

Chapter 3

3.1

If α_o were to increase, the bandgap energy would decrease and the material would begin to behave less like a semiconductor and more like a metal. If α_o were to decrease, the bandgap energy would increase and the material would begin to behave more like an insulator.

$$= +Eu(x) \exp \left[j \left(kx - \left(\frac{E}{\hbar} \right) t \right) \right]$$

This equation may be written as

$$-k^2 u(x) + 2jk \frac{\partial u(x)}{\partial x} + \frac{\partial^2 u(x)}{\partial x^2} + \frac{2mE}{\hbar^2} u(x) = 0$$

3.2

Schrodinger's wave equation is:

$$\frac{-\hbar^2}{2m} \frac{\partial^2 \Psi(x, t)}{\partial x^2} + V(x) \cdot \Psi(x, t) = j\hbar \frac{\partial \Psi(x, t)}{\partial t}$$

Assume the solution is of the form:

$$\Psi(x, t) = u(x) \exp \left[j \left(kx - \left(\frac{E}{\hbar} \right) t \right) \right]$$

Region I: $V(x) = 0$. Substituting the assumed solution into the wave equation, we obtain:

$$\begin{aligned} & \frac{-\hbar^2}{2m} \frac{\partial}{\partial x} \left\{ jku(x) \exp \left[j \left(kx - \left(\frac{E}{\hbar} \right) t \right) \right] \right. \\ & \left. + \frac{\partial u(x)}{\partial x} \exp \left[j \left(kx - \left(\frac{E}{\hbar} \right) t \right) \right] \right\} \\ & = j\hbar \left(\frac{-jE}{\hbar} \right) \cdot u(x) \exp \left[j \left(kx - \left(\frac{E}{\hbar} \right) t \right) \right] \end{aligned}$$

which becomes

$$\begin{aligned} & \frac{-\hbar^2}{2m} \left\{ (jk)^2 u(x) \exp \left[j \left(kx - \left(\frac{E}{\hbar} \right) t \right) \right] \right. \\ & + 2jk \frac{\partial u(x)}{\partial x} \exp \left[j \left(kx - \left(\frac{E}{\hbar} \right) t \right) \right] \\ & \left. + \frac{\partial^2 u(x)}{\partial x^2} \exp \left[j \left(kx - \left(\frac{E}{\hbar} \right) t \right) \right] \right\} \end{aligned}$$

Setting $u(x) = u_1(x)$ for region I, the equation becomes:

$$\frac{d^2 u_1(x)}{dx^2} + 2jk \frac{du_1(x)}{dx} - (k^2 - \alpha^2) u_1(x) = 0$$

where

$$\alpha^2 = \frac{2mE}{\hbar^2}$$

Q.E.D.

In Region II, $V(x) = V_o$. Assume the same form of the solution:

$$\Psi(x, t) = u(x) \exp \left[j \left(kx - \left(\frac{E}{\hbar} \right) t \right) \right]$$

Substituting into Schrodinger's wave equation, we find:

$$\begin{aligned} & \frac{-\hbar^2}{2m} \left\{ (jk)^2 u(x) \exp \left[j \left(kx - \left(\frac{E}{\hbar} \right) t \right) \right] \right. \\ & \left. + 2jk \frac{\partial u(x)}{\partial x} \exp \left[j \left(kx - \left(\frac{E}{\hbar} \right) t \right) \right] \right. \\ & \left. + \frac{\partial^2 u(x)}{\partial x^2} \exp \left[j \left(kx - \left(\frac{E}{\hbar} \right) t \right) \right] \right\} \\ & + V_o u(x) \exp \left[j \left(kx - \left(\frac{E}{\hbar} \right) t \right) \right] \\ & = Eu(x) \exp \left[j \left(kx - \left(\frac{E}{\hbar} \right) t \right) \right] \end{aligned}$$

This equation can be written as:

$$-k^2 u(x) + 2jk \frac{\partial u(x)}{\partial x} + \frac{\partial^2 u(x)}{\partial x^2}$$

$$- \frac{2mV_o}{\hbar^2} u(x) + \frac{2mE}{\hbar^2} u(x) = 0$$

Setting $u(x) = u_2(x)$ for region II, this equation becomes

$$\frac{d^2 u_2(x)}{dx^2} + 2jk \frac{du_2(x)}{dx}$$

$$- \left(k^2 - \alpha^2 + \frac{2mV_o}{\hbar^2} \right) u_2(x) = 0$$

where again

$$\alpha^2 = \frac{2mE}{\hbar^2} \quad \text{Q.E.D.}$$

3.3

We have

$$\frac{d^2 u_1(x)}{dx^2} + 2jk \frac{du_1(x)}{dx} - (k^2 - \alpha^2) u_1(x) = 0$$

Assume the solution is of the form:

$$u_1(x) = A \exp[j(\alpha - k)x] + B \exp[-j(\alpha + k)x]$$

The first derivative is

$$\frac{du_1(x)}{dx} = j(\alpha - k)A \exp[j(\alpha - k)x]$$

$$-j(\alpha + k)B \exp[-j(\alpha + k)x]$$

and the second derivative becomes

$$\frac{d^2 u_1(x)}{dx^2} = [j(\alpha - k)]^2 A \exp[j(\alpha - k)x]$$

$$+ [j(\alpha + k)]^2 B \exp[-j(\alpha + k)x]$$

Substituting these equations into the differential equation, we find

$$-(\alpha - k)^2 A \exp[j(\alpha - k)x]$$

$$-(\alpha + k)^2 B \exp[-j(\alpha + k)x]$$

$$+ 2jk\{j(\alpha - k)A \exp[j(\alpha - k)x]$$

$$- j(\alpha + k)B \exp[-j(\alpha + k)x]\}$$

$$- (k^2 - \alpha^2)\{A \exp[j(\alpha - k)x]$$

$$+ B \exp[-j(\alpha + k)x]\} = 0$$

Combining terms, we obtain

$$[-(\alpha^2 - 2\alpha k + k^2) - 2k(\alpha - k) - (k^2 - \alpha^2)]$$

$$\times A \exp[j(\alpha - k)x]$$

$$+ [-(\alpha^2 + 2\alpha k + k^2) + 2k(\alpha + k) - (k^2 - \alpha^2)]$$

$$\times B \exp[-j(\alpha + k)x] = 0$$

We find that

$$0 = 0 \quad \text{Q.E.D.}$$

For the differential equation in $u_2(x)$ and the proposed solution, the procedure is exactly the same as above.

3.4

We have the solutions

$$u_1(x) = A \exp[j(\alpha - k)x]$$

$$+ B \exp[-j(\alpha + k)x]$$

for $0 < x < a$ and

$$u_2(x) = C \exp[j(\beta - k)x]$$

$$+ D \exp[-j(\beta + k)x]$$

for $-b < x < 0$.

