Unit 1.2 Analysis



Algorithms (EE/NTHU)

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Evaluating an Algorithm

- Some criteria to judge an algorithm
 - Does it do what we want it to do?
 - Does it work correctly according to the original specifications of the task?
 - Is there documentation that describes how to use it and how it works?
 - Are procedures created in such a way that they perform logical sub-functions?
 - Is the code readable?

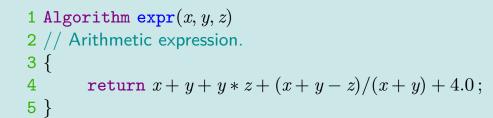
Definition 1.2.1. Space/Time complexity

The space complexity of an algorithm is the amount of memory it needs to run to completion. The time complexity of an algorithm is the amount of computer time it needs to run to completion.

- Performance evaluation can be divided into two phases:
 - Performance analysis: a priori estimates,
 - Performance measurement: a posteriori testing.

• Examples for space and time complexities analysis.

Algorithm 1.2.2. Expression



• Given arguments x, y, and z, the value of the expression is calculated.

```
Algorithms (Analysis)
```

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Algorithm Examples, II

• Both algorithms calculate the sum of the array A with n elements.

Algorithm 1.2.3. Simple Sum

```
1 Algorithm Sum(A, n)

2 // Simple summation of n-element array A[1:n].

3 {

4 Sum := 0;

5 for i := 1 to n do

6 Sum := Sum + A[i];

7 return Sum;

8 }
```

Algorithm 1.2.4. Recursive Sum

```
1 Algorithm RSum(A, n)

2 // Recursive summation of n-element array A[1:n].

3 {

4 if (n \le 0) then return 0; // Termination check.

5 else return A[n]+ RSum(A, n - 1);

6 }
```

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Space Complexity

- The memory space needed for the preceding algorithms consists two parts:
 - A fixed part that is independent of the size of the problem.
 - Function instructions, constants, simple variables (such as indexing variables).
 - The variable part that depends on the particular problem.
 Space for the referenced variables, recursion stack space, etc.
 - The total space S(P) for an algorithm P is

 $S(P) = c + S_P(\text{instance characteristic}).$ (1.2.1)

where c is a constant.

- For Algorithm Expression the memory space needed are for variables x, y, z, and the result. Thus, no memory is needed that is specific to the instance of the problem, i.e., $S_P(\text{instance characteristic}) = 0$.
- For Algorithm Sum, $S_{Sum}(n) \ge (n+3)$.
 - n for array A, and one for each variable: n, i and Sum.
- For Algorithm RSum, $S_{\text{RSum}}(n) \ge 3(n+1)$.
 - Each recursive call needs to store formal parameters, local variables, and return address.
 - For this problem, it needs to store pointer to *A*, *n* and the return address. (assume it takes 3 words)

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• The number of recursive calls is n + 1. Thus, total memory space needed is at least 3(n + 1).

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Time Complexity

- The time complexity T(P) of an algorithm is the time required to execute an algorithm.
 - In a general sense, the compile time should be included. But, the compile time does not depend on the size of the problem and, thus, is not the focus of the analysis.
 - The execution time should include all operations. Yet, this would make the analysis difficult.
- The time complexity is simplified to count the number of program steps when the algorithm execute, t_P .
 - In a loose sense, a program step is an expression.
- As in the following example, one can add an variable count to the algorithm Sum to count the number of program steps.
- From the example, the number of program steps for an array with n elements, the total number of program steps executed is 2n + 3. Thus $t_{Sum} = 2n + 3$.

Time Complexity, II

Algorithm 1.2.5. Sum – Program Step Counting

```
1 Algorithm Sum(A, n)
 2 // count is a global variable with initial value of 0.
 3 {
         Sum := 0;
 4
         count := count + 1; // for assignment
 5
         for i := 1 to n do {
 6
              count := count + 1; // \text{ for loop control}
 7
              Sum := Sum + A[i];
 8
              count := count + 1; // \text{ for assignment}
 9
10
         }
         count := count + 1; // for loop termination
11
         count := count + 1; // for return
12
13
         return Sum;
14 }
```

- This algorithm is the same as Algorithm (1.2.3) but with lines 5, 7, 9, 11, 12 added
- After execution, global variable *count* has the number of program steps executed.

