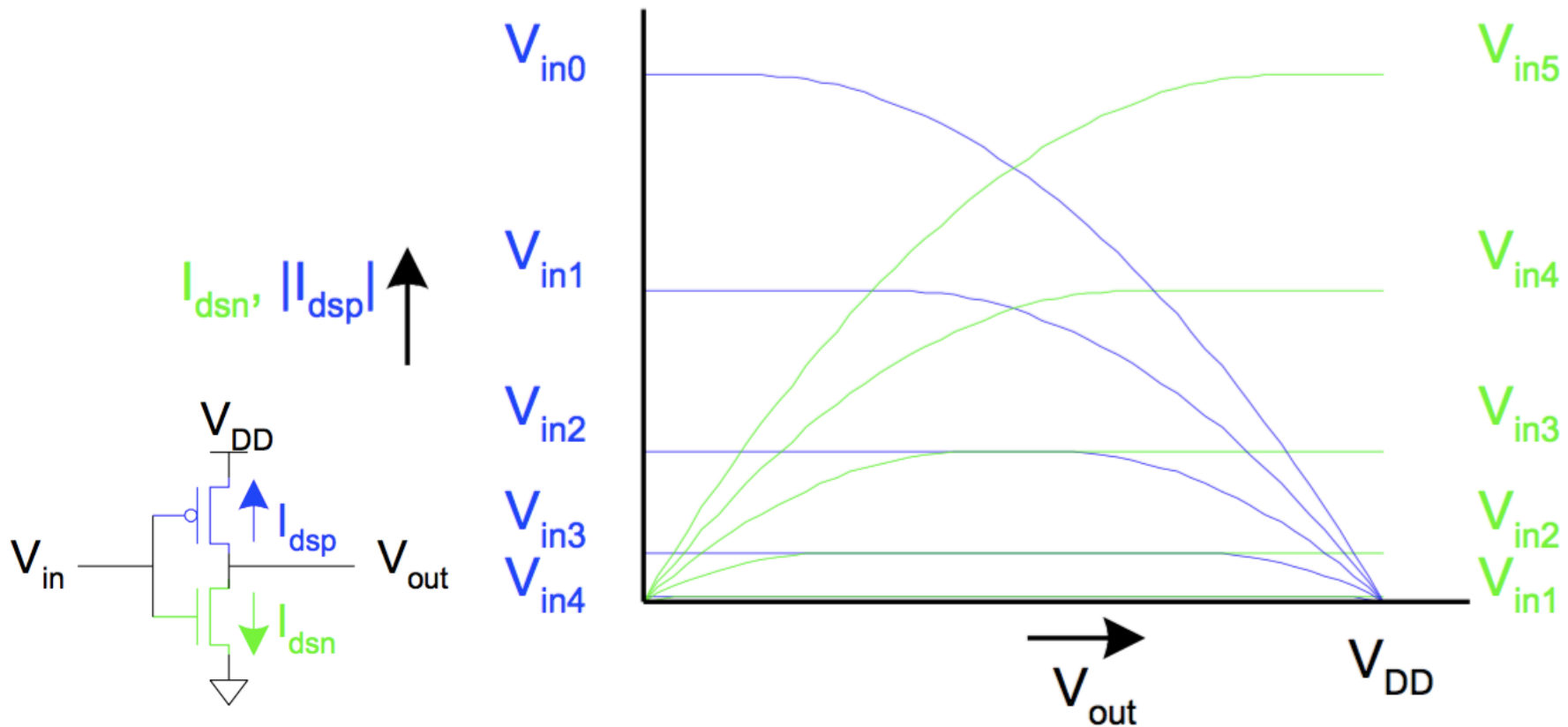


Currents vs. V_{in} and V_{out}



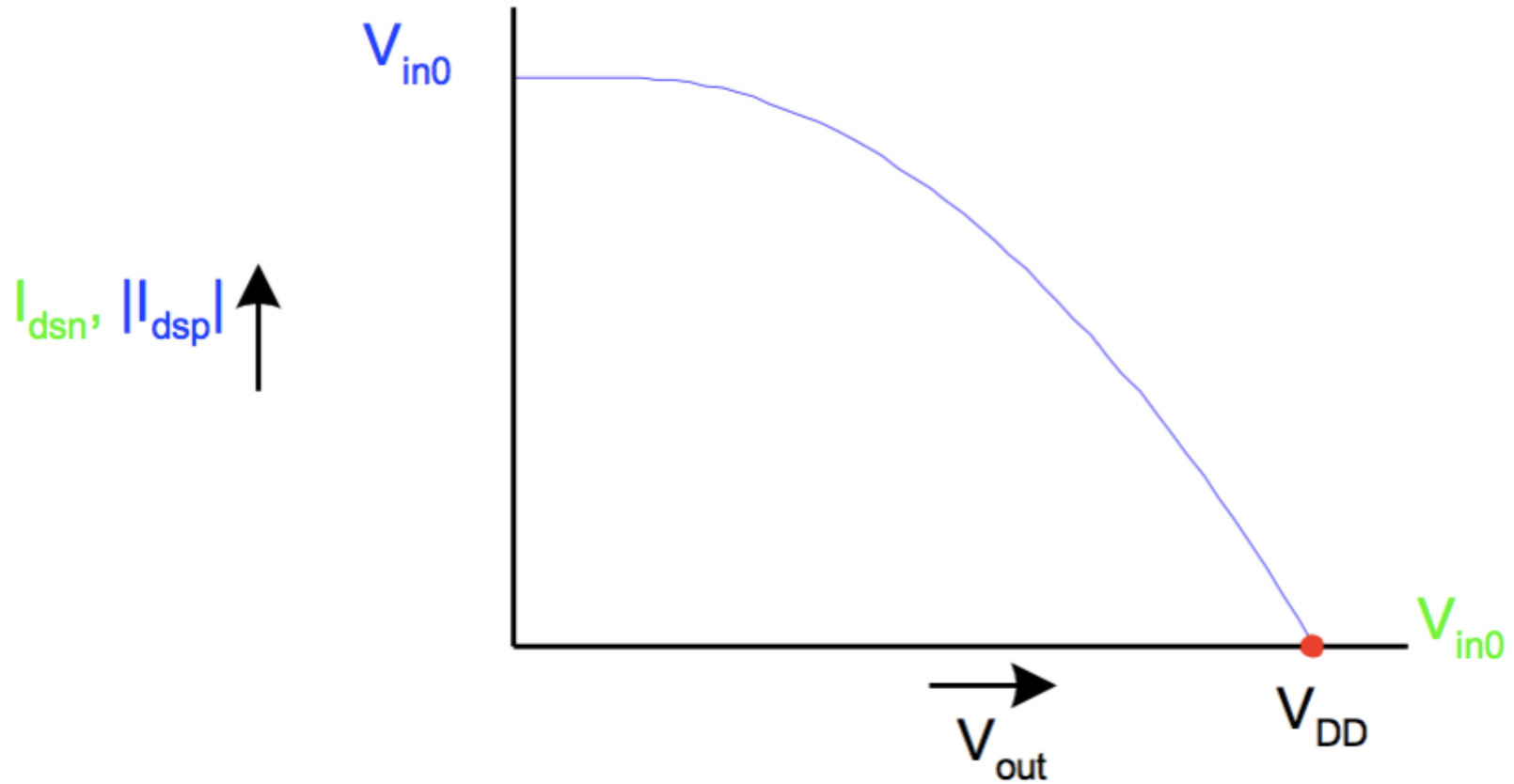
Load Line Analysis (I)

- For a given V_{in}
 - Plot I_{dsn}, I_{dsp} vs. V_{out}
 - V_{out} must be where KCL is satisfied (currents are equal)