The first boundary condition is

$$u_1(0) = u_2(0)$$

which yields

$$A + B - C - D = 0$$

The second boundary condition is

$$\left. \frac{du_1}{dx} \right|_{x=0} = \left. \frac{du_2}{dx} \right|_{x=0}$$

which yields

$$(\alpha - k)A - (\alpha + k)B - (\beta - k)C$$

$$+ (\beta + k)D = 0$$

The third boundary condition is

$$u_1(a) = u_2(-b)$$

which yields

$$A \exp[j(\alpha - k)a] + B \exp[-j(\alpha + k)a]$$

$$= C \exp[j(\beta - k)(-b)]$$

$$+ D \exp[-j(\beta + k)(-b)]$$

and can be written as

$$A \exp[j(\alpha - k)a] + B \exp[-j(\alpha + k)a] \\ - C \exp[-j(\beta - k)b]$$

$$- D \exp[j(\beta + k)b] = 0$$

The fourth boundary condition is

$$\left. \frac{du_1}{dx} \right|_{x=a} = \left. \frac{du_2}{dx} \right|_{x=-b}$$

which yields

$$j(\alpha - k)A \exp[j(\alpha - k)a] \\ - j(\alpha + k)B \exp[-j(\alpha + k)a] \\ = j(\beta - k)C \exp[j(\beta - k)(-b)]$$

$$- j(\beta + k)D \exp[-j(\beta + k)(-b)]$$

and can be written as

$$(\alpha - k)A \exp[j(\alpha - k)a] \\ - (\alpha + k)B \exp[-j(\alpha + k)a] \\ - (\beta - k)C \exp[-j(\beta - k)b] \\ + (\beta + k)D \exp[j(\beta + k)b] = 0$$

3.5

- (b) (i) First point: $\alpha a = \pi$
Second point: By trial and error,
 $\alpha a = 1.729\pi$
- (ii) First point: $\alpha a = 2\pi$
Second point: By trial and error,
 $\alpha a = 2.617\pi$

3.6

- (b) (i) First point: $\alpha a = \pi$
Second point: By trial and error,
 $\alpha a = 1.515\pi$
- (ii) First point: $\alpha a = 2\pi$
Second point: By trial and error,
 $\alpha a = 2.375\pi$

3.7

$$P' \frac{\sin \alpha a}{\alpha a} + \cos \alpha a = \cos ka$$

Let $ka = y$, $\alpha a = x$

Then

$$P' \frac{\sin x}{x} + \cos x = \cos y$$

Consider $\frac{d}{dy}$ of this function.

$$\frac{d}{dy} \{ [P' \cdot (x)^{-1} \sin x] + \cos x \} = -\sin y$$

We find

$$P' \left\{ (-1)(x)^{-2} \sin x \cdot \frac{dx}{dy} + (x)^{-1} \cos x \cdot \frac{dx}{dy} \right\}$$

$$- \sin x \frac{dx}{dy} = -\sin y$$

Then

$$\frac{dx}{dy} \left\{ P' \left[\frac{-1}{x^2} \sin x + \frac{\cos x}{x} \right] - \sin x \right\} = -\sin y$$

For $y = ka = n\pi$, $n = 0, 1, 2, \dots$

$$\Rightarrow \sin y = 0$$

So that, in general,

$$\frac{dx}{dy} = 0 = \frac{d(\alpha a)}{d(ka)} = \frac{d\alpha}{dk}$$

And

$$\alpha = \sqrt{\frac{2mE}{\hbar^2}}$$

So

$$\frac{d\alpha}{dk} = \frac{1}{2} \left(\frac{2mE}{\hbar^2} \right)^{-1/2} \left(\frac{2m}{\hbar^2} \right) \frac{dE}{dk}$$

This implies that

$$\frac{d\alpha}{dk} = 0 = \frac{dE}{dk} \text{ for } k = \frac{n\pi}{a}$$

3.8

- (a) $\alpha_1 a = \pi$

$$\sqrt{\frac{2m_o E_1}{\hbar^2}} \cdot a = \pi$$

$$E_1 = \frac{\pi^2 \hbar^2}{2m_o a^2} = \frac{(\pi)^2 (1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(4.2 \times 10^{-10})^2}$$

$$= 3.4114 \times 10^{-19} \text{ J}$$

From Problem 3.5

$$\alpha_2 a = 1.729\pi$$

$$\sqrt{\frac{2m_o E_2}{\hbar^2}} \cdot a = 1.729\pi$$

$$E_2 = \frac{(1.729\pi)^2 (1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(4.2 \times 10^{-10})^2}$$

$$= 1.0198 \times 10^{-18} \text{ J}$$

$$\Delta E = E_2 - E_1$$

$$= 1.0198 \times 10^{-18} - 3.4114 \times 10^{-19}$$

$$= 6.7868 \times 10^{-19} \text{ J}$$

$$\text{or } \Delta E = \frac{6.7868 \times 10^{-19}}{1.6 \times 10^{-19}} = 4.24 \text{ eV}$$

(b) $\alpha_3 a = 2\pi$

$$\sqrt{\frac{2m_o E_3}{\hbar^2}} \cdot a = 2\pi$$

$$E_3 = \frac{(2\pi)^2 (1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(4.2 \times 10^{-10})^2}$$

$$= 1.3646 \times 10^{-18} \text{ J}$$

From Problem 3.5,

$$\alpha_4 a = 2.617\pi$$

$$\sqrt{\frac{2m_o E_4}{\hbar^2}} \cdot a = 2.617\pi$$

$$E_4 = \frac{(2.617\pi)^2 (1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(4.2 \times 10^{-10})^2}$$

$$= 2.3364 \times 10^{-18} \text{ J}$$

$$\Delta E = E_4 - E_3$$

$$= 2.3364 \times 10^{-18} - 1.3646 \times 10^{-18}$$

$$= 9.718 \times 10^{-19} \text{ J}$$

$$\text{or } \Delta E = \frac{9.718 \times 10^{-19}}{1.6 \times 10^{-19}} = 6.07 \text{ eV}$$

3.9

(a) At $ka = \pi$, $\alpha_1 a = \pi$

$$\sqrt{\frac{2m_o E_1}{\hbar^2}} \cdot a = \pi$$

$$E_1 = \frac{(\pi)^2 (1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(4.2 \times 10^{-10})^2}$$

$$= 3.4114 \times 10^{-19} \text{ J}$$

At $ka = 0$, By trial and error,

$$\alpha_o a = 0.859\pi$$

$$E_o = \frac{(0.859\pi)^2 (1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(4.2 \times 10^{-10})^2}$$

$$= 2.5172 \times 10^{-19} \text{ J}$$

$$\Delta E = E_1 - E_o$$

$$= 3.4114 \times 10^{-19} - 2.5172 \times 10^{-19}$$

$$= 8.942 \times 10^{-20} \text{ J}$$

$$\text{or } \Delta E = \frac{8.942 \times 10^{-20}}{1.6 \times 10^{-19}} = 0.559 \text{ eV}$$

(b) At $ka = 2\pi$, $\alpha_3 a = 2\pi$

$$\sqrt{\frac{2m_o E_3}{\hbar^2}} \cdot a = 2\pi$$

$$E_3 = \frac{(2\pi)^2 (1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(4.2 \times 10^{-10})^2}$$

$$= 1.3646 \times 10^{-18} \text{ J}$$

At $ka = \pi$. From Problem 3.5,

$$\alpha_2 a = 1.729\pi$$

$$\sqrt{\frac{2m_o E_2}{\hbar^2}} \cdot a = 1.729\pi$$

$$E_2 = \frac{(1.729\pi)^2 (1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(4.2 \times 10^{-10})^2}$$

$$= 1.0198 \times 10^{-18} \text{ J}$$

$$\Delta E = E_3 - E_2$$

$$= 1.3646 \times 10^{-18} - 1.0198 \times 10^{-18}$$

$$= 3.4474 \times 10^{-19} \text{ J}$$

$$\text{or } \Delta E = \frac{3.4474 \times 10^{-19}}{1.6 \times 10^{-19}} = 2.15 \text{ eV}$$