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Algorithms (Analysis)

Time Complexity, III

- The RSum algorithm can also be modified to count the number of program steps as the following page.
- The number of program steps for an array A with n, n > 0, elements is

 $t_{\mathsf{RSum}}(n) = 2 + t_{\mathsf{RSum}}(n-1)$

• Including the case of n = 0, we have the following recurrence relationship:

$$\mathbf{F}_{\mathsf{RSum}}(n) = \begin{cases} 2 & \text{if } n = 0, \\ 2 + t_{\mathsf{RSum}}(n-1) & \text{if } n > 0. \end{cases}$$

• This recursive formula can expanded for n > 0 as

$$t_{\text{RSum}}(n) = 2 + t_{\text{RSum}}(n-1)$$

= 2 + 2 + $t_{\text{RSum}}(n-2)$
:

 $= 2n + t_{\mathsf{RSum}}(0)$ = 2n + 2

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Algorithm 1.2.6. RSum – Program Step Counting

```
1 Algorithm RSum(A, n)
 2 // count is a global variable with initial value of 0.
 3 {
 4
         count := count + 1; // \text{ for if statement}
         if (n < 0) then {
 5
              count := count + 1; // \text{ for return statement}
 6
 7
              return 0;
         }
 8
         else {
 9
              count := count + 1; // for the expression and return statements
10
              return A[n] + \operatorname{RSum}(A, n-1);
11
12
         }
13 }
```

• This algorithm is the same as Algorithm (1.2.4) with lines 4, 6, 10 added.

```
Algorithms (Analysis)
```

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Time Complexity, V

Definition 1.2.7. Input Size

The input size of a problem is defined to be the number of words (or the number of elements) needed to describe the instance of the problem.

- For the algorithm Sum(A, n) the input size is (n + 1), n for the number of elements of the array, and 1 for the value of n.
- The following algorithm adds two $m \times n$ matrices, A and B, together to form a resulting matrix, C.

Algorithm 1.2.8. Matrix Addition

```
1 Algorithm MAdd(A, B, C, m, n)

2 // C[1:m, 1:n] := A[1:m, 1:n] + B[1:m, 1:n], all are m \times n matrices.

3 {

4 for i := 1 to m do

5 for j := 1 to n do

6 C[i, j] := A[i, j] + B[i, j];

7 }
```

Time Complexity, VI

• Adding count to count the number of program steps as the following.

```
Algorithm 1.2.9. Matrix Addition – Counting Steps
  1 Algorithm MAdd(A, B, C, m, n)
  2 // count is a global variable with 0 initial value.
  3 {
  4
         for i := 1 to m do {
  5
              count := count + 1; // loop-i control
              for j := 1 to n do {
  6
                   count := count + 1; // loop-j control
  7
                   C[i,j] := A[i,j] + B[i,j];
  8
                  count := count + 1; // element addition
  9
 10
              ł
              count := count + 1; // loop-j termination
 11
 12
 13
         count := count + 1; // loop-i termination
 14 }
```