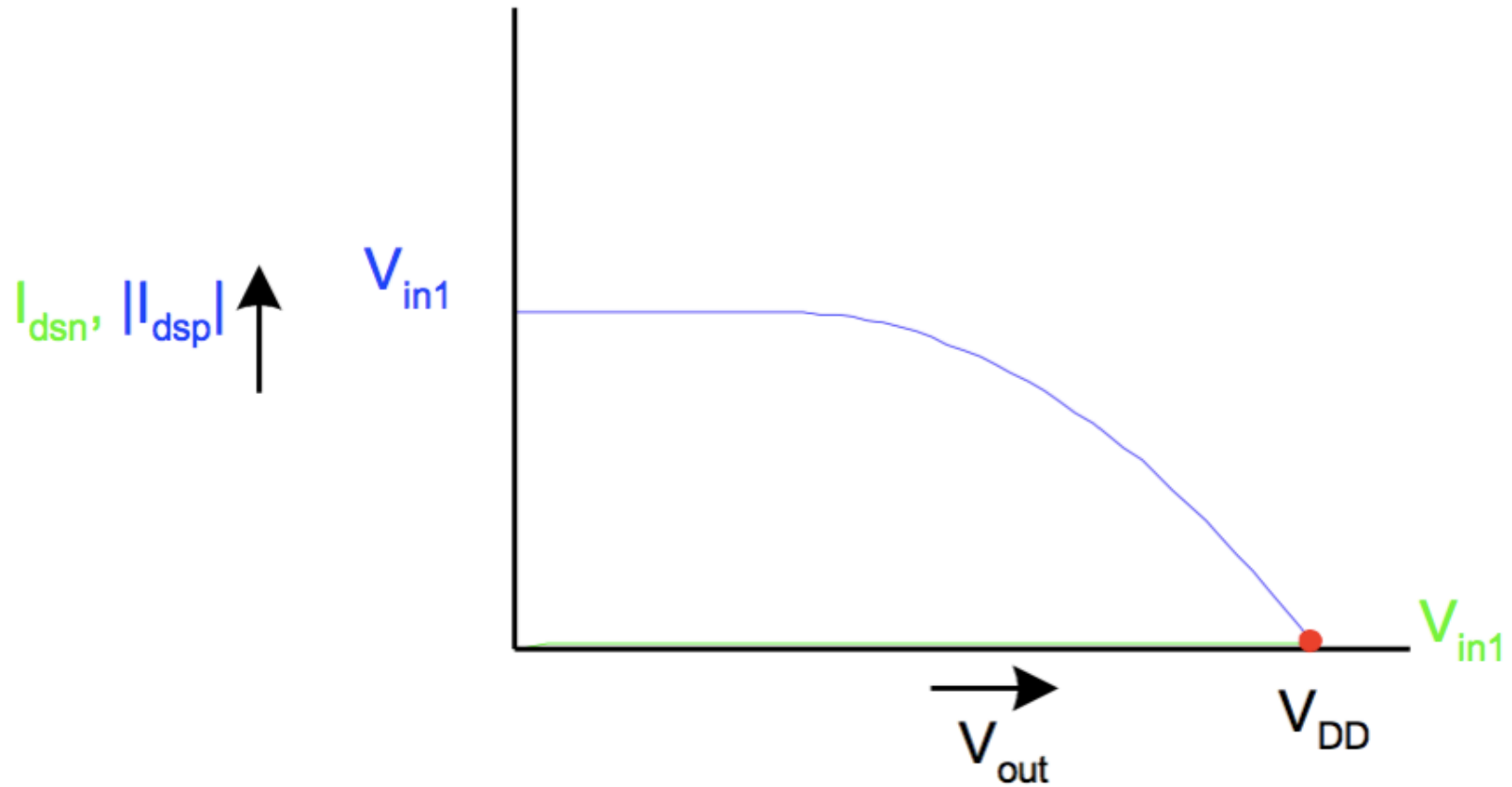
Load Line Analysis (II)

- $V_{in} = 0$



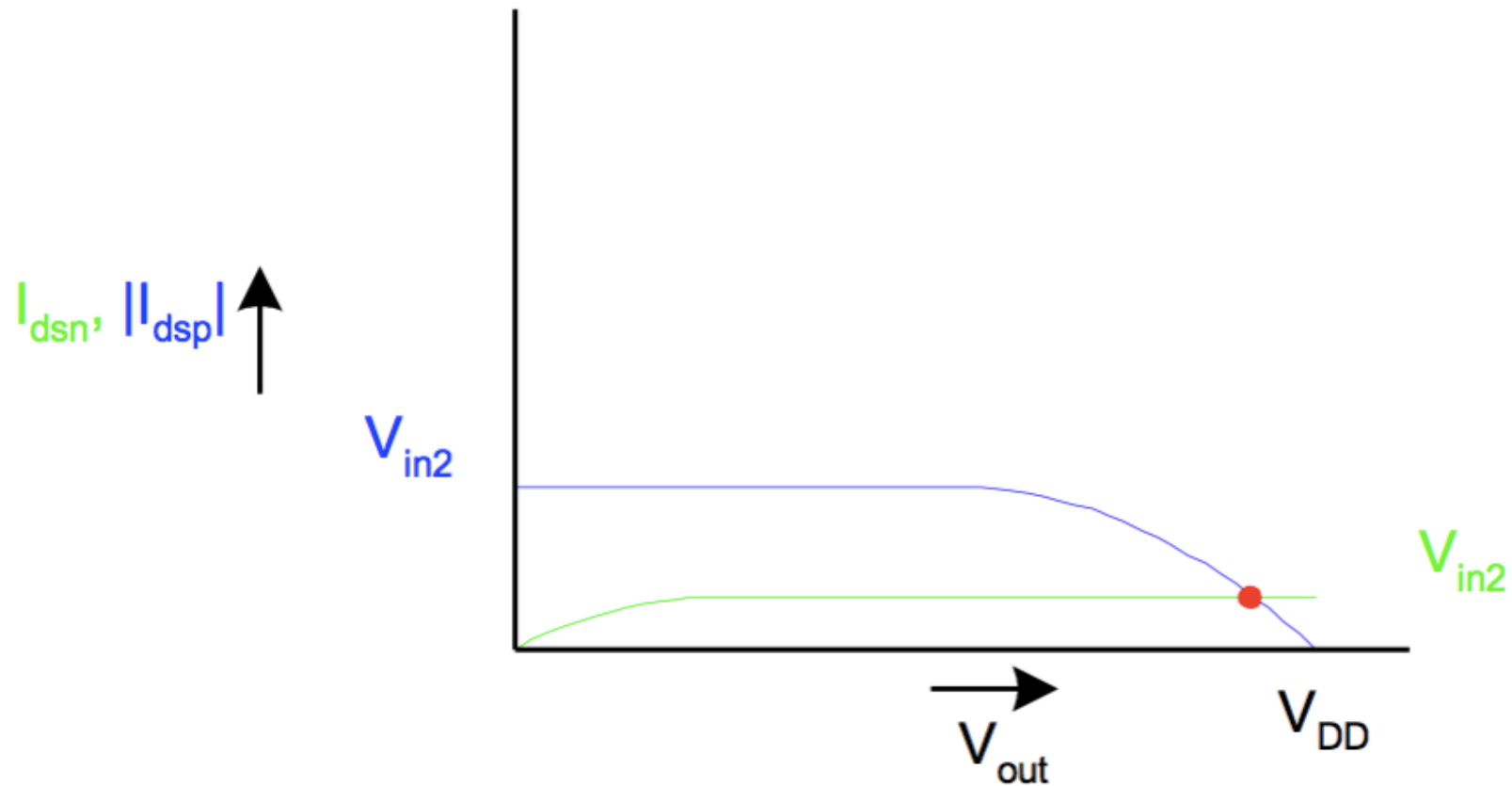
Load Line Analysis (III)