3.10

(a) $\alpha_1 a = \pi$

$$\sqrt{\frac{2m_o E_1}{\hbar^2}} \cdot a = \pi$$

$$E_1 = \frac{(\pi)^2 (1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(4.2 \times 10^{-10})^2}$$

$$= 3.4114 \times 10^{-19} \text{ J}$$

From Problem 3.6, $\alpha_2 a = 1.515\pi$

$$\sqrt{\frac{2m_o E_2}{\hbar^2}} \cdot a = 1.515\pi$$

$$E_2 = \frac{(1.515\pi)^2 (1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(4.2 \times 10^{-10})^2}$$

$$= 7.830 \times 10^{-19} \text{ J}$$

$$\Delta E = E_2 - E_1$$

$$= 7.830 \times 10^{-19} - 3.4114 \times 10^{-19}$$

$$= 4.4186 \times 10^{-19} \text{ J}$$

$$\text{or } \Delta E = \frac{4.4186 \times 10^{-19}}{1.6 \times 10^{-19}} = 2.76 \text{ eV}$$

(b) $\alpha_3 a = 2\pi$

$$\sqrt{\frac{2m_o E_3}{\hbar^2}} \cdot a = 2\pi$$

$$E_3 = \frac{(2\pi)^2 (1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(4.2 \times 10^{-10})^2}$$

$$= 1.3646 \times 10^{-18} \text{ J}$$

From Problem 3.6, $\alpha_4 a = 2.375\pi$

$$\sqrt{\frac{2m_o E_4}{\hbar^2}} \cdot a = 2.375\pi$$

$$E_4 = \frac{(2.375\pi)^2 (1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(4.2 \times 10^{-10})^2}$$

$$= 1.9242 \times 10^{-18} \text{ J}$$

$$\Delta E = E_4 - E_3$$

$$= 1.9242 \times 10^{-18} - 1.3646 \times 10^{-18}$$

$$= 5.597 \times 10^{-19} \text{ J}$$

$$\text{or } \Delta E = \frac{5.597 \times 10^{-19}}{1.6 \times 10^{-19}} = 3.50 \text{ eV}$$

3.11

(a) At $ka = \pi$, $\alpha_1 a = \pi$

$$\sqrt{\frac{2m_o E_1}{\hbar^2}} \cdot a = \pi$$

$$E_1 = \frac{(\pi)^2 (1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(4.2 \times 10^{-10})^2}$$

$$= 3.4114 \times 10^{-19} \text{ J}$$

At $ka = 0$, By trial and error,

$$\alpha_o a = 0.727\pi$$

$$\sqrt{\frac{2m_o E_o}{\hbar^2}} \cdot a = 0.727\pi$$

$$E_o = \frac{(0.727\pi)^2 (1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(4.2 \times 10^{-10})^2}$$

$$= 1.8030 \times 10^{-19} \text{ J}$$

$$\Delta E = E_1 - E_o$$

$$= 3.4114 \times 10^{-19} - 1.8030 \times 10^{-19}$$

$$= 1.6084 \times 10^{-19} \text{ J}$$

or

$$\Delta E = \frac{1.6084 \times 10^{-19}}{1.6 \times 10^{-19}} = 1.005 \text{ eV}$$

(b) At $ka = 2\pi$, $\alpha_3 a = 2\pi$

$$\sqrt{\frac{2m_o E_3}{\hbar^2}} \cdot a = 2\pi$$

$$E_3 = \frac{(2\pi)^2 (1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(4.2 \times 10^{-10})^2}$$

$$= 1.3646 \times 10^{-18} \text{ J}$$

At $ka = \pi$, From Problem 3.6,
 $\alpha_2 a = 1.515\pi$

$$\sqrt{\frac{2m_o E_2}{\hbar^2}} \cdot a = 1.515\pi$$

$$E_2 = \frac{(1.515\pi)^2 (1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(4.2 \times 10^{-10})^2}$$

$$= 7.830 \times 10^{-19} \text{ J}$$

$$\Delta E = E_3 - E_2$$

$$= 1.3646 \times 10^{-18} - 7.830 \times 10^{-19}$$

$$= 5.816 \times 10^{-19} \text{ J}$$

$$\text{or } \Delta E = \frac{5.816 \times 10^{-19}}{1.6 \times 10^{-19}} = 3.635 \text{ eV}$$

3.12

For $T = 100 \text{ K}$,

$$E_g = 1.170 - \frac{(4.73 \times 10^{-4})(100)^2}{636 + 100} \Rightarrow$$

$$E_g = 1.164 \text{ eV}$$

$$T = 200 \text{ K}, \quad E_g = 1.147 \text{ eV}$$

$$T = 300 \text{ K}, \quad E_g = 1.125 \text{ eV}$$

$$T = 400 \text{ K}, \quad E_g = 1.097 \text{ eV}$$

$$T = 500 \text{ K}, \quad E_g = 1.066 \text{ eV}$$

$$T = 600 \text{ K}, \quad E_g = 1.032 \text{ eV}$$

3.13

The effective mass is given by

$$m^* = \left(\frac{1}{\hbar^2} \cdot \frac{d^2 E}{dk^2} \right)^{-1}$$

We have

$$\frac{d^2 E}{dk^2} (\text{curve A}) > \frac{d^2 E}{dk^2} (\text{curve B})$$

so that $m^* (\text{curve A}) < m^* (\text{curve B})$

3.14

The effective mass for a hole is given by

$$m_p^* = \left(\frac{1}{\hbar^2} \cdot \left| \frac{d^2 E}{dk^2} \right| \right)^{-1}$$

We have that

$$\left| \frac{d^2 E}{dk^2} \right| (\text{curve A}) > \left| \frac{d^2 E}{dk^2} \right| (\text{curve B})$$

so that $m_p^* (\text{curve A}) < m_p^* (\text{curve B})$

3.15

Points A,B: $\frac{dE}{dk} < 0 \Rightarrow$ velocity in -x

direction

Points C,D: $\frac{dE}{dk} > 0 \Rightarrow$ velocity in +x

direction

Points A,D: $\frac{d^2 E}{dk^2} < 0 \Rightarrow$

negative effective mass

Points B,C: $\frac{d^2 E}{dk^2} > 0 \Rightarrow$

positive effective mass

3.16

For A: $E = C_1 k^2$

At $k = 0.08 \times 10^{10} \text{ m}^{-1}$, $E = 0.05$ eV

Or

$$E = (0.05)(1.6 \times 10^{-19}) = 8 \times 10^{-21} \text{ J}$$

$$\text{So } 8 \times 10^{-21} = C_1 (0.08 \times 10^{10})^2$$

$$\Rightarrow C_1 = 1.25 \times 10^{-38}$$

$$\text{Now } m^* = \frac{\hbar^2}{2C_1} = \frac{(1.054 \times 10^{-34})^2}{2(1.25 \times 10^{-38})}$$

$$= 4.44 \times 10^{-31} \text{ kg}$$

$$\text{or } m^* = \frac{4.4437 \times 10^{-31}}{9.11 \times 10^{-31}} \cdot m_o$$

$$m^* = 0.488 m_o$$

For B: $E = C_1 k^2$

At $k = 0.08 \times 10^{+10} \text{ m}^{-1}$, $E = 0.5$
eV

Or

$$E = (0.5)(1.6 \times 10^{-19}) = 8 \times 10^{-20} \text{ J}$$

$$\text{So } 8 \times 10^{-20} = C_1 (0.08 \times 10^{10})^2$$

$$\Rightarrow C_1 = 1.25 \times 10^{-37}$$

$$\text{Now } m^* = \frac{\hbar^2}{2C_1} = \frac{(1.054 \times 10^{-34})^2}{2(1.25 \times 10^{-37})}$$

$$= 4.44 \times 10^{-32} \text{ kg}$$

$$\text{or } m^* = \frac{4.4437 \times 10^{-32}}{9.11 \times 10^{-31}} \cdot m_o$$