- Time complexity is 2mn + 2m + 1
- Input size is 2mn+2

```
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```

Time Complexity – Table Approach

• An alternative approach to find algorithm complexity is the table approach

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• For example

Ample									
Statement	s/e	freq.	Total steps						
1 Algorithm Sum (A, n)	0	_	0						
2 // Simple summation.	0	_	0						
3 {	0	—	0						
4 $Sum := 0;$	1	1	1						
5 for $i:=1$ to n do	1	n+1	n+1						
$6 \qquad Sum := Sum + A[i];$	1	n	n						
7 return $Sum;$	1	1	1						
8 }	0	—	0						
Total			2n+3						

where s/e is step per execution,

freq. is the frequency of execution.

• Algorithm Sum(A, n) has the time complexity of 2n + 3.

• RSum example

		frequency			Total steps						
Statement	s/e	n = 0	n > 0	n = 0	n > 0						
1 Algorithm RSum (A, n)	0	—	—	0	0	1					
2 // Recursive summation.											
3 {	0	_	—	0	0 1						
4 if $(n \le 0)$ then	1	1	1 0	1 1	1						
5 return $0;$	1	1	0	1	0						
6 else return											
7 $A[n]+\operatorname{RSum}(A, n-1);$		0	1	0	1+x						
8 }	0	_	_	0	0						
Total				2	2+x						
	Sum(n -	1)									
• Thus, $t_{RSum}(n) = \begin{cases} 2 & \text{if } n = 0, \\ 2 + t_{RSum}(n-1) & \text{if } n > 0. \end{cases}$											
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able Approach, III											

• MAdd example

Statement	s/e	freq.	total steps						
1 Algorithm MAdd (A, B, C, m, n)	0	—	0						
2 // $C := A + B$, all are $m \times n$ matrices.									
3 {	0	—	0						
4 for $i:=1$ to m do	1	m+1	m+1						
5 for $j := 1$ to n do	1	m(n+1)	mn + m						
6 $C[i,j] := A[i,j] + B[i,j];$	1	mn	mn						
7 }	0	—	0						
Total			2mn+2m+1						

• Thus, $t_{MAdd}(n) = 2mn + 2m + 1$.

Fibonacci Number

• Fibonacci number is defined as

$$f_0 = 0,$$
 $f_1 = 1,$ $f_n = f_{n-1} + f_{n-2}, n \ge 2.$ (1.2.2)

• The following algorithm calculate f_n using iterative approach.

Algorithm 1.2.10. Fibonacci

1 Algorithm Fibonacci(n)2 // Compute the *n*-th Fibonacci number. 3 { if $(n \leq 1)$ then return n; $//f_0$ or f_1 , just return n. 4 5 else { $fim2 := 0; fim1 := 1; // fim2 = f_{i-2}, fim1 = f_{i-1}.$ 6 for i := 2 to n do { 7 $fi := fim1 + fim2; // f_i = f_{i-1} + f_{i-2}.$ 8 fim2 := fim1; fim1 := fi; // Update f_{i-2} and f_{i-1} . 9 10 ł return fi; // $f_n = f_i$. 11 } 12 13 }

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Fibonacci Number, II

		frequ	Jency	Total steps	
Statement	s/e	$n \leq 1$	$n \ge 2$	$n \leq 1$	$n \ge 2$
1 Algorithm Fibonacci (n)	0	—	_	0	0
2 // Compute the <i>n</i> -th Fibonacci number.					
3 {	0	-	_	0	0
4 if $(n \leq 1)$ then	1	1	1	1	1
5 return n ;	1	1	0	1	0
6 else {	0	-	—	0	0
fim2 := 0; fim1 := 1;	2	0	1	0	2
8 for $i := 2$ to n do {	1	0	n	0	n
9 $fi := fim1 + fim2;$	1	0	n-1	0	n-1
10 $fim2 = fim1; fim1 = fi;$	2	0	n-1	0	2n-2
11 }	0	-	—	0	0
12 return fi ;	1	0	1	0	1
13 }	0	—	_	0	0
14 }	0	—	-	0	0
Total				2	4n + 1

Thus,

t

Fibinacci =
$$\begin{cases} 2, & n \leq 1, \\ 4n+1, & n \geq 2. \end{cases}$$

Algorithms (Analysis)

- The time complexity the execution time of an algorithm depends on the input.
 - Thus, it is usually expressed as a function of the input size.
 - It can be expressed as a function of part of the input size.
 - For example, t_{MAdd} as a function of m, number of rows, only.
 - If such complexity is of interest to a user.
- In evaluating the time complexity of an algorithm, the number of steps is not well defined.
 - It can be a simple comparison, an addition, a multiplication, or even a complex expression.
 - Thus, the exact number is not very important.
 - The growth of the time complexity as the input size grows is usually of more interest.
- The asymptotic complexity will be studied more later.

```
Algorithms (Analysis)
```

Unit 1.2 Analysis

Amortized Analysis

• In C, array size is fixed. To handle data without prior knowledge of its size, dynamically allocated array should be used.

Algorithm 1.2.11.