- $V_{in} = 0.2 V_{DD}$



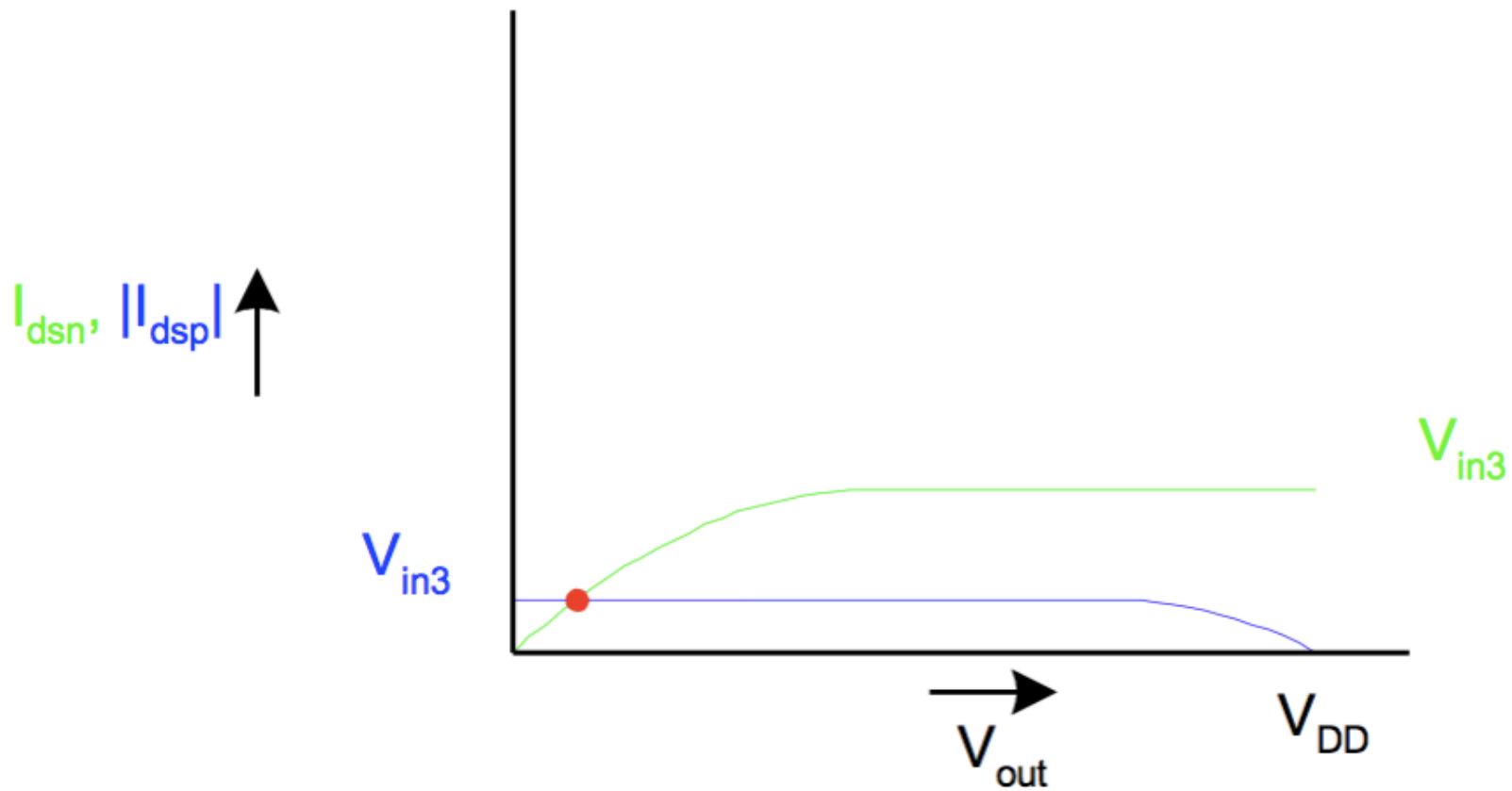
Load Line Analysis (IV)

- $V_{in} = 0.4 V_{DD}$



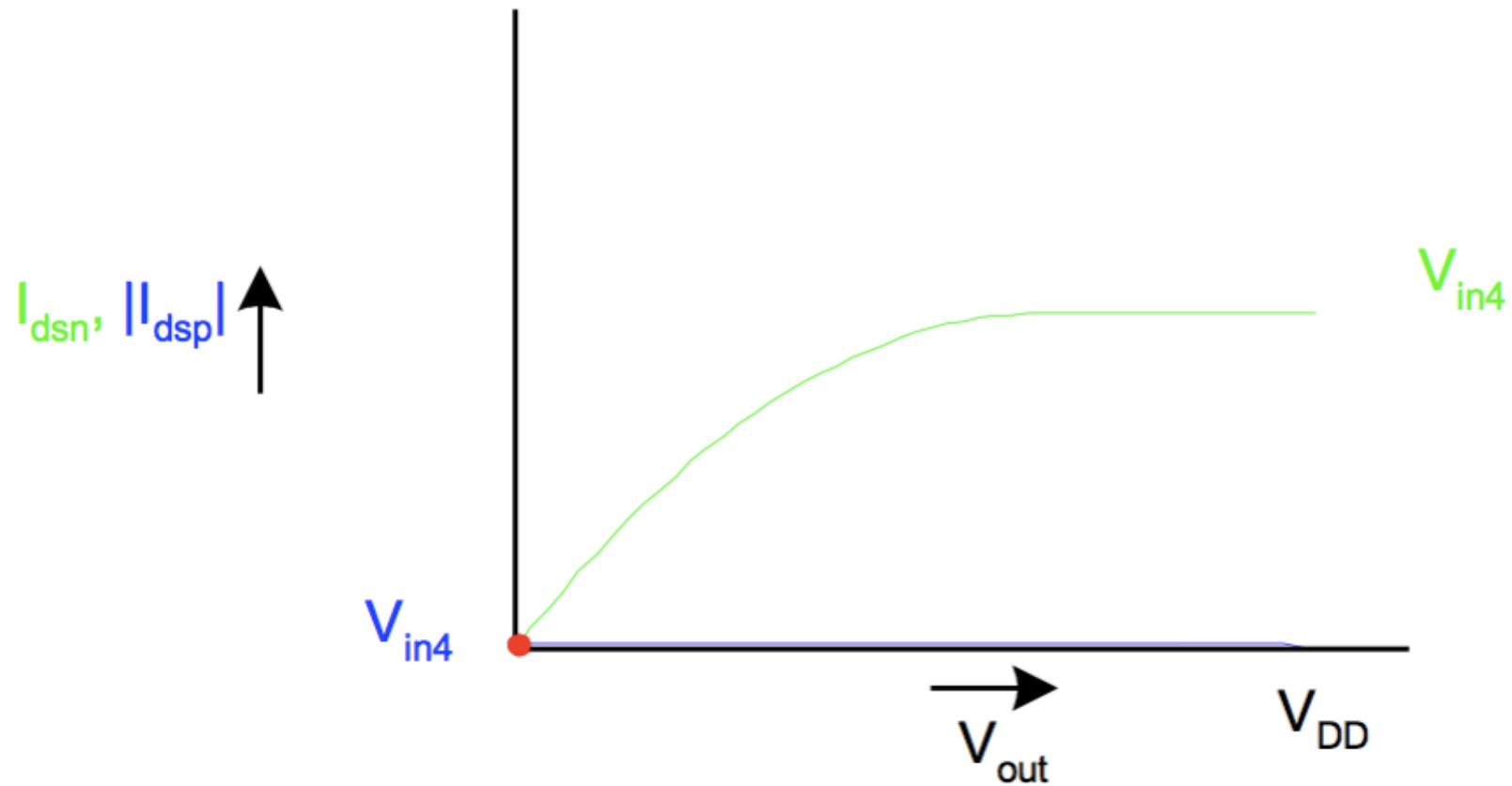
Load Line Analysis (V)