$$m^* = 0.0488 m_o$$

3.17

For A: $E - E_v = -C_2 k^2$

$$-(0.025)(1.6 \times 10^{-19}) = -C_2 (0.08 \times 10^{10})^2$$

$$\Rightarrow C_2 = 6.25 \times 10^{-39}$$

$$m^* = \frac{-\hbar^2}{2C_2} = \frac{-(1.054 \times 10^{-34})^2}{2(6.25 \times 10^{-39})}$$

$$= -8.8873 \times 10^{-31} \text{ kg}$$

$$\text{or } m^* = \frac{-8.8873 \times 10^{-31}}{9.11 \times 10^{-31}} \cdot m_o$$

$$m^* = -0.976 m_o$$

For B: $E - E_v = -C_2 k^2$

$$-(0.3)(1.6 \times 10^{-19}) = -C_2 (0.08 \times 10^{10})^2$$

$$\Rightarrow C_2 = 7.5 \times 10^{-38}$$

$$m^* = \frac{-\hbar^2}{2C_2} = \frac{-(1.054 \times 10^{-34})^2}{2(7.5 \times 10^{-38})}$$

$$= -7.406 \times 10^{-32} \text{ kg}$$

$$\text{or } m^* = \frac{-7.406 \times 10^{-32}}{9.11 \times 10^{-31}} \cdot m_o$$

$$m^* = -0.0813 m_o$$

3.18

(a) (i) $E = h\nu$

$$\text{or } \nu = \frac{E}{h} = \frac{(1.42)(1.6 \times 10^{-19})}{6.625 \times 10^{-34}}$$

$$= 3.429 \times 10^{14} \text{ Hz}$$

$$\text{(ii) } \lambda = \frac{hc}{E} = \frac{c}{\nu} = \frac{3 \times 10^{10}}{3.429 \times 10^{14}}$$

$$= 8.75 \times 10^{-5} \text{ cm} = 875 \text{ nm}$$

$$\text{(b) (i) } \nu = \frac{E}{h} = \frac{(1.12)(1.6 \times 10^{-19})}{6.625 \times 10^{-34}}$$

$$= 2.705 \times 10^{14} \text{ Hz}$$

$$\text{(ii) } \lambda = \frac{c}{\nu} = \frac{3 \times 10^{10}}{2.705 \times 10^{14}}$$

$$= 1.109 \times 10^{-4} \text{ cm} = 1109$$

nm

3.19

(c) Curve A: Effective mass is a constant

Curve B: Effective mass is positive

around $k = 0$, and is negative

around $k = \pm \frac{\pi}{2}$.

3.20

$$E = E_o - E_1 \cos[\alpha(k - k_o)]$$

Then

$$\frac{dE}{dk} = (-E_1)(-\alpha) \sin[\alpha(k - k_o)]$$

$$= +E_1 \alpha \sin[\alpha(k - k_o)]$$

and

$$\frac{d^2 E}{dk^2} = E_1 \alpha^2 \cos[\alpha(k - k_o)]$$

Then

$$\frac{1}{m^*} = \frac{1}{\hbar^2} \cdot \frac{d^2 E}{dk^2} \Big|_{k=k_o} = \frac{E_1 \alpha^2}{\hbar^2}$$

or

$$m^* = \frac{\hbar^2}{E_1 \alpha^2}$$

3.21

$$(a) \quad m_{dn}^* = 4^{2/3} \left[(m_t)^2 m_l \right]^{1/3}$$

$$= 4^{2/3} \left[(0.082m_o)^2 (1.64m_o) \right]^{1/3}$$

$$m_{dn}^* = 0.56m_o$$

$$(b) \quad \frac{3}{m_{cn}^*} = \frac{2}{m_t} + \frac{1}{m_l} = \frac{2}{0.082m_o} + \frac{1}{1.64m_o}$$

$$= \frac{24.39}{m_o} + \frac{0.6098}{m_o}$$

$$m_{cn}^* = 0.12m_o$$

3.22

$$(a) \quad m_{dp}^* = \left[(m_{hh})^{3/2} + (m_{lh})^{3/2} \right]^{2/3}$$

$$= \left[(0.45m_o)^{3/2} + (0.082m_o)^{3/2} \right]^{2/3}$$

$$= [0.30187 + 0.02348]^{2/3} \cdot m_o$$

$$m_{dp}^* = 0.473m_o$$

$$(b) \quad m_{cp}^* = \frac{(m_{hh})^{3/2} + (m_{lh})^{3/2}}{(m_{hh})^{1/2} + (m_{lh})^{1/2}}$$

$$= \frac{(0.45)^{3/2} + (0.082)^{3/2}}{(0.45)^{1/2} + (0.082)^{1/2}} \cdot m_o$$

$$m_{cp}^* = 0.34m_o$$

3.23

For the 3-dimensional infinite potential well, $V(x) = 0$ when $0 < x < a$, $0 < y < a$, and $0 < z < a$. In this region, the wave equation is:

$$\frac{\partial^2 \psi(x, y, z)}{\partial x^2} + \frac{\partial^2 \psi(x, y, z)}{\partial y^2} + \frac{\partial^2 \psi(x, y, z)}{\partial z^2} + \frac{2mE}{\hbar^2} \psi(x, y, z) = 0$$

Use separation of variables technique, so let $\psi(x, y, z) = X(x)Y(y)Z(z)$

Substituting into the wave equation, we have

$$YZ \frac{\partial^2 X}{\partial x^2} + XZ \frac{\partial^2 Y}{\partial y^2} + XY \frac{\partial^2 Z}{\partial z^2} + \frac{2mE}{\hbar^2} \cdot XYZ = 0$$

Dividing by XYZ , we obtain

$$\frac{1}{X} \cdot \frac{\partial^2 X}{\partial x^2} + \frac{1}{Y} \cdot \frac{\partial^2 Y}{\partial y^2} + \frac{1}{Z} \cdot \frac{\partial^2 Z}{\partial z^2} + \frac{2mE}{\hbar^2} = 0$$

Let

$$\frac{1}{X} \cdot \frac{\partial^2 X}{\partial x^2} = -k_x^2 \Rightarrow \frac{\partial^2 X}{\partial x^2} + k_x^2 X = 0$$

The solution is of the form:

$$X(x) = A \sin k_x x + B \cos k_x x$$

Since $\psi(x, y, z) = 0$ at $x = 0$, then

$$X(0) = 0$$

so that $B = 0$.

Also, $\psi(x, y, z) = 0$ at $x = a$, so that

$$X(a) = 0. \text{ Then } k_x a = n_x \pi \text{ where}$$

$$n_x = 1, 2, 3, \dots$$

Similarly, we have

$$\frac{1}{Y} \cdot \frac{\partial^2 Y}{\partial y^2} = -k_y^2 \text{ and } \frac{1}{Z} \cdot \frac{\partial^2 Z}{\partial z^2} = -k_z^2$$

From the boundary conditions, we find

$$k_y a = n_y \pi \text{ and } k_z a = n_z \pi$$

where

$$n_y = 1, 2, 3, \dots \text{ and}$$

$$n_z = 1, 2, 3, \dots$$

From the wave equation, we can write

$$-k_x^2 - k_y^2 - k_z^2 + \frac{2mE}{\hbar^2} = 0$$

The energy can be written as

$$E = E_{n_x n_y n_z} = \frac{\hbar^2}{2m} (n_x^2 + n_y^2 + n_z^2) \left(\frac{\pi}{a} \right)^2$$