```
1 Algorithm Dynamic_Store(A, size, index, item)
 2 // Store item into a dynamic array A of size.
 3 {
         if (size = 0) then \{ // \text{ Initial call.} \}
 4
               size := 1; A := malloc(size \times sizeof(typeA));
 5
 6
          else if (index > size) then \{ // Array A \text{ is full. Double } A.
 7
               size := 2 \times size;
 8
               B := \texttt{malloc}(size \times \texttt{sizeof}(typeA));
 9
               for i := 1 to index - 1 do B[i] := A[i]; // Copy old data.
10
               free(A);
11
               A := B; // Pointer assignment.
12
          }
13
          A[index] := item; // Store into array A.
14
          index := index + 1;
15
16 }
```

Amortized Analysis, II

- All function parameters are assumed to be called by reference.
- Before the first call to Dynamic Store algorithm, variable size should be initialized to 0 and index to 1.
- When the algorithm is called, one array storage operation is needed most of the time.
 - In this case, the complexity is $\Theta(1)$.
- However, when $index = 2^k + 1$, k = 0, 1, 2, ..., then $2^k + 1$ array storage operations are needed.
 - Let n = index, in this case, n operations are needed.
 - The complexity is $\Theta(n)$.
- Overall complexity is $\mathcal{O}(n)$.
- This overestimated the time complexity.
- Amortized analysis should be used for tighter bound. Three methods available:
 - Aggregate analysis
 - Accounting method
 - Potential method

Algorithms (Analysis)

Aggregate Analysis

• The aggregate analysis performs the algorithm n times to get T(n)operations, then the average performance of the algorithm is then T(n)/n.

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• For the Dynamic_Store(A, size, index, item) algorithm the cost of index = i, c_i is if $i = 2^k + 1, k \in \mathbb{N}$, otherwise.

• Total cost for *n* Dynamic_Store calls is

$$T(n) = \sum_{i=1}^{n} c_i \le n + \sum_{j=1}^{\lfloor \lg n \rfloor} 2^j < n+2n = 3n.$$
 (1.2.4)

- Thus, the amortized cost of a single call is 3.
- The amortized complexity of the algorithm is $\mathcal{O}(1)$.

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(1.2.3)

The Accounting Method

- The amortized analysis performs a sequence of n calls of the algorithm to find the average cost.
- The actual cost c_i of the algorithm may vary for different instance *i*.
- The amortized cost \hat{c}_i can be anything but to approach the actual cost over *n* calls, the following relationship must hold for all n > 0.
- $\sum_{i=1}^{n} \widehat{c}_i \ge \sum_{i=1}^{n} c_i.$ • The accounting method is then to select a amortized cost \widehat{c}_i and show that Eq. (1.2.5) holds.
 - The smaller $\left(\sum_{i=1}^{n} \widehat{c}_i \sum_{i=1}^{n} c_i\right)$ the more accurate amortized cost is.