- $V_{in} = 0.6 V_{DD}$



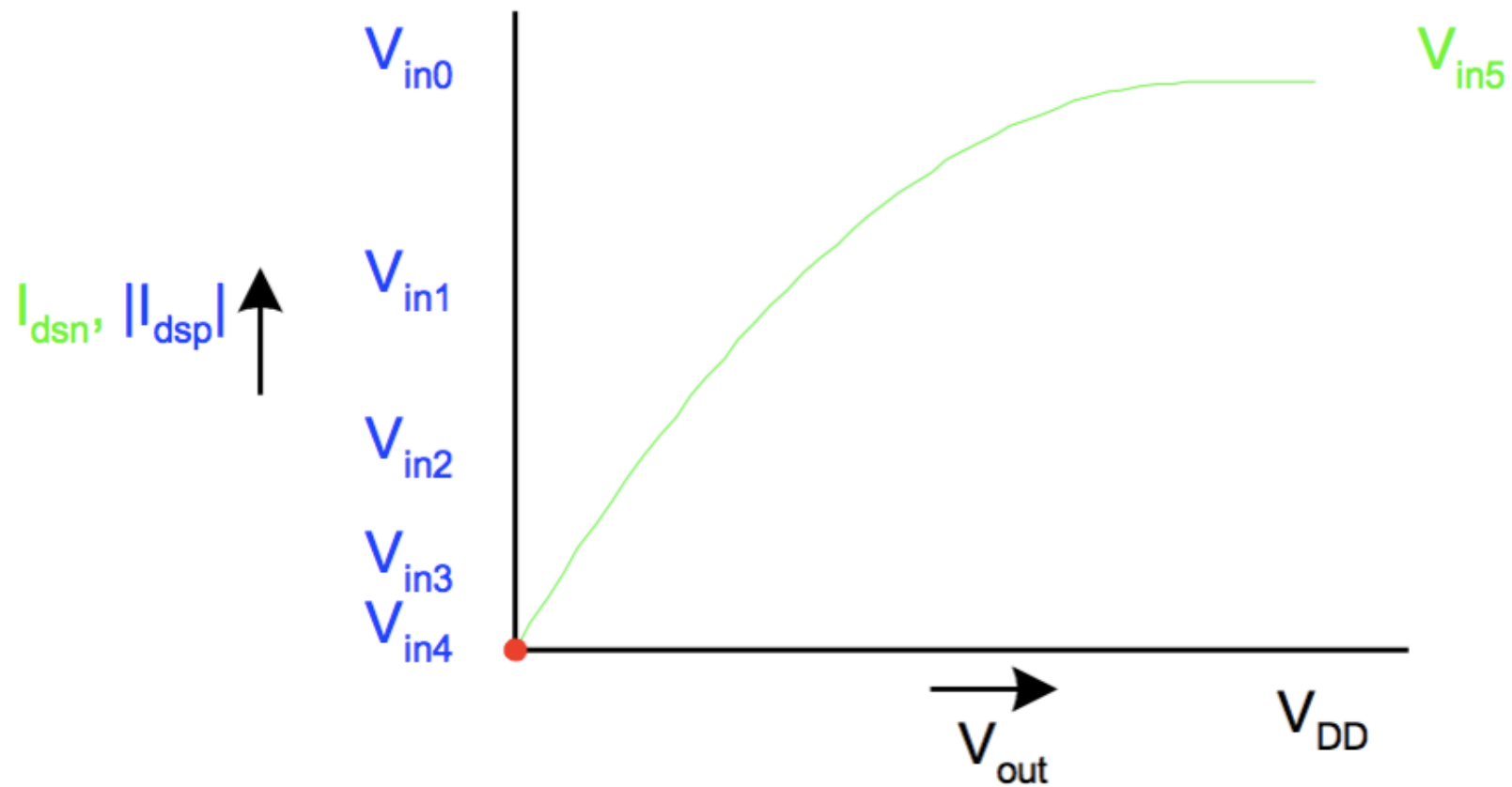
Load Line Analysis (VI)

- $V_{in} = 0.8 V_{DD}$



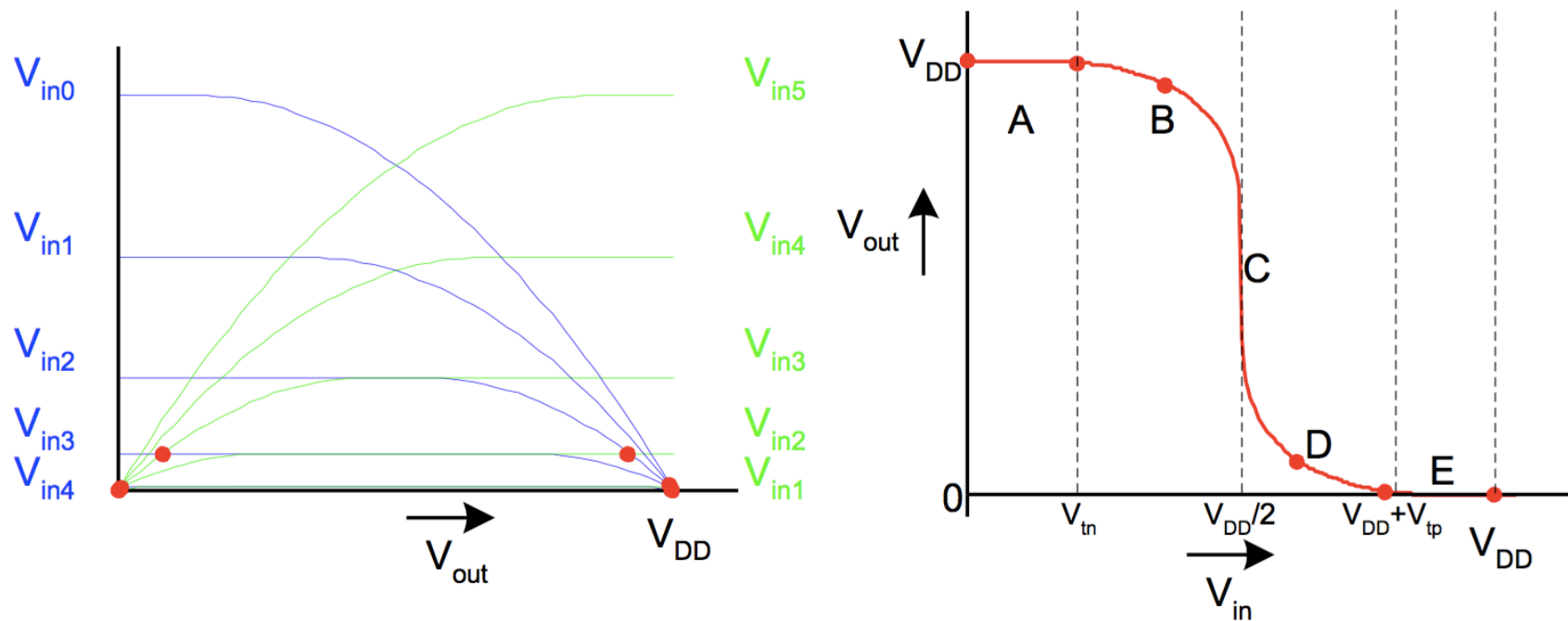
Load Line Analysis Summary

- $V_{in} = 1.0 V_{DD}$



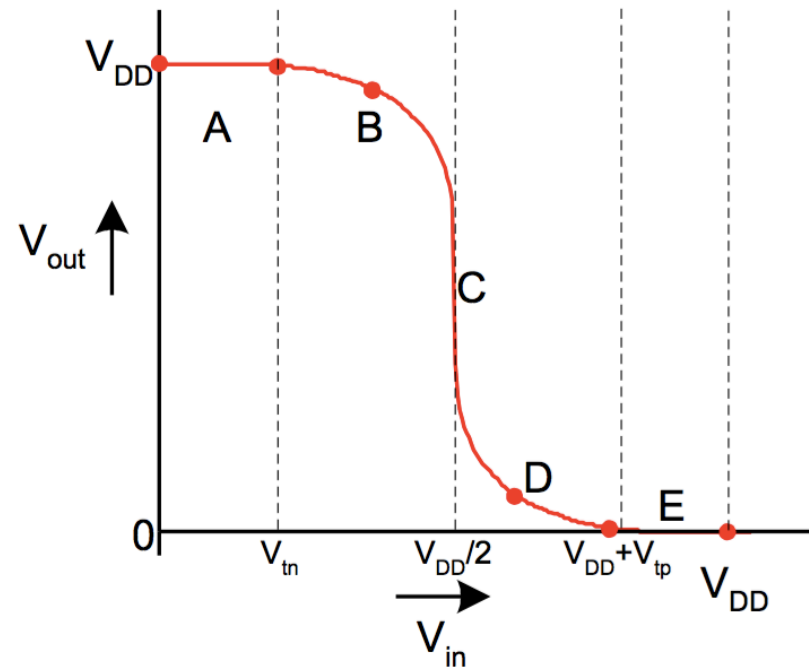
DC Transfer Curve

- Transcribe points onto V_{in} vs. V_{out} plot



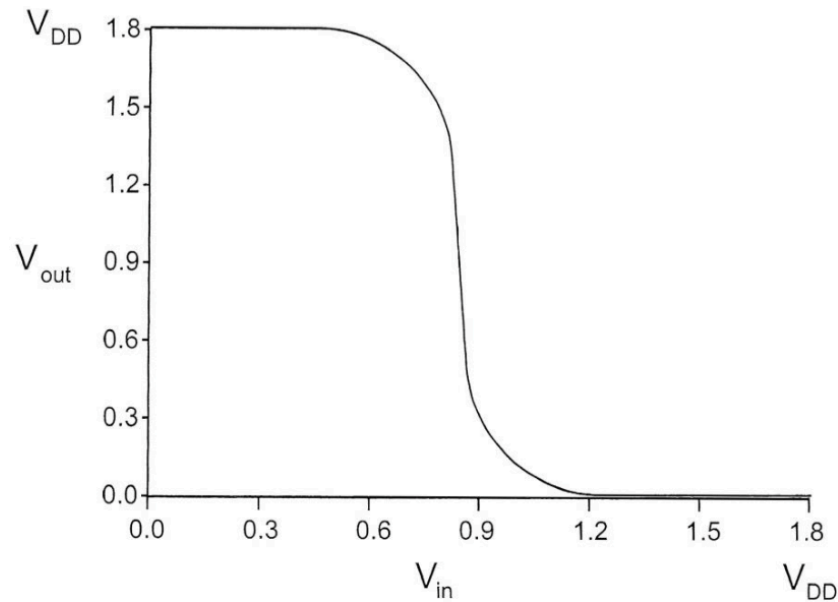
Transistor Operating Regions

	NMOS	PMOS
A		
B		
C		
D		
E		



CMOS Inverter Operation Summary

Region	Condition	p-device	n-device	Output
A	$0 \leq V_{in} < V_{tn}$	linear	cutoff	$V_{out} = V_{DD}$
B	$V_{tn} \leq V_{in} < V_{DD}/2$	linear	saturated	$V_{out} > V_{DD}/2$
C	$V_{in} = V_{DD}/2$	saturated	saturated	V_{out} drops sharply
D	$V_{DD}/2 < V_{in} \leq V_{DD} - V_{tp} $	saturated	linear	$V_{out} < V_{DD}/2$
E	$V_{in} > V_{DD} - V_{tp} $	cutoff	linear	$V_{out} = 0$