3.24

The total number of quantum states in the 3-dimensional potential well is given (in k-space) by

$$g_T(k) dk = \frac{\pi k^2 dk}{\pi^3} \cdot a^3$$

where

$$k^2 = \frac{2mE}{\hbar^2}$$

We can then write

$$k = \frac{\sqrt{2mE}}{\hbar}$$

Taking the differential, we obtain

$$dk = \frac{1}{\hbar} \cdot \sqrt{2m} \cdot \frac{1}{2} \cdot \frac{1}{\sqrt{E}} \cdot dE = \frac{1}{\hbar} \cdot \sqrt{\frac{m}{2E}} \cdot dE$$

Substituting these expressions into the density of states function, we have

$$g_T(E)dE = \frac{\pi a^3}{\pi^3} \left(\frac{2mE}{\hbar^2} \right) \cdot \frac{1}{\hbar} \cdot \sqrt{\frac{m}{2E}} \cdot dE$$

Noting that

$$\hbar = \frac{h}{2\pi}$$

this density of states function can be simplified and written as

$$g_T(E)dE = \frac{4\pi a^3}{h^3} (2m)^{3/2} \cdot \sqrt{E} \cdot dE$$

Dividing by a^3 will yield the density of states so that

$$g(E) = \frac{4\pi(2m)^{3/2}}{h^3} \cdot \sqrt{E}$$

3.25

For a one-dimensional infinite potential well,

$$\frac{2m_n^*E}{\hbar^2} = \frac{n^2\pi^2}{a^2} = k^2$$

Distance between quantum states

$$k_{n+1} - k_n = (n+1) \left(\frac{\pi}{a} \right) - n \left(\frac{\pi}{a} \right) = \frac{\pi}{a}$$

Now

$$g_T(k)dk = \frac{2 \cdot dk}{\left(\frac{\pi}{a} \right)}$$

Now

$$k = \frac{1}{\hbar} \cdot \sqrt{2m_n^*E}$$

$$dk = \frac{1}{\hbar} \cdot \frac{1}{2} \cdot \sqrt{\frac{2m_n^*}{E}} \cdot dE$$

Then

$$g_T(E)dE = \frac{2a}{\pi} \cdot \frac{1}{2\hbar} \cdot \sqrt{\frac{2m_n^*}{E}} \cdot dE$$

Divide by the "volume" a , so

$$g(E) = \frac{1}{\hbar\pi} \cdot \sqrt{\frac{2m_n^*}{E}}$$

So

$$g(E) = \frac{1}{(1.054 \times 10^{-34})(\pi)} \cdot \frac{\sqrt{2(0.067)(9.11 \times 10^{-31})}}{\sqrt{E}}$$

$$g(E) = \frac{1.055 \times 10^{18}}{\sqrt{E}} \text{ m}^{-3} \text{ J}^{-1}$$

3.26

(a) Silicon, $m_n^* = 1.08m_o$

$$g_c(E) = \frac{4\pi(2m_n^*)^{3/2}}{h^3} \sqrt{E - E_c}$$

$$g_c = \frac{4\pi(2m_n^*)^{3/2}}{h^3} \int_{E_c}^{E_c+2kT} \sqrt{E - E_c} \cdot dE$$

$$= \frac{4\pi(2m_n^*)^{3/2}}{h^3} \cdot \frac{2}{3} \cdot (E - E_c)^{3/2} \Big|_{E_c}^{E_c+2kT}$$

$$= \frac{4\pi(2m_n^*)^{3/2}}{h^3} \cdot \frac{2}{3} \cdot (2kT)^{3/2}$$

$$= \frac{4\pi[2(1.08)(9.11 \times 10^{-31})]^{3/2}}{(6.625 \times 10^{-34})^3} \cdot \frac{2}{3} \cdot (2kT)^{3/2}$$

$$= (7.953 \times 10^{55})(2kT)^{3/2}$$

(i) At $T = 300$ K, $kT = 0.0259$ eV

$$= (0.0259)(1.6 \times 10^{-19}) = 4.144 \times 10^{-21} \text{ J}$$

Then

$$g_c = (7.953 \times 10^{55}) [2(4.144 \times 10^{-21})]^{3/2} = 6.0 \times 10^{25} \text{ m}^{-3}$$

or $g_c = 6.0 \times 10^{19} \text{ cm}^{-3}$

(ii) At $T = 400 \text{ K}$,

$$kT = (0.0259) \left(\frac{400}{300} \right) = 0.034533 \text{ eV}$$

$$= (0.034533) (1.6 \times 10^{-19}) = 5.5253 \times 10^{-21} \text{ J}$$

Then

$$g_c = (7.953 \times 10^{55}) [2(5.5253 \times 10^{-21})]^{3/2} = 9.239 \times 10^{25} \text{ m}^{-3}$$

or $g_c = 9.24 \times 10^{19} \text{ cm}^{-3}$

(b) GaAs, $m_n^* = 0.067m_o$

$$g_c = \frac{4\pi [2(0.067)(9.11 \times 10^{-31})]^{3/2}}{(6.625 \times 10^{-34})^3} \cdot \frac{2}{3} \cdot (2kT)^{3/2} = (1.2288 \times 10^{54}) (2kT)^{3/2}$$

(i) At $T = 300 \text{ K}$, $kT = 4.144 \times 10^{-21} \text{ J}$

$$g_c = (1.2288 \times 10^{54}) [2(4.144 \times 10^{-21})]^{3/2} = 9.272 \times 10^{23} \text{ m}^{-3}$$

or $g_c = 9.27 \times 10^{17} \text{ cm}^{-3}$

(ii) At $T = 400 \text{ K}$,

$$kT = 5.5253 \times 10^{-21} \text{ J}$$

$$g_c = (1.2288 \times 10^{54}) [2(5.5253 \times 10^{-21})]^{3/2} = 1.427 \times 10^{24} \text{ m}^{-3} = 1.43 \times 10^{18} \text{ cm}^{-3}$$

3.27

(a) Silicon, $m_p^* = 0.56m_o$

$$g_v(E) = \frac{4\pi(2m_p^*)^{3/2}}{h^3} \sqrt{E_v - E}$$

$$g_v = \frac{4\pi(2m_p^*)^{3/2}}{h^3} \int_{E_v-3kT}^{E_v} \sqrt{E_v - E} \cdot dE$$

$$= \frac{4\pi(2m_p^*)^{3/2}}{h^3} \left(\frac{-2}{3} \right) E_v - E)^{3/2} \Big|_{E_v-3kT}^{E_v}$$

$$= \frac{4\pi(2m_p^*)^{3/2}}{h^3} \left(\frac{-2}{3} \right) [-(3kT)^{3/2}]$$

$$= \frac{4\pi [2(0.56)(9.11 \times 10^{-31})]^{3/2}}{(6.625 \times 10^{-34})^3} \left(\frac{2}{3} \right) (3kT)^{3/2}$$

$$= (2.969 \times 10^{55}) (3kT)^{3/2}$$

(i) At $T = 300 \text{ K}$, $kT = 4.144 \times 10^{-21} \text{ J}$

$$g_v = (2.969 \times 10^{55}) [3(4.144 \times 10^{-21})]^{3/2} = 4.116 \times 10^{25} \text{ m}^{-3}$$

or $g_v = 4.12 \times 10^{19} \text{ cm}^{-3}$

(ii) At $T = 400 \text{ K}$, $kT = 5.5253 \times 10^{-21} \text{ J}$

$$g_v = (2.969 \times 10^{55}) [3(5.5253 \times 10^{-21})]^{3/2} = 6.337 \times 10^{25} \text{ m}^{-3}$$