Algorithms (Analysis)

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The Accounting Method, II

- For the Dynamic Store algorithm example
- Choose $\widehat{c}_i = 3$, we have

			-	an	~	4			
index	1	2	3	4	5	6	7	8	9
size	1	2	4	4	8	8	8	8	16
c_i	1	2	3	1	5	1	1	1	9
$\sum c_i$	1	3	6	7	12	13	14	15	24
$\widehat{c_i}$	3	3	3	3	3	3	3	3	3
$\sum \widehat{c}_i$	3	6	9	12	15	18	21	24	27
$\sum \widehat{c_i} - \sum c_i$	2	3	3	5	3	5	7	9	3

- When $\sum \hat{c}_i \sum c_i > 0$, we have net credits for future operations.
- It can be shown that $\sum_{i=1}^{n} \widehat{c}_i \sum_{i=1}^{n} c_i \ge 3$ for all $n \ge 2$.
- Thus, the amortize cost per operation is 3 and the amortized complexity is $\mathcal{O}(1).$

(1.2.5)

The Potential Method

• The potential method associates a non-negative potential function, Φ_i , with the *i*-th operation of the algorithm such that

$$\widehat{c}_i = c_i + \Phi_i - \Phi_{i-1} \tag{1.2.6}$$

The amortized cost at the *i*-th operation is the actual cost plus the potential difference between those two operation.

• The potential function represents the energy barrier for each operation.

Thus,

$$\sum_{i=1}^{n} \widehat{c}_{i} = \sum_{i=1}^{n} (c_{i} + \Phi_{i} - \Phi_{i-1})$$

$$= \sum_{i=1}^{n} c_{i} + \Phi_{n} - \Phi_{n-1} + \Phi_{n-1} - \Phi_{n-2} \dots + \Phi_{1} - \Phi_{0}$$

$$= \sum_{i=1}^{n} c_{i} + \Phi_{n} - \Phi_{0} \qquad (1.2.7)$$

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The Potential Method, II

- Note that Eq. (1.2.5) still needs to be satisfied.
- Thus,

$$\Phi_n \ge \Phi_0, \quad \text{for all } n \ge 1.$$
(1.2.8)

where Φ_0 can be chosen arbitrarily, and is usually set to be 0.

- Again, the average amortized cost represents the amortized complexity of the algorithm.
- Take the Dynamic_Store algorithm as an example, note that index > size/2, thus we can choose the following potential function.

$$\Phi_i = 2i - size_i, \tag{1.2.9}$$

where
$$i = index$$
 and $\Phi_0 = 0$.

index	1	2	3	4	5	6	7	8	9	
size	1	2	4	4	8	8	8	8	16	
c_i	1	2	3	1	5	1	1	1	9	
Φ_i	1	2	2	4	2	4	6	8	2	
\widehat{c}_i	3	3	3	3	3	3	3	3	3	

• Note that $\Phi_i \ge 0$.

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• In the case that $index \leq size$ no malloc is needed and $size_i = size_{i-1}$.

$$\hat{c}_i = c_i + 2i - size_i - 2(i - 1) + size_{i-1}$$

= 1 + 2i - 2i + 2 = 3. (1.2.10)

Note that c_i is given in Eq. (1.2.3).

• In the case that index > size when calling Dynamic_Store we have $size_i = 2 \times size_{i-1} = 2(i-1)$.

$$\widehat{c}_{i} = c_{i} + 2i - size_{i} - 2(i-1) + size_{i-1}$$

= $i + 2i - 2i + 2 - 2i + 2 + i - 1 = 3.$ (1.2.11)

- Thus, we have the amortized cost per operation is $\widehat{c}_i = 3$.
- The amortized complexity of the algorithm is $\mathcal{O}(1)$.