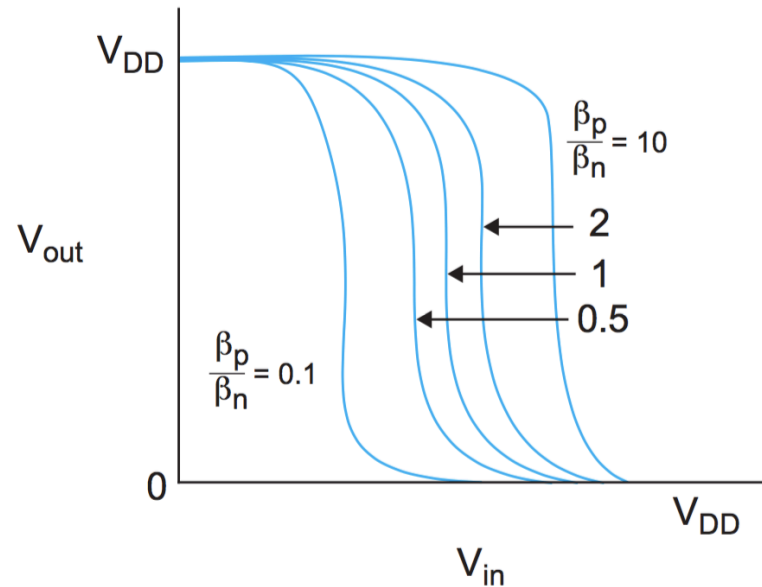
Beta Ratio Effect

- β ratio and parameters

$$\beta_p = \mu_p C_{ox} (W/L)_p$$

$$\beta_n = \mu_n C_{ox} (W/L)_n$$

$$\beta \text{ ratio} = \beta_p / \beta_n$$



- β ratio = 1 \rightarrow largest noise margin
 - $\mu_n > \mu_p$, choose $(W/L)_p > (W/L)_n$ to make β ratio = 1
- β ratio > 1 \rightarrow HI-skewed inverter, switching threshold $> 0.5 V_{DD}$
- β ratio < 1 \rightarrow LO-skewed inverter, switching threshold $< 0.5 V_{DD}$

Noise Margin (I)