or $g_v = 6.34 \times 10^{19} \text{ cm}^{-3}$

(b) GaAs, $m_p^* = 0.48m_o$

$$g_v = \frac{4\pi [2(0.48)(9.11 \times 10^{-31})]^{3/2}}{(6.625 \times 10^{-34})^3} \left(\frac{2}{3} \right) (3kT)^{3/2}$$

$$= (2.3564 \times 10^{55}) (3kT)^{3/2}$$

(i) At $T = 300 \text{ K}$, $kT = 4.144 \times 10^{-21} \text{ J}$

$$g_v = (2.3564 \times 10^{55}) [3(4.144 \times 10^{-21})]^{3/2} = 3.266 \times 10^{25} \text{ m}^{-3}$$

or $g_v = 3.27 \times 10^{19} \text{ cm}^{-3}$

(ii) At $T = 400 \text{ K}$,

$$kT = 5.5253 \times 10^{-21} \text{ J}$$

$$g_v = (2.3564 \times 10^{55}) [3(5.5253 \times 10^{-21})]^{3/2} = 5.029 \times 10^{25} \text{ m}^{-3}$$

or $g_v = 5.03 \times 10^{19} \text{ cm}^{-3}$

3.28

$$(a) \quad g_c(E) = \frac{4\pi(2m_n^*)^{3/2}}{h^3} \sqrt{E - E_c}$$

$$= \frac{4\pi[2(1.08)(9.11 \times 10^{-31})]^{3/2}}{(6.625 \times 10^{-34})^3} \sqrt{E - E_c}$$

$$= 1.1929 \times 10^{56} \sqrt{E - E_c}$$

For $E = E_c$; $g_c = 0$

$E = E_c + 0.1 \text{ eV}$; $g_c = 1.509 \times 10^{46} \text{ m}^{-3} \text{ J}^{-1}$

$E = E_c + 0.2 \text{ eV}$; $= 2.134 \times 10^{46} \text{ m}^{-3} \text{ J}^{-1}$

$E = E_c + 0.3 \text{ eV}$; $= 2.614 \times 10^{46} \text{ m}^{-3} \text{ J}^{-1}$

$E = E_c + 0.4 \text{ eV}$; $= 3.018 \times 10^{46} \text{ m}^{-3} \text{ J}^{-1}$

$$(b) \quad g_v = \frac{4\pi(2m_p^*)^{3/2}}{h^3} \sqrt{E_v - E}$$

$$= \frac{4\pi[2(0.56)(9.11 \times 10^{-31})]^{3/2}}{(6.625 \times 10^{-34})^3} \sqrt{E_v - E}$$

$$= 4.4541 \times 10^{55} \sqrt{E_v - E}$$

For $E = E_v$; $g_v = 0$

$E = E_v - 0.1 \text{ eV}$; $g_v = 5.634 \times 10^{45} \text{ m}^{-3} \text{ J}^{-1}$

$E = E_v - 0.2 \text{ eV}$; $= 7.968 \times 10^{45} \text{ m}^{-3} \text{ J}^{-1}$

$E = E_v - 0.3 \text{ eV}$; $= 9.758 \times 10^{45} \text{ m}^{-3} \text{ J}^{-1}$

$E = E_v - 0.4 \text{ eV}$; $= 1.127 \times 10^{46} \text{ m}^{-3} \text{ J}^{-1}$

3.29

$$(a) \quad \frac{g_c}{g_v} = \frac{(m_n^*)^{3/2}}{(m_p^*)^{3/2}} = \left(\frac{1.08}{0.56}\right)^{3/2} = 2.68$$

$$(b) \quad \frac{g_c}{g_v} = \frac{(m_n^*)^{3/2}}{(m_p^*)^{3/2}} = \left(\frac{0.067}{0.48}\right)^{3/2} = 0.0521$$

3.30

Plot

3.31

$$(a) \quad W_i = \frac{g_i!}{N_i!(g_i - N_i)!} = \frac{10!}{(7!)(10-7)!}$$

$$= \frac{(10)(9)(8)(7!)}{(7!)(3!)} = \frac{(10)(9)(8)}{(3)(2)(1)} = 120$$

(b) (i)

$$W_i = \frac{12!}{(10!)(12-10)!} = \frac{(12)(11)(10!)}{(10!)(2)(1)} = 66$$

(ii)

$$W_i = \frac{12!}{(8!)(12-8)!} = \frac{(12)(11)(10)(9)(8!)}{(8!)(4)(3)(2)(1)} = 495$$

3.32

$$f(E) = \frac{1}{1 + \exp\left(\frac{E - E_F}{kT}\right)}$$

(a) $E - E_F = kT$, $f(E) = \frac{1}{1 + \exp(1)} \Rightarrow$

$$f(E) = 0.269$$

(b) $E - E_F = 5kT$,

$$f(E) = \frac{1}{1 + \exp(5)} \Rightarrow$$

$$f(E) = 6.69 \times 10^{-3}$$

(c) $E - E_F = 10kT$,

$$f(E) = \frac{1}{1 + \exp(10)} \Rightarrow$$

$$f(E) = 4.54 \times 10^{-5}$$

3.33

$$1 - f(E) = 1 - \frac{1}{1 + \exp\left(\frac{E - E_F}{kT}\right)}$$

or

$$1 - f(E) = \frac{1}{1 + \exp\left(\frac{E_F - E}{kT}\right)}$$

(a) $E_F - E = kT$, $1 - f(E) = 0.269$

(b) $E_F - E = 5kT$, $1 - f(E) = 6.69 \times 10^{-3}$

(c) $E_F - E = 10kT$,
 $1 - f(E) = 4.54 \times 10^{-5}$

3.34

(a) $f_F \cong \exp\left[\frac{-(E - E_F)}{kT}\right]$

$E = E_c$;

$$f_F = \exp\left[\frac{-0.30}{0.0259}\right] = 9.32 \times 10^{-6}$$

$E_c + \frac{kT}{2}$;

$$f_F = \exp\left[\frac{-(0.30 + 0.0259/2)}{0.0259}\right]$$

$$= 5.66 \times 10^{-6}$$

$E_c + kT$;

$$f_F = \exp\left[\frac{-(0.30 + 0.0259)}{0.0259}\right]$$

$$= 3.43 \times 10^{-6}$$

$E_c + \frac{3kT}{2}$;

$$f_F = \exp\left[\frac{-(0.30 + 3(0.0259/2))}{0.0259}\right]$$

$$= 2.08 \times 10^{-6}$$

$E_c + 2kT$;

$$f_F = \exp\left[\frac{-(0.30 + 2(0.0259))}{0.0259}\right]$$

$$= 1.26 \times 10^{-6}$$

(b) $1 - f_F = 1 - \frac{1}{1 + \exp\left[\frac{E - E_F}{kT}\right]}$

$$\cong \exp\left[\frac{-(E_F - E)}{kT}\right]$$

$$E = E_v; 1 - f_F = \exp\left[\frac{-0.25}{0.0259}\right]$$

$$= 6.43 \times 10^{-5}$$

$E_v - \frac{kT}{2}$;

$$1 - f_F = \exp\left[\frac{-((0.25 + 0.0259/2))}{0.0259}\right]$$

$$= 3.90 \times 10^{-5}$$

$E_v - kT$;

$$1 - f_F = \exp\left[\frac{-((0.25 + 0.0259))}{0.0259}\right]$$

$$= 2.36 \times 10^{-5}$$

$E_v - \frac{3kT}{2}$;

$$1 - f_F = \exp\left[\frac{-((0.25 + 3(0.0259/2)))}{0.0259}\right]$$

$$= 1.43 \times 10^{-5}$$

$E_v - 2kT$;