```
Algorithms (Analysis)
```

Binary Counter

• The *m*-bit incrementing binary counter algorithm is shown below.

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Algorithm 1.2.12.

```
1 Algorithm BinCount(m, D)
 2 // Increment m-bit binary array D[m-1:0].
 3 {
 4
        i := 0;
        while (i < m 	ext{ and } D[i] = 1) do \{
 5
             D[i] := 0;
 6
             i := i + 1;
 7
 8
         }
        if (i < m) then D[i] := 1;
 9
10 }
```

- In this algorithm, the while loop on lines 5-8 determines the cost of the operation, but it is not a constant.
- Worst-case complexity is $\mathcal{O}(m)$ due to the while loop on lines 5-8).
- How about the average-case complexity?

Binary Counter – Example

• Example of BinCount(m, D) partial execution result with m = 5 is shown below (Assuming D[m - 1:0] are all 0's initially.)

D[4]	D[3]	D[2]	D[1]	D[0]	c_i	$\sum c_i$
0	0	0	0	1	1	1
0	0	0	1	0	2	3
0	0	0	1	1	1	4
0	0	1	0	0	3	7
0	0	1	0	1	1	8
0	0	1	1	0	2	10
0	0	1	1	1	1	11
0	1	0	0	0	4	15
0	1	0	0	1	1	16
0	1	0	1	0	2	18
0	1	0	1	1	1	19
0	1	1	0	0	3	22
0	1	1	0	1	1	23
0	1	1	1	0	2	25
0	1	1	1	1	1	26
1	0	0	0	0	5	31

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Binary Counter – Aggregate Analysis

- Let the number of bits that change states be the cost of operation, c_i .
- The aggregate analysis execute the algorithm *n* times to find the total cost of operation and then the average can be found.
- Note that bit D[0] changes state on every call.
- Bit D[1] changes state every other time.
- Bit D[2] changes state every fourth time.
- Hence, we have

$$\sum_{i=1}^{n} c_i = n + n/2 + n/4 + \dots + n/2^m < 2n.$$
 (1.2.12)

- Thus, the total amortized cost is $\mathcal{O}(n)$
- And the amortized cost per operation is $\mathcal{O}(1)$.

Binary Counter – Accounting Method

 In according 						I	_				
	<u>D[4]</u>	D[3]	D[2]	<u>D[1]</u>	<i>D</i> [0]	c_i	$\sum c_i$	\widehat{c}_i	$\sum \widehat{c_i}$		
	0	0	0	0	1	1	1	2	2		
	0	0	0	1	0	2	3	2	4		
	0	0	0	1	1	1	4	2	6		
	0	0	1	0	0	3	7	2	8		
	0	0	1	0	1	1	8	2	10		
	0	0	1	1	0	2	10	2	12		
	0	0	1	1	1	1	11	2	14		
	0	1	0	0	0	4	15	2	16		
	0	1	0	0	1	1	16	2	18		
	0	1	0	1	0	2	18	2	20		
	0	1	0	1	1	1	19	2	22		
	0	1	1	0	0	3	22	2	24		
	0	1	1	0	1	1	23	2	26		
	0	1	1	1	0	2	25	2	28		
	0	1	1	1	1	1	26	2	30		
	1	0	0	0	0	5	31	2	32		
• $\widehat{c}_i = 2$	is a cho	oice and	d the ar	mortize	d cost	per (operatio	on is	$\mathcal{O}(1).$		
Algorithm	s (Analysis)			Unit 1	2 Analysis				Ma	r. 5, 2018	
Binary Counter – Potential Method											
 In potential method, we need to find the potential function that satisfies Eq. (1.2.7) and (1.2.8), then the amortized cost can be found using Eq. (1.2.9) 											

(1.2.7) and (1.2.8), then the amortized cost can be found using Eq. (1.2.9). • Define the potential function as

$$\Phi_i = \sum_{i=0}^{m-1} D[i].$$
(1.2.13)

That is
$$\Phi_i$$
 is the number of set bits $(D[i] = 1)$.

• Let r_i be the number of bits reset to 0 for the *i*-th operation, then

$$c_i = r_i + 1.$$
 (1.2.14)

- Note that r_i is simply the number iteration for the while loop on lines 5-8 of Algorithm (1.2.12), and the extra 1 comes from line 9. Connos
- Thus for the *i*-th operation,

$$\Phi_i = \Phi_{i-1} - r_i + 1. \tag{1.2.15}$$

And

$$\widehat{c}_i = c_i + \Phi_i - \Phi_{i-1} = r_i + 1 + \Phi_{i-1} - r_i + 1 - \Phi_{i-1} = 2.$$
 (1.2.16)

• Thus, the amortized cost per operation is $\mathcal{O}(1)$.

Binary Counter – Potential Method, II

• Potential method in 5-bit binary counter example.

D[4]	D[3]	D[2]	D[1]	D[0]	c_i	Φ_i	\widehat{c}_i
0	0	0	0	1	1	1	2
0	0	0	1	0	2	1	2
0	0	0	1	1	1	2	2
0	0	1	0	0	3	1	2
0	0	1	0	1	1	2	2
0	0	1	1	0	2	2	2
0	0	1	1	1	1	3	2
0	1	0	0	0	4	1	2
0	1	0	0	1	1	2	2
0	1	0	1	0	2	2	2
0	1	0	1	1	1	3	2
0	1	1	0	0	3	2	2
0	1	1	0	1	1	3	2
0	1	1	1	0	2	3	2
0	1	1	1	1	1	4	2
1	0	0	0	0	5	1	2

Algorithms (Analysis)

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Amortized Analysis

- In amortized analysis a sequence of *n* operations are performed to find the worst-case total operations.
- The time complexity of a single operation is then the total operation cost divided by the number of operation, *n*.
- Three methods are available:
 - Aggregate analysis,
 - More systematic.
 - Accounting method,
 - Usually the amortized cost is assumed and proven to be correct.
 - Potential method,
 - Need to find the potential function.
 - A tool to prove the amortized cost.

Summary

- Space and time complexities
- Algorithm examples
- Time complexity
 - Counting number of steps
 - Table approach.

• Amortized analysis

- Aggregate analysis
- Acounting method
- Potential method

Algorithms (Analysis)

Unit 1.2 Analysis

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