- The allowable noise voltage on the input that the output won't be corrupted

$$NM_L = V_{IL} - V_{OL}$$

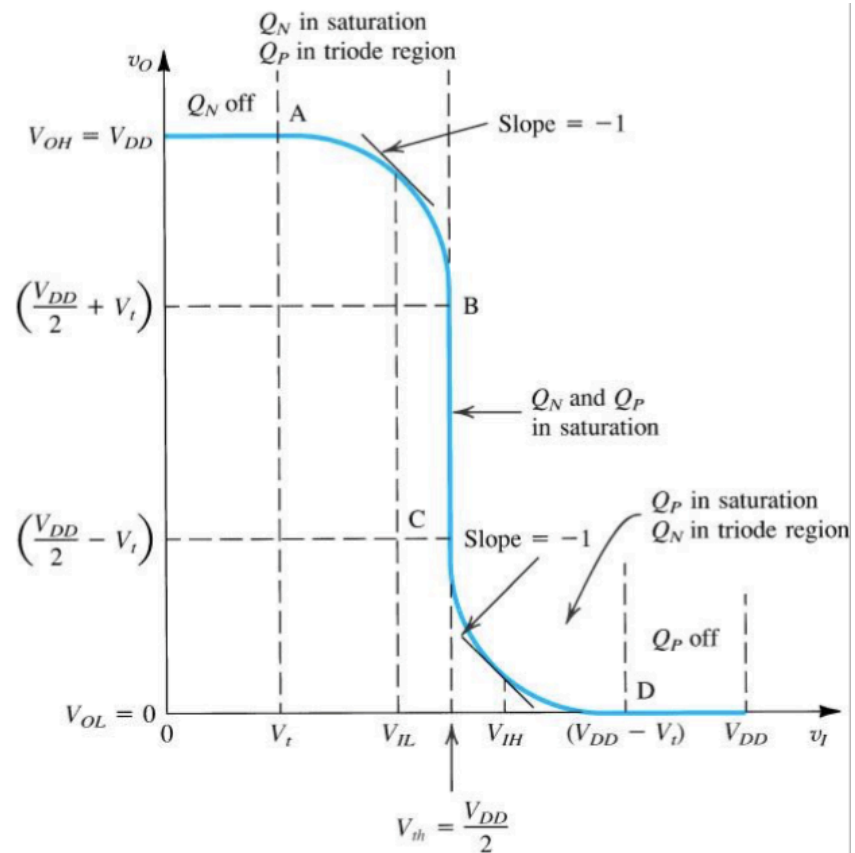
$$NM_H = V_{OH} - V_{IH}$$

V_{IH} = minimum HIGH input voltage

V_{IL} = maximum LOW input voltage

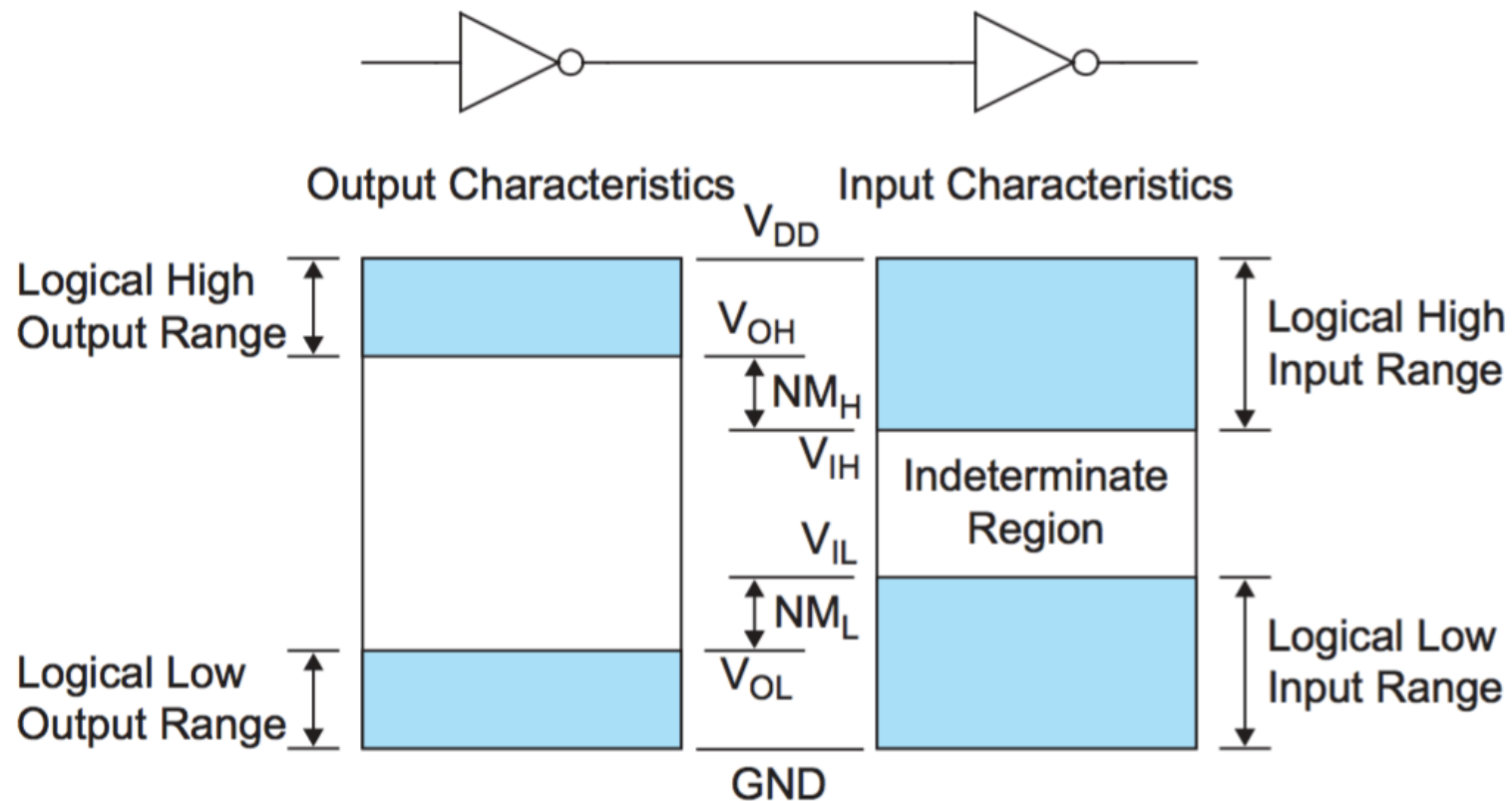
V_{OH} = minimum HIGH output voltage

V_{OL} = maximum LOW output voltage



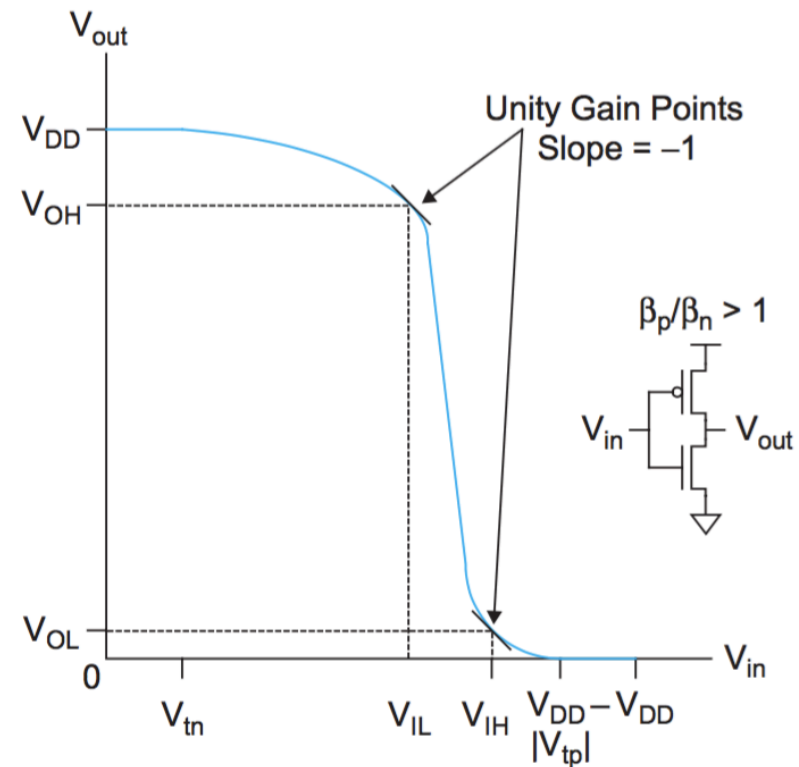
Noise Margin (II)

- Indeterminate region (*forbidden zone*)
 - $V_{IL} < V_{in} < V_{IH} \rightarrow V_{out} = \text{unknown logic level}$



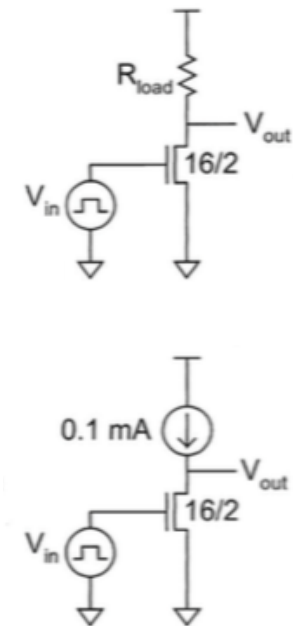
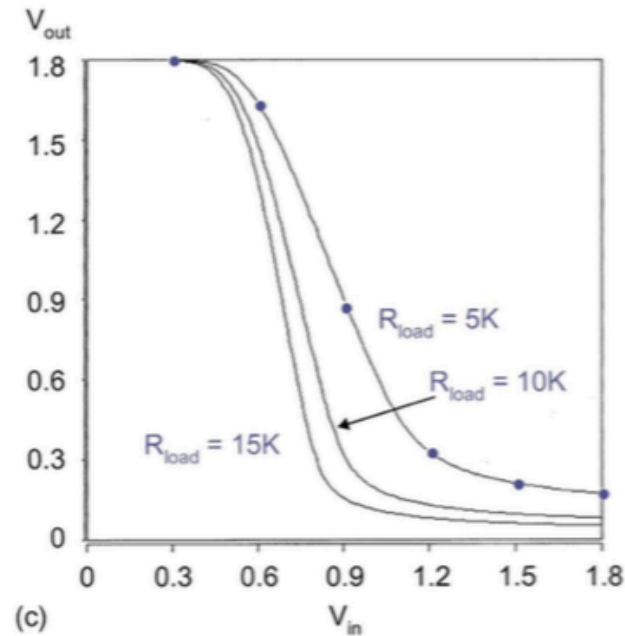
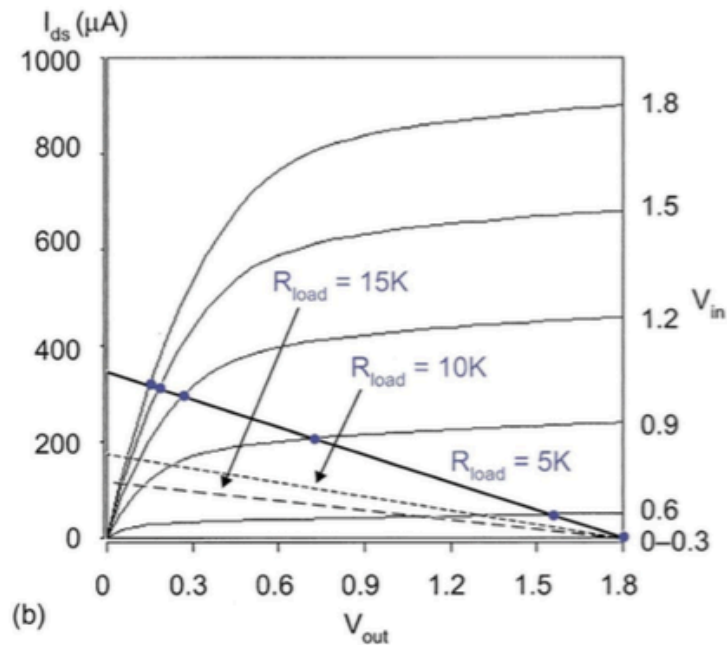
Beta Ratio and Noise Margin

- β ratio > 1
 - Switching threshold $> 0.5 V_{DD}$
 - $V_{IH} \uparrow$ $NMH \downarrow$
 - $V_{IL} \uparrow$ $NML \uparrow$
- β ratio < 1
 - Switching threshold $< 0.5 V_{DD}$
 - $V_{IH} \downarrow$ $NMH \uparrow$
 - $V_{IL} \downarrow$ $NML \downarrow$
- Noise tend to scale with V_{DD}
 - As $V_{DD} \downarrow$
smaller NM is acceptable