$$1 - f_F = \exp\left[\frac{-((0.25 + 2(0.0259)))}{0.0259}\right]$$

$$= 8.70 \times 10^{-6}$$

3.35

$$f_F = \exp\left[\frac{-(E - E_F)}{kT}\right] = \exp\left[\frac{-(E_c + kT - E_F)}{kT}\right]$$

and

$$1 - f_F = \exp\left[\frac{-(E_F - E)}{kT}\right]$$

$$= \exp\left[\frac{-(E_F - (E_v - kT))}{kT}\right]$$

So $\exp\left[\frac{-(E_c + kT - E_F)}{kT}\right]$

$$= \exp\left[\frac{-(E_F - E_v + kT)}{kT}\right]$$

Then $E_c + kT - E_F = E_F - E_v + kT$

Or $E_F = \frac{E_c + E_v}{2} = E_{midgap}$

3.36

$$E_n = \frac{\hbar^2 n^2 \pi^2}{2ma^2}$$

For $n = 6$, Filled state

$$E_6 = \frac{(1.054 \times 10^{-34})^2 (6)^2 (\pi)^2}{2(9.11 \times 10^{-31})(12 \times 10^{-10})^2}$$

$$= 1.5044 \times 10^{-18} \text{ J}$$

or $E_6 = \frac{1.5044 \times 10^{-18}}{1.6 \times 10^{-19}} = 9.40 \text{ eV}$

For $n = 7$, Empty state

$$E_7 = \frac{(1.054 \times 10^{-34})^2 (7)^2 (\pi)^2}{2(9.11 \times 10^{-31})(12 \times 10^{-10})^2}$$

$$= 2.048 \times 10^{-18} \text{ J}$$

or $E_7 = \frac{2.048 \times 10^{-18}}{1.6 \times 10^{-19}} = 12.8 \text{ eV}$

Therefore $9.40 < E_F < 12.8 \text{ eV}$

3.37

(a) For a 3-D infinite potential well

$$\frac{2mE}{\hbar^2} = (n_x^2 + n_y^2 + n_z^2) \left(\frac{\pi}{a} \right)^2$$

For 5 electrons, the 5th electron occupies the quantum state $n_x = 2, n_y = 2, n_z = 1$; so

$$E_5 = \frac{\hbar^2}{2m} (n_x^2 + n_y^2 + n_z^2) \left(\frac{\pi}{a} \right)^2$$

$$= \frac{(1.054 \times 10^{-34})^2 (\pi)^2 (2^2 + 2^2 + 1^2)}{2(9.11 \times 10^{-31})(12 \times 10^{-10})^2}$$

$$= 3.761 \times 10^{-19} \text{ J}$$

or $E_5 = \frac{3.761 \times 10^{-19}}{1.6 \times 10^{-19}} = 2.35 \text{ eV}$

For the next quantum state, which is empty, the quantum state is $n_x = 1, n_y = 2, n_z = 2$. This quantum state is at the same energy, so

$$E_F = 2.35 \text{ eV}$$

(b) For 13 electrons, the 13th electron occupies the quantum state $n_x = 3, n_y = 2, n_z = 3$; so

$$E_{13} = \frac{(1.054 \times 10^{-34})^2 (\pi)^2 (3^2 + 2^2 + 3^2)}{2(9.11 \times 10^{-31})(12 \times 10^{-10})^2}$$

$$= 9.194 \times 10^{-19} \text{ J}$$

or $E_{13} = \frac{9.194 \times 10^{-19}}{1.6 \times 10^{-19}} = 5.746 \text{ eV}$

The 14th electron would occupy the quantum state $n_x = 2, n_y = 3, n_z = 3$. This state is at the same energy, so

$$E_F = 5.746 \text{ eV}$$

3.38

The probability of a state at $E_1 = E_F + \Delta E$ being occupied is

$$f_1(E_1) = \frac{1}{1 + \exp\left(\frac{E_1 - E_F}{kT}\right)} = \frac{1}{1 + \exp\left(\frac{\Delta E}{kT}\right)}$$

The probability of a state at $E_2 = E_F - \Delta E$ being empty is

$$1 - f_2(E_2) = 1 - \frac{1}{1 + \exp\left(\frac{E_2 - E_F}{kT}\right)}$$

$$= 1 - \frac{1}{1 + \exp\left(\frac{-\Delta E}{kT}\right)} = \frac{\exp\left(\frac{-\Delta E}{kT}\right)}{1 + \exp\left(\frac{-\Delta E}{kT}\right)}$$

or

$$1 - f_2(E_2) = \frac{1}{1 + \exp\left(\frac{\Delta E}{kT}\right)}$$

so $f_1(E_1) = 1 - f_2(E_2)$ Q.E.D.

3.39

(a) At energy E_1 , we want

$$\frac{1}{\exp\left(\frac{E_1 - E_F}{kT}\right)} - \frac{1}{1 + \exp\left(\frac{E_1 - E_F}{kT}\right)} = 0.01$$

$$\frac{1}{1 + \exp\left(\frac{E_1 - E_F}{kT}\right)}$$

This expression can be written as

$$\frac{1 + \exp\left(\frac{E_1 - E_F}{kT}\right)}{\exp\left(\frac{E_1 - E_F}{kT}\right)} - 1 = 0.01$$

or

$$1 = (0.01) \exp\left(\frac{E_1 - E_F}{kT}\right)$$

Then

$$E_1 = E_F + kT \ln(100)$$

or

$$E_1 = E_F + 4.6kT$$

(b)

$$\text{At } E = E_F + 4.6kT,$$

$$f(E_1) = \frac{1}{1 + \exp\left(\frac{E_1 - E_F}{kT}\right)} = \frac{1}{1 + \exp(4.6)}$$

which yields

$$f(E_1) = 0.00990 \cong 0.01$$

3.40

(a)

$$f_F = \exp\left[\frac{-(E - E_F)}{kT}\right] = \exp\left[\frac{-(5.80 - 5.50)}{0.0259}\right]$$

$$= 9.32 \times 10^{-6}$$

$$(b) \quad kT = (0.0259) \left(\frac{700}{300}\right) = 0.060433$$

eV

$$f_F = \exp\left[\frac{-0.30}{0.060433}\right] = 6.98 \times 10^{-3}$$

$$(c) \quad 1 - f_F \cong \exp\left[\frac{-(E_F - E)}{kT}\right]$$

$$0.02 = \exp\left[\frac{-0.25}{kT}\right]$$

$$\text{or } \exp\left[\frac{+0.25}{kT}\right] = \frac{1}{0.02} = 50$$

$$\frac{0.25}{kT} = \ln(50)$$

or

$$kT = \frac{0.25}{\ln(50)} = 0.063906 = (0.0259) \left(\frac{T}{300}\right)$$

which yields $T = 740 \text{ K}$

3.41

(a)

$$f(E) = \frac{1}{1 + \exp\left(\frac{7.15 - 7.0}{0.0259}\right)} = 0.00304$$

or 0.304%

(b) At $T = 1000 \text{ K}$, $kT = 0.08633 \text{ eV}$

Then

$$f(E) = \frac{1}{1 + \exp\left(\frac{7.15 - 7.0}{0.08633}\right)} = 0.1496$$

or 14.96%

(c)

$$f(E) = \frac{1}{1 + \exp\left(\frac{6.85 - 7.0}{0.0259}\right)} = 0.997$$

or 99.7%

(d)

$$\text{At } E = E_F, f(E) = \frac{1}{2} \text{ for all}$$

temperatures

3.42

(a) For $E = E_1$

$$f(E) = \frac{1}{1 + \exp\left(\frac{E_1 - E_F}{kT}\right)} \cong \exp\left[\frac{-(E_1 - E_F)}{kT}\right]$$