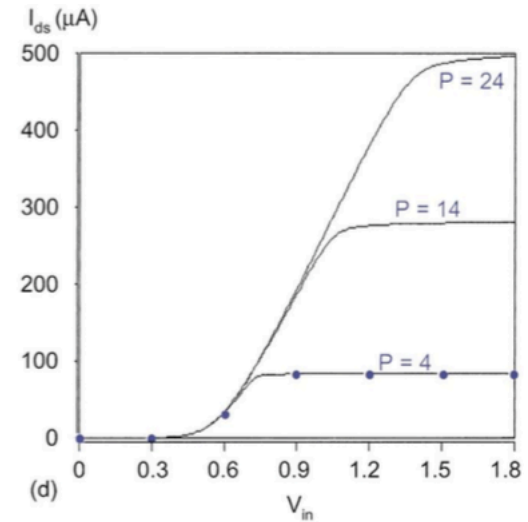
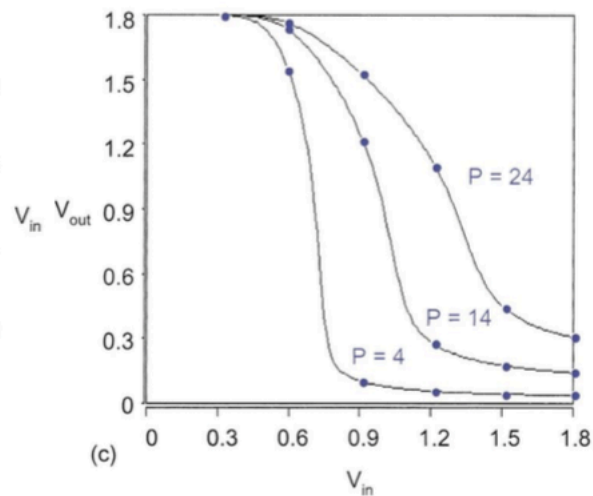
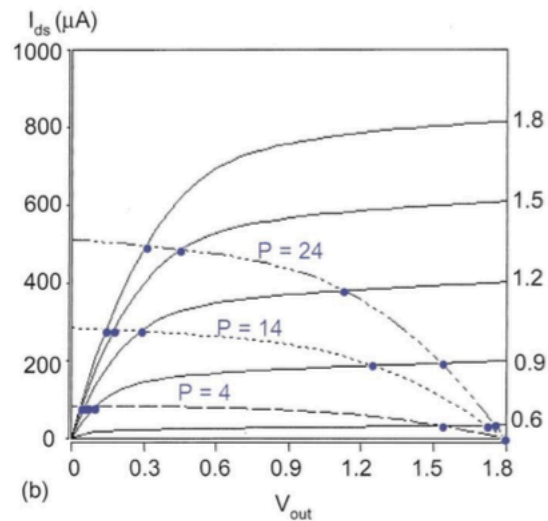
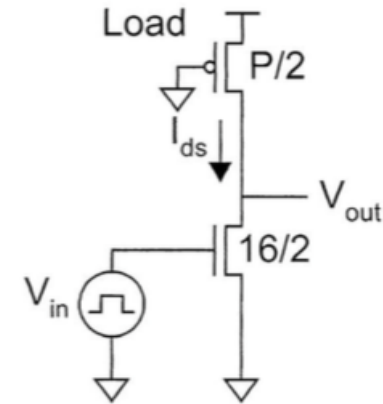
Ratioed Inverter Transfer Function (I)

- NMOS inverters with resistive or constant current-source load
 - Transfer function depends on the ratio of pull-down to the pull-up transistor (static load)



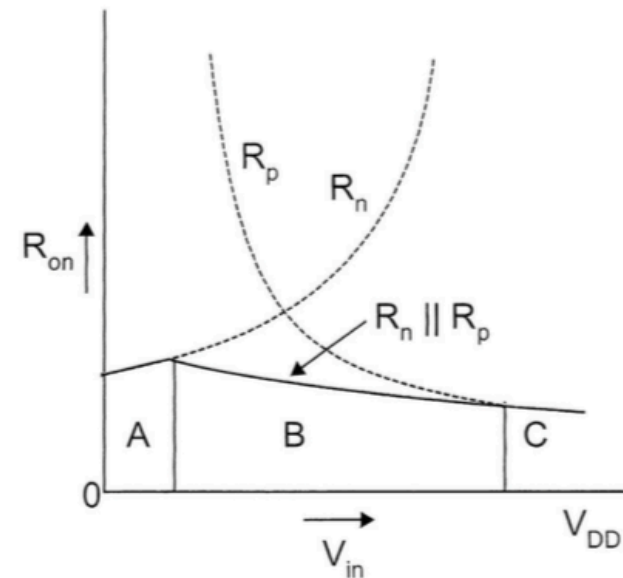
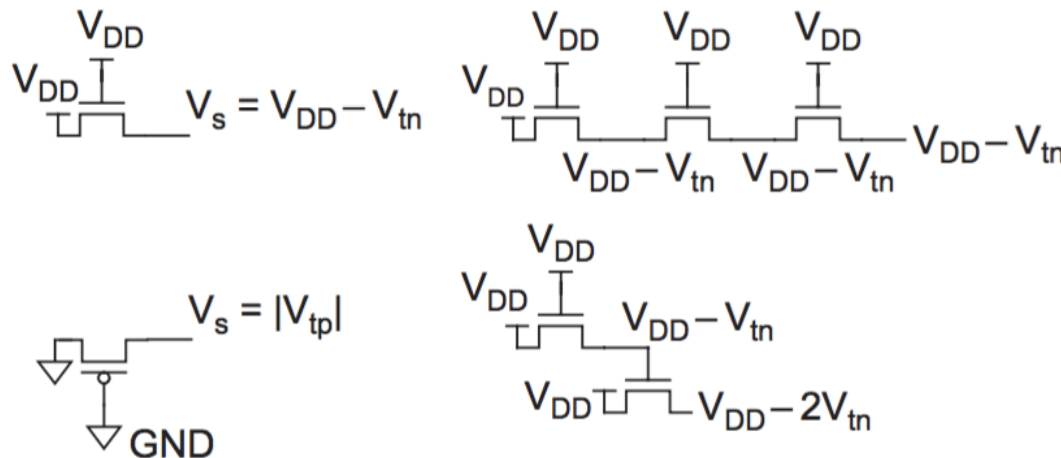
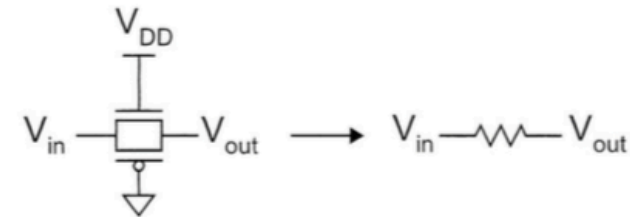
Ratioed Inverter Transfer Function (II)

- NMOS inverters with turn-ON PMOS as load
 - Turn-ON PMOS is made by a depletion mode NMOS in pure NMOS process
 - Dissipating static power when $V_{out} = \text{LOW}$
 - Poor NM but smaller area and input capacitance loading



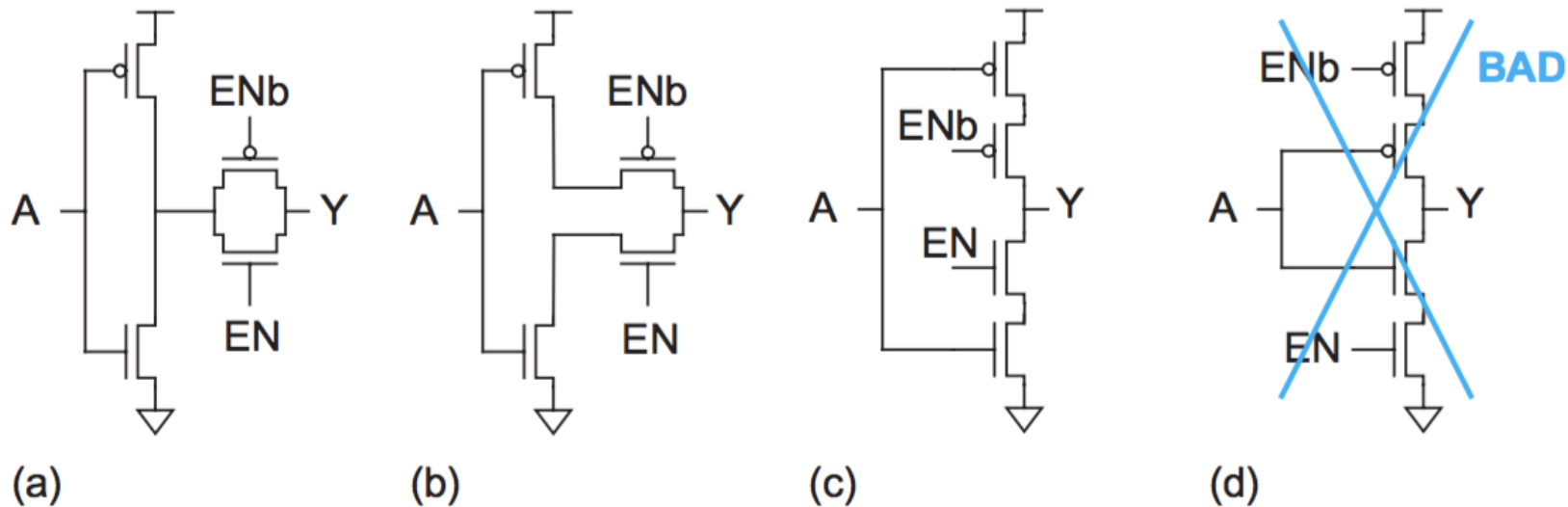
Pass Transistor DC Characteristics

- Due to V_{th}
 - NMOS cannot pass “1”
 - PMOS cannot pass “0”
 - Need to consider **body effect**
- ON-resistance depends on V_{in}
 - Need to boost gate voltage with small V_{DD}