Then

$$f(E_1) = \exp\left(\frac{-0.30}{0.0259}\right) = 9.32 \times 10^{-6}$$

For $E = E_2$,

$$E_F - E_2 = 1.12 - 0.30 = 0.82 \text{ eV}$$

Then

$$1 - f(E) = 1 - \frac{1}{1 + \exp\left(\frac{-0.82}{0.0259}\right)}$$

or

$$\begin{aligned} 1 - f(E) &\cong 1 - \left[1 - \exp\left(\frac{-0.82}{0.0259}\right)\right] \\ &= \exp\left(\frac{-0.82}{0.0259}\right) = 1.78 \times 10^{-14} \end{aligned}$$

(b) For $E_F - E_2 = 0.4 \text{ eV}$,

$$E_1 - E_F = 0.72 \text{ eV}$$

At $E = E_1$,

$$f(E) = \exp\left[\frac{-(E_1 - E_F)}{kT}\right] = \exp\left(\frac{-0.72}{0.0259}\right)$$

or

$$f(E) = 8.45 \times 10^{-13}$$

At $E = E_2$,

$$\begin{aligned} 1 - f(E) &= \exp\left[\frac{-(E_F - E_2)}{kT}\right] \\ &= \exp\left(\frac{-0.4}{0.0259}\right) \end{aligned}$$

or

$$1 - f(E) = 1.96 \times 10^{-7}$$

3.43

(a) At $E = E_1$

$$f(E) = \exp\left[\frac{-(E_1 - E_F)}{kT}\right] = \exp\left(\frac{-0.30}{0.0259}\right)$$

or

$$f(E) = 9.32 \times 10^{-6}$$

At $E = E_2$,

$$E_F - E_2 = 1.42 - 0.3 = 1.12 \text{ eV}$$

So

$$1 - f(E) = \exp\left[\frac{-(E_F - E_2)}{kT}\right]$$

$$= \exp\left(\frac{-1.12}{0.0259}\right)$$

or

$$1 - f(E) = 1.66 \times 10^{-19}$$

(b) For $E_F - E_2 = 0.4$,

$$E_1 - E_F = 1.02$$

eV

At $E = E_1$,

$$f(E) = \exp\left[\frac{-(E_1 - E_F)}{kT}\right] = \exp\left(\frac{-1.02}{0.0259}\right)$$

or

$$f(E) = 7.88 \times 10^{-18}$$

At $E = E_2$,

$$\begin{aligned} 1 - f(E) &= \exp\left[\frac{-(E_F - E_2)}{kT}\right] \\ &= \exp\left(\frac{-0.4}{0.0259}\right) \end{aligned}$$

or $1 - f(E) = 1.96 \times 10^{-7}$

3.44

$$f(E) = \left[1 + \exp\left(\frac{E - E_F}{kT}\right)\right]^{-1}$$

so

$$\begin{aligned} \frac{df(E)}{dE} &= (-1) \left[1 + \exp\left(\frac{E - E_F}{kT}\right)\right]^{-2} \\ &\quad \times \left(\frac{1}{kT}\right) \exp\left(\frac{E - E_F}{kT}\right) \end{aligned}$$

or

$$\frac{df(E)}{dE} = \frac{\left(\frac{-1}{kT}\right) \exp\left(\frac{E - E_F}{kT}\right)}{\left[1 + \exp\left(\frac{E - E_F}{kT}\right)\right]^2}$$

(a) At $T = 0$ K, For

$$E < E_F \Rightarrow \exp(-\infty) = 0 \Rightarrow \frac{df}{dE} = 0$$

$$E > E_F \Rightarrow \exp(+\infty) = +\infty \Rightarrow \frac{df}{dE} = 0$$

$$\text{At } E = E_F \Rightarrow \frac{df}{dE} = -\infty$$

(b) At $T = 300$ K, $kT = 0.0259$ eV

$$\text{For } E \ll E_F, \frac{df}{dE} = 0$$

$$\text{For } E \gg E_F, \frac{df}{dE} = 0$$

At $E = E_F$,

$$\frac{df}{dE} = \frac{\left(\frac{-1}{0.0259}\right)(1)}{(1+1)^2} = -9.65$$

(eV)⁻¹

$$f(E) = \frac{1}{1 + \exp\left(\frac{E - E_F}{kT}\right)} = \frac{1}{1 + \exp\left(\frac{E_g}{2kT}\right)}$$

Si: $E_g = 1.12$ eV,

$$f(E) = \frac{1}{1 + \exp\left[\frac{1.12}{2(0.0259)}\right]}$$

or

$$f(E) = 4.07 \times 10^{-10}$$

Ge: $E_g = 0.66$ eV

$$f(E) = \frac{1}{1 + \exp\left[\frac{0.66}{2(0.0259)}\right]}$$

or

$$f(E) = 2.93 \times 10^{-6}$$

GaAs: $E_g = 1.42$ eV

$$f(E) = \frac{1}{1 + \exp\left[\frac{1.42}{2(0.0259)}\right]}$$

or

$$f(E) = 1.24 \times 10^{-12}$$

(b) Using the results of Problem 3.38, the answers to part (b) are exactly the same as those given in part (a).

(c) At $T = 500$ K, $kT = 0.04317$ eV

$$\text{For } E \ll E_F, \frac{df}{dE} = 0$$

$$\text{For } E \gg E_F, \frac{df}{dE} = 0$$

At $E = E_F$,

$$\frac{df}{dE} = \frac{\left(\frac{-1}{0.04317}\right)(1)}{(1+1)^2} = -5.79 \text{ (eV)}$$

-1

3.45

(a) At $E = E_{midgap}$,

3.46

$$(a) f_F = \exp\left[\frac{-(E - E_F)}{kT}\right]$$

$$10^{-8} = \exp\left[\frac{-0.60}{kT}\right]$$

$$\text{or } \frac{0.60}{kT} = \ln(10^{+8})$$

$$kT = \frac{0.60}{\ln(10^8)} = 0.032572 \text{ eV}$$

$$0.032572 = (0.0259) \left(\frac{T}{300}\right)$$

so $T = 377$ K

(b) $10^{-6} = \exp\left[\frac{-0.60}{kT}\right]$

$$\frac{0.60}{kT} = \ln(10^{+6})$$

$$kT = \frac{0.60}{\ln(10^6)} = 0.043429$$

$$0.043429 = (0.0259)\left(\frac{T}{300}\right)$$

or $T = 503$ K

3.47

(a) At $T = 200$ K,

$$kT = (0.0259)\left(\frac{200}{300}\right) = 0.017267 \text{ eV}$$

$$f_F = 0.05 = \frac{1}{1 + \exp\left(\frac{E - E_F}{kT}\right)}$$

$$\exp\left(\frac{E - E_F}{kT}\right) = \frac{1}{0.05} - 1 = 19$$

$$E - E_F = kT \ln(19) = (0.017267) \ln(19) \\ = 0.05084 \text{ eV}$$

By symmetry, for $f_F = 0.95$,

$$E - E_F = -0.05084 \text{ eV}$$

$$\text{Then } \Delta E = 2(0.05084) = 0.1017 \text{ eV}$$

(b) $T = 400$ K, $kT = 0.034533$ eV

For $f_F = 0.05$, from part (a),

$$E - E_F = kT \ln(19) = (0.034533) \ln(19) \\ = 0.10168 \text{ eV}$$

$$\text{Then } \Delta E = 2(0.10168) = 0.2034 \text{ eV}$$