Tri-state Inverter

- Inverter + transmission gate
 - Approximately half the speed of CMOS inverter for the same n and p device sizes
 - The structure in (d) suffer from A's toggling in tristate
 - Need to consider **body effect**



Outline

- Introduction
- Ideal I-V characteristics
- Nonideal Effects
- C-V characteristics
- DC transfer characteristics
- **Switch-level RC delay models**

Effective Resistance R

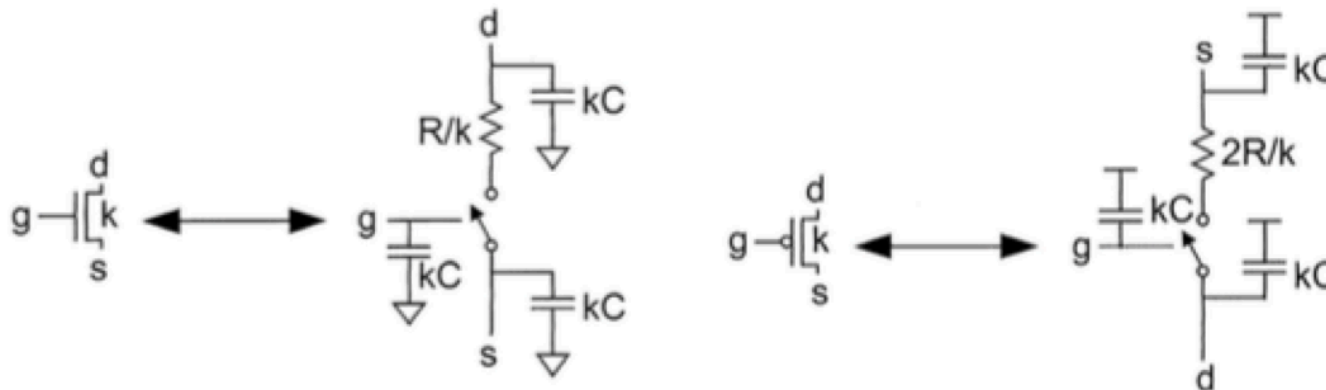
- **R : effective resistance** of unit NMOS (W_{\min} and L_{\min})
 - Unit PMOS has $2R$ (or $3R$) due to lower mobility
 - **In linear region**, inversely proportional to W/L and V_{gs}

$$R = \left(\frac{\partial I_{ds}}{\partial V_{ds}} \right)^{-1} = \frac{1}{\beta (V_{gs} - V_t)} = \frac{1}{\mu C_{ox}} \frac{L}{W} \frac{1}{(V_{gs} - V_t)}$$

- **C : gate capacitance** of unit transistor (NMOS and PMOS)
 - Proportional to gate area $W * L$
- **C : S/D junction capacitance** of unit transistor
 - Proportional to gate width W

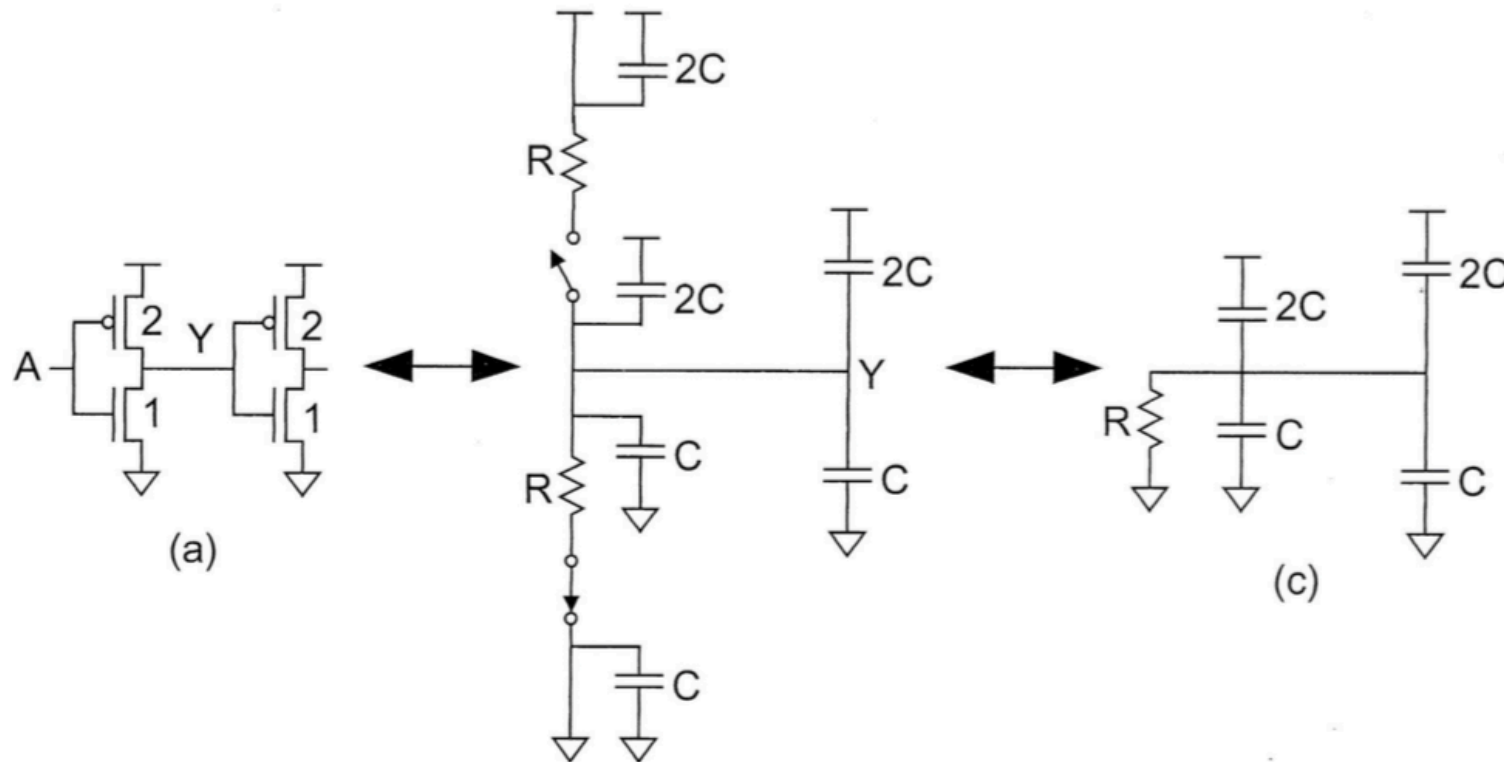
RC Circuit Model

- NMOS of k times unit width has resistance of R/k , gate capacitance of kC , and S/D capacitance of kC
 - NMOS parasitic capacitance referenced to GND (p-sub)
- PMOS of k times unit width has resistance of $2R/k$, gate capacitance of kC , and S/D capacitance of kC
 - PMOS parasitic capacitance referenced to V_{DD} (n-well)



Inverter Propagation Delay

- Fanout-of-1 inverter
 - Choose PMOS width to be $2x \sim 3x$ of NMOS width
 - $t_{pd} = R(6C) = 6RC$



R of Transmission Gate

- Parallel combination of NMOS and PMOS
 - Depend on signal to pass
 - PMOS pass 0 weakly with larger resistance **4R**
 - NMOS pass 1 weakly with larger resistance **2R**
 - Usually the same size for NMOS and PMOS
 - Increase size $\rightarrow R \downarrow C \uparrow \rightarrow$ need to check the trade-off